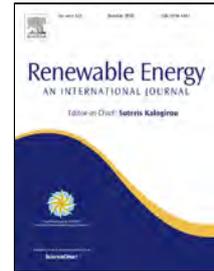


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Modeling and dynamic simulation of a steam generation system for a parabolic trough solar power plant

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Abstract

In a parabolic trough solar power plant, the steam generation system is the junction of the heat transfer fluid circuit and the water/steam circuit. Due to the discontinuous nature of solar radiation, the dynamic characteristics of working fluid physical parameters, such as mass flow rate, temperature, and pressure, are more evident in the steam generation system in this kind of plant, increasing the complexity of system operation. In this paper, a zero-dimension dynamic model of an oil/water steam generation system was developed based on the lumped parameter method. Based on the developed model, four typical single-parameter disturbance processes were simulated, and then the control strategy was obtained. System-level simulations on different days (clear and cloudy) and in different seasons (spring, summer, autumn, and winter) were also conducted on a STAR-90 simulation platform using real meteorological data. The simulation results show that PI control can be used to adjust the water level, that system operation on cloudy days should be avoided, and that the system can continue to generate steam after the sun sets. The simulation results can provide a useful reference for plant operators.

Key words

Parabolic trough solar power plant, Steam generation system, System-level simulations, STAR-90

Nomenclature

Latin symbols

A heat transfer area (m^2)

a volume coefficient 1 (m^3)

b volume coefficient 2 ($\text{m}^3/(\text{kg}\cdot\text{s}^{-1})$)

V volume (m^3)

Greek symbols

α convective heat transfer coefficient ($\text{W}/(\text{m}^2\cdot^\circ\text{C})$)

c_p	specific heat capacity (J/(kg·°C))	Δ	variation amount
c	mass flow rate coefficient 1 ((kg·s ⁻¹)/kPa)	λ	resistance coefficient (-)
c'	mass flow rate coefficient 2 ((kg·s ⁻¹)/MPa)	μ	dynamic viscosity (Pa·s)
d	diameter (m)	ν	kinematic viscosity (m ² /s)
ft	structure correction factor (-)	ρ	density (kg/m ³)
H	level (m)		
h	specific enthalpy (J/kg)	<i>Subscripts</i>	
h_{steam}	saturated specific enthalpy of steam (J/kg)	<i>cond</i>	condensation
h_q	specific less enthalpy of the feed water (J/kg)	<i>evap</i>	evaporation
h_{water}^0	saturated specific enthalpy of water at the previous time step (J/kg)	<i>f</i>	fluid
k	thermal conductivity (W/(m·°C))	<i>i</i>	inner
l	heat transfer tube length (m)	<i>in</i>	inlet
m	mass (kg)	<i>lam</i>	laminar
n_t	number of tube-side passes (-)	<i>o</i>	outer
Pr	Prandtl number (-)	<i>out</i>	outlet
p	pressure (MPa)	<i>turb</i>	turbulent
p_1	on-way resistance (Pa)	<i>w</i>	wall
p_2	bending resistance (Pa)		
p_3	resistance of the inlet and outlet connecting pipes (Pa)	<i>Abbreviations</i>	
Q	heat flow rate (W)	CSP	concentrating solar power
q_m	mass flow rate (kg/s)	DNI	direct normal irradiation
Re	Reynolds number (-)	HTF	heat transfer fluid
r	latent heat of vaporization (J/kg)	HRSG	heat recovery steam generator
S	equivalent bottom area of the evaporator (m ²)	PTSP	parabolic trough solar power
s_1	horizontal tube pitch (m)	SCA	solar collector assembly
s_2	vertical tube pitch (m)	SGS	steam generation system
T	temperature (°C)		
t	time (s)		
u	velocity (m/s)		

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35 1. Introduction

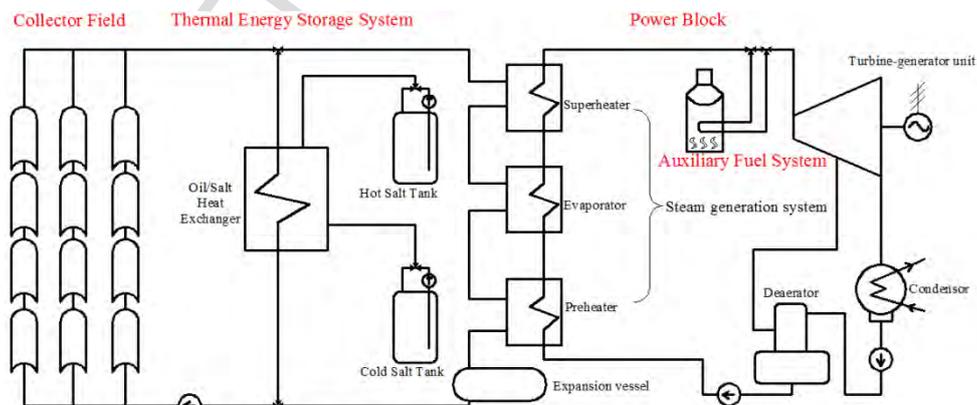
36 In recent years, the massive use of fossil fuels, including coal, oil, and gas, has led
 37 to environmental pollution and energy shortages. It is increasingly important to find
 38 alternative fuels. Solar energy has been emphasized by most countries in the world due
 39 to its abundance, wide distribution, and low carbon emission. There are many kinds of
 40 solar energy utilization technologies, one of which is the concentrating solar power
 41 (CSP) technology. CSP technology captures the sun's direct normal irradiation (DNI),
 42 concentrates it onto a receiving surface and transforms the absorbed heat into

43 mechanical work and subsequently electricity, by using state-of-the-art thermodynamic
 44 power cycles [1, 2]. The CSP technology is an environmental-friendly renewable
 45 energy approach that can greatly contribute to energy conservation and environmental
 46 protection [3]. This technology has two advantages, its amenability to hybridization and
 47 the ability to readily store energy via thermal energy storage [4]. Therefore, the CSP
 48 technology is gradually gaining recognition and acceptance by many countries.
 49 According to the International Energy Agency (IEA), the installed capacity of CSP
 50 plants will reach 20 GW by 2020 and 800 GW by 2050 [5].

51 The CSP technology includes four alternatives: parabolic trough solar power, solar
 52 power towers, linear Fresnel reflector solar power, and solar dish-Stirling engines.
 53 Among them, parabolic trough solar power (PTSP) technology is currently the most
 54 commercially mature [1, 6] and the most developed [7, 8]. The benefits of PTSP
 55 technology include promising cost-effective investment, mature technology, abundant
 56 operational experience, and the ease of coupling with fossil fuels and other renewable
 57 energy sources [9].

58 PTSP plants are mainly composed of collector fields and power blocks. Some
 59 PTSP plants are also equipped with thermal energy storage systems and auxiliary fuel
 60 systems [10]. The collector field consists of many collectors, each of which is made up
 61 of a parabolic trough concentrator and a receiver. The power block includes a steam
 62 generation system (SGS), a turbine-generator unit, condenser equipment, and feed-
 63 water heat exchangers, such as a deaerator. When the collector field is running, the
 64 parabolic trough concentrator gathers the incident sunlight to the receiver fixed at the
 65 focal line of the parabolic trough. The heat transfer fluid (HTF) in the receiver absorbs
 66 the solar radiation energy, and its temperature increases. Then it releases the absorbed
 67 heat to the water in the SGS. The water becomes superheated steam, and it finally drives
 68 the steam turbine to complete the power generation process. In general, the HTF in the
 69 receiver is thermal oil (a mixture of diphenyl ($C_{12}H_{10}$) and diphenyl oxide ($C_{12}H_{10}O$))
 70 [1], and a study on the use of molten salt (a mixture of 60% $NaNO_3$ and 40% KNO_3
 71 (weight percent)) as an HTF is under way [11]. A typical PTSP plant is shown in Fig.
 72 1.

73



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76

77

Fig. 1. Schematic diagram of a typical PTSP plant.

As seen in Fig. 1, the SGS is the core equipment of a PTSP plant; it connects the

78 HTF circuit and the water/steam circuit. It is divided into three parts, namely the
79 preheater, evaporator, and superheater [30]. In the preheater and the superheater, no
80 phase change occurs. In the evaporator, there is a significant phase change process in
81 which the water becomes micro-superheated steam through absorption of heat from the
82 HTF. The function of the SGS is to transfer the heat released by the HTF from the
83 collector field to the feed water in the water/steam circuit and then produce superheated
84 steam with specific parameters to drive the steam turbine. In addition, the SGS isolates
85 the HTF from water/steam.

86 The SGS is also one of key systems in a conventional thermal power plant, namely,
87 a boiler [12], or in a nuclear power plant [13]. However, since solar radiation, which is
88 the heat source of a solar thermal power plant, is unsteady in comparison with the heat
89 sources of conventional thermal power plants, the focus of research on the SGS of a
90 solar thermal power plant is quite different [14]. For a PTSP plant, the HTF mass flow
91 rate or temperature varies with the sun's DNI, which changes obviously during one day,
92 so the parameters of the steam produced by the SGS also change. As the intersection of
93 the HTF and the water/steam, the SGSs in PTSP plants have more obviously dynamic
94 characteristics in comparison with those in other types of plants, and this increases the
95 complexity of system operation. Hence, it is vital to conduct investigations into the
96 dynamic characteristics of the SGS of a PTSP plant.

97 Dynamic performance research on SGSs can be pursued by developing a dynamic
98 SGS model. At present, dynamic simulation of SGSs mainly focuses on systems in
99 nuclear power plants or in combined-cycle power plants, namely, heat recovery steam
100 generators (HRSGs). Wang et al. [15] studied the thermo-hydraulic characteristics of
101 an annular tube once-through steam generator in an integrated nuclear power system
102 using the compressible flow model. Zhang et al. [13] built a one-dimensional dynamic
103 mathematical model of the steam generator in the Daya Bay nuclear power plant in
104 China and simulated the dynamic heat transfer performance of the steam generator
105 under varying power. Wan et al. [16] developed the simulation platform of the AP1000
106 nuclear steam supply system. Sindareh-Esfahani et al. [17, 18] described the dynamic
107 modeling of the HRSG during cold start-up operation in a combined-cycle power plant.
108 Alobaid et al. [19] developed a dynamic model for a subcritical three-pressure-stage
109 HRSG. Mertens et al. [20] simulated warm and hot start-up processes of a drum-type
110 HRSG and a once-through HRSG and studied their different dynamic characteristics.
111 In addition, dynamic simulations of the SGS used for industrial processes were also
112 conducted. Bracco and Cravero [21] studied the variations of the main thermodynamic
113 and physical quantities of a typical small electric steam generator in machines for
114 ironing tasks by developing its mathematical model. Biglia et al. [22] modeled a three-
115 stage steam plant for batch thermal processing of food products, which included a steam
116 boiler, to describe unsteady operative conditions. Allouche et al. [23] conducted
117 dynamic simulation of an integrated solar-driven ejector-based air conditioning system
118 with phase change materials (PCM) cold storage using the Transient System Simulation
119 Program (TRNSYS) software. The steam generator in this system was modelled using
120 the Engineering Equation Solver (EES) software.

121 In the area of solar energy, simulation research on steam generators began in the

122 1980s. Ray [24] constructed a nonlinear dynamic model of a once-through subcritical
123 steam generator for solar power tower plants. Ben-Zvi et al. [25] presented an optical
124 and thermal simulation of a new solar tower steam generator, but the developed model
125 was a steady-state model. Pitot et al. [26] analyzed the impact of HRSG characteristics
126 on the performance of a 100-MWe CSP plant with an open volumetric receiver by
127 developing its steady-state model. Terdalkar et al. [27] modeled the Alstom solar
128 receiver steam generator for a tower-type CSP plant using Advanced Process
129 Simulation Software (APROS) and studied its dynamic response under various
130 transient conditions. Henrion et al. [28] conducted a dynamic simulation of an
131 innovative thermal oil-heated SGS designed by Balcke-Duerr for a solar thermal power
132 plant using APROS. The rated operation and the start-up stages of the SGS were
133 simulated. The simulation results revealed a boiling phenomenon in the economizer and
134 a boiling crisis. However, in Henrion's paper, the influence of the variation of DNI on
135 the output of the SGS was not considered. Ponce et al. [29] developed a dynamic
136 simulator for an integrated solar combined-cycle (ISCC) plant in the
137 MATLAB/Simulink environment and presented a model predictive control (MPC)
138 strategy. A dynamic model of a solar steam generator was developed, but DNI
139 disturbance was not considered. El Hefni and Soler [30] developed a dynamic multi-
140 configuration model of a CSP plant with ThermoSysPro library, but the detailed
141 modeling method of the SGS was not presented. Schenk et al. [31] developed a model
142 of an SGS in a PTSP plant with the publicly available ThermoPower library.
143 Nevertheless, the detailed modeling method was not provided in this paper either. Al-
144 Maliki et al. [32, 33] carried out dynamic simulations of an existing 50-MWe parabolic
145 trough solar power plant during clear, slightly cloudy, and very cloudy days using
146 APROS. The plant model includes a steam generator model, but the detailed modeling
147 method was still not given. Some other research has focused on direct steam generation
148 technology. Lobón et al. [34] introduced a computational fluid dynamic simulation
149 approach to predict the behavior of a solar steam-generating system. Suojanen et al.
150 [35] applied a linear Fresnel collector solar field with direct steam generation to
151 generate steam parallel with the steam boiler. Three process configurations for this
152 hybrid plant were modeled using APROS, and the system operation was investigated
153 under varying process conditions. In addition, there has been other research on the
154 safety and economics of SGSs. Pelagotti et al. [36] studied a coil heat exchanger
155 designed by Aalborg specifically for CSP applications as the evaporator of a steam
156 generator. The mathematical model of the coil heat exchanger was developed, and
157 based on this model, stress and fatigue analyses were conducted to optimize the
158 behavior of the system in various start-up scenarios. Rovira et al. [37] compared the
159 annual performance and economic feasibility of an ISCC using two solar concentration
160 technologies: parabolic trough collectors and linear Fresnel reflectors. The ISCC model
161 included a HRSG model that was developed based on the mass and energy balances.
162 González-Gómez et al. [38, 39] presented a thorough economic analysis of the heat
163 exchangers of the steam generator and oil-to-salt heat exchangers of a 50-MWe
164 parabolic trough power plant and conducted thermo-economic optimization of molten
165 salt steam generators in a 110-MWe solar power tower plant. Similar research has been

166 also done by Pizzolato et al. [40]. In addition, Yuan and He et al. [41-43] experimentally
167 studied the thermal performance of thermal oil and molten salt steam generators.

168 In summary, little literature has referred to the dynamic modeling of SGSs of PTSP
169 plants. Moreover, to the best of our knowledge, there are still two aspects of dynamic
170 SGS simulation that have not been sufficiently addressed in the literature [28-33]. First,
171 the influence of heat source variation, specifically DNI, on the output of the SGS has
172 not been sufficiently considered. Second, a detailed modeling method has not been
173 presented. Previous studies have developed dynamic models of SGSs with specific
174 structures based on existing simulation software, but the details of the modeling
175 methods employed have not been reported. To facilitate dynamic performance research,
176 it is necessary to provide a general modeling method in detail for a certain SGS in a
177 PTSP plant. In this paper, these two aspects are addressed, which is the contribution of
178 this paper.

179 In this paper, investigations into the dynamic characteristics of an oil/water SGS,
180 the SGS of the Yanqing 1-MWe PTSP pilot plant, were conducted by developing a
181 dynamic simulation model based on the lumped parameter method and certain
182 assumptions. The preheater, evaporator, and superheater models were developed, and
183 together they form the dynamic model of the SGS. Based on the model, four typical
184 single-parameter disturbance processes, namely disturbance of the feed water and the
185 steam mass flow rate as well as step disturbance of the thermal oil inlet mass flow rate
186 and inlet temperature, were simulated. Through an analysis of the simulation results,
187 the control strategy for the system was obtained. Then system-level simulations on
188 different days (clear and cloudy) and in different seasons (spring, summer, autumn, and
189 winter) were conducted on a STAR-90 simulation platform using real meteorological
190 data in Yanqing to analyze the influence of DNI on system parameters. The simulation
191 results provide references for system operation. In addition, in this paper, only the
192 impact of the single factor, DNI, on the SGS was analyzed and other equipment, such
193 as the thermal energy storage system, was not considered.

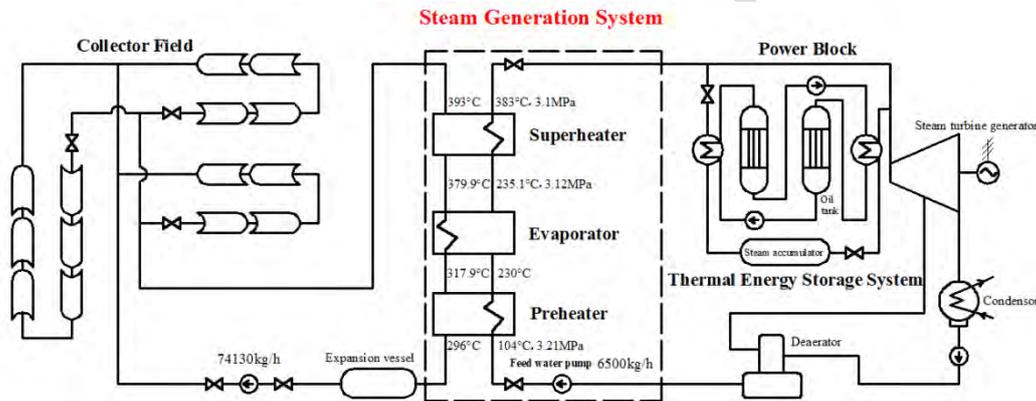
194 **2. System description**

195 The Yanqing 1-MWe PTSP pilot plant is the first PTSP plant in China, which is
196 located at Yanqing District (latitude 40.38° N, longitude 115.94° E) in Beijing, capital
197 of China. The plant is supported by the “Research and Demonstration of Parabolic
198 Trough Solar Power Technology” project, which is the key project of the National High
199 Technology Research and Development Program of China (863 Program, which means
200 that it was first launched in March in 1986) during China’s 12th Five-Year Plan. Similar
201 to most other PTSP plants, the Yanqing 1-MWe PTSP pilot plant is mainly made up of
202 a collector field and a power block. The area of the collector field is 10,000 m², which
203 includes three 600-m-long loops. One loop has a North–South layout, and the other two
204 loops have East–West layouts [44]. The HTF in the collector field is thermal oil. The
205 PTSP pilot plant is also equipped with a thermal energy storage system, which is
206 different from the traditionally utilized low-cost sensible heat storage in insulated tanks
207 with eutectic mixtures of KNO₃ and NaNO₃ molten salts [45]. It is a two-stage thermal
208 energy storage system, including high- and low-temperature subsystems. The thermal

209 energy storage media used in the high-temperature subsystem is thermal oil, and the
 210 low-temperature subsystem is a steam accumulator. A schematic diagram and
 211 photographs of the Yanqing 1-MWe PTSP pilot plant and its oil/water SGS are shown
 212 in Fig. 2 and Fig. 3, respectively.

213 The rated operation parameters of the SGS are given in Fig. 2. In nominal
 214 operation, the mass flow rate of the thermal oil in the collector field is 74,130 kg/h, and
 215 its outlet temperature is 393 °C, which is also the inlet temperature of the thermal oil in
 216 the SGS. The temperature of the thermal oil decreases to 296 °C after it flows through
 217 the SGS. The inlet mass flow rate and the temperature and pressure of the feed water in
 218 the SGS are 6,500 kg/h, 104 °C, and 3.21 MPa, respectively. The feed water is first
 219 preheated in the preheater. Then it enters the evaporator to become saturated steam after
 220 it absorbs heat from the thermal oil, the temperature and pressure of which are 235.1
 221 °C and 3.12 MPa, respectively. The saturated steam is superheated after it flows through
 222 the superheater of the SGS, and the outlet temperature and pressure of the superheated
 223 steam are 383 °C and 3.1 MPa, respectively.

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Fig. 2. Schematic diagram of the Yanqing 1-MWe PTSP pilot plant and its SGS.



(a) Yanqing 1-MWe PTSP pilot plant



(b) SGS

Fig. 3. Photographs of Yanqing 1-MWe PTSP pilot plant and its SGS.

228

229

230 3. Methodology

231 3.1. STAR-90 simulation platform

232 The SGS of the Yanqing 1-MWe PTSP pilot plant was modeled on the STAR-90
 233 simulation platform, which is an open-source software developed by Baoding Sinosimu
 234 Technology Co. Ltd. in China. STAR-90 was at first used for full-scale and real-time
 235 dynamic simulations of conventional thermal power plants to guide their operation and
 236 production. Now, it is applied in wider fields, such as nuclear power, hydropower,
 237 aerospace, and petrochemicals, and it also has been usefully applied in the modeling
 238 and simulation of CSP plants [3, 46-49]. STAR-90 is a simulation platform based on
 239 modular modeling. Every user can build needed equipment modules on the basis of
 240 physical principles, namely mass, momentum, and energy conservation and save C
 241 language-based module algorithm in the STAR-90 algorithm library, which supports
 242 revision and updating by users. In addition, STAR-90 has the function of user-defined
 243 graphic visualization so that model graphs can be designed according to users'
 244 preferences. Therefore, this simulation platform has a clear human-machine interface.
 245 In this study, a dynamic model of the SGS was developed separately, and then it was
 246 connected with models of other equipment already existent in the STAR-90 library,
 247 such as valves, pumps, and so forth, to complete the system-level simulations on the
 248 STAR-90 simulation platform.

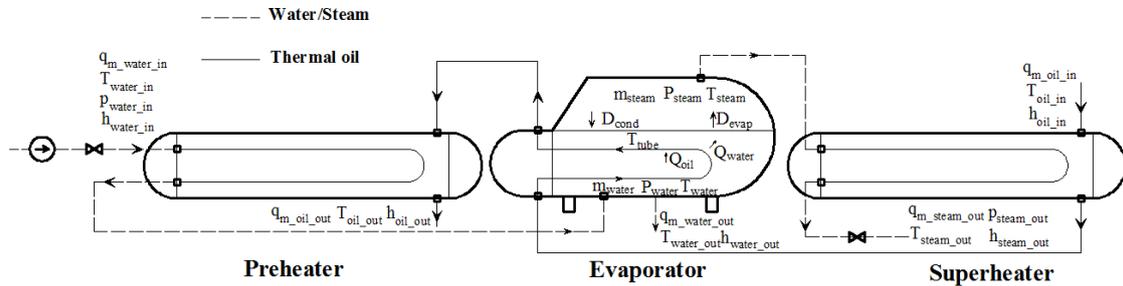
249 3.2. Dynamic simulation model of the SGS

250 The modeling method adopted in this paper is the lumped-parameter method. This
 251 method treats state parameters of the working medium in a system as homogeneous. It
 252 selects state parameters of a representative point in the space as state parameters of the
 253 whole system; thus, the lumped-parameter model is a zero-dimension model. The
 254 lumped-parameter model can realize real-time simulations because it requires less
 255 computational effort than the computational fluid dynamics (CFD) model. In addition,
 256 for investigations into the dynamic characteristics of one component at the system level,
 257 variations of the working fluid parameter at the outlet are a greater concern. The
 258 purpose of the lumped-parameter model is to describe the overall system performance

259 and component interaction rather than to describe the finer details occurring within a
 260 system [24]. Therefore, from this point of view, the lumped-parameter model has an
 261 advantage over a one-dimension model. A detailed description and specific advantages
 262 of the lumped-parameter method can be found in the literature [50].

263 In this paper, the preheater, the evaporator, and the superheater were modeled
 264 separately according to three fundamental conservation laws, namely the law of mass
 265 conservation, the law of momentum conservation, and the law of energy conservation.
 266 Then the three models were connected on the STAR-90 simulation platform, which
 267 means the output parameters of the former component are the input parameters of the
 268 latter, to form the SGS dynamic model. A schematic diagram of the model is shown in
 269 Fig. 4. In the development of the model, the following assumptions were made:

- 270 i. For the preheater and the superheater, the outlet point in the space is selected as
 271 the lumped parameter point, which means that the working fluid parameters at the outlet
 272 are selected as the lumped parameters.
 273 ii. Only the pressure drop at the steam side in the superheater is considered.
 274 iii. The water and steam in the evaporator are under the same saturation state, and
 275 their temperature and pressure change at the same time.
 276 iv. The water and steam in the evaporator are separated completely.
 277 v. The thermal conduction of the wall is ignored, and it only has thermal storage
 278 capacity.
 279 vi. The SGS is insulated well, so the heat loss is ignored.
 280



281

282

Fig. 4. Schematic diagram of the SGS model.

283

284 3.2.1 Thermal oil and water/steam properties

285

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Since thermal oil and water/steam properties vary with their temperature and
 286 pressure, they must be considered during simulations. Therminol-VP1 is used as
 287 thermal oil and correlations between its properties and temperature are provided in [51],
 288 which are listed below (12 °C to 425 °C, T is in °C):

Density (kg/m³):

290

$$\rho = -0.90797 \times T + 0.00078116 \times T^2 - 2.367 \times 10^{-6} \times T^3 + 1083.25, \quad (1)$$

291

Specific heat capacity (J/(kg·°C)):

292

$$c_p = 2.414 \times T + 5.9591 \times 10^{-3} \times T^2 - 2.9879 \times 10^{-5} \times T^3 + 4.4172 \times 10^{-8} \times T^4 + 1498, \quad (2)$$

293

Thermal conductivity (W/(m·°C)):

$$k = -8.19477 \times 10^{-5} \times T - 1.92257 \times 10^{-7} \times T^2 + 2.5034 \times 10^{-11} \times T^3 - 7.2974 \times 10^{-15} \times T^4 + 0.137743, \quad (3)$$

295 Kinematic viscosity (m^2/s):

$$296 \quad \nu = e^{\left(\frac{544.149}{T+114.43} - 2.59578\right)} \times 10^{-6}. \quad (4)$$

297 The specific enthalpy of thermal oil is also provided in [51].

298 The IAPWS-IF97 [52] is widely used to calculate water/steam properties, and it is
299 also employed in this paper. The relevant calculation is programmed by C language and
300 the program is used to obtain the values of the water or steam properties during dynamic
301 simulations.

302 3.2.2 Modeling of the preheater and superheater

303 Tube and shell heat exchangers were selected as the preheater and the superheater
304 because they have the advantages of easy manufacturing, easy cleaning, and reliable
305 operation. In the preheater, the working fluid at the shell side is thermal oil and that at
306 the tube side is water. In the superheater, the fluid flowing through the shell side is also
307 thermal oil, but the working fluid at the tube side is steam. The design parameters of
308 the preheater and the superheater are shown in Table 1.

309

TABLE 1. SGS parameters

Design parameters	Preheater	Superheater	Evaporator
Area of heat transfer (m^2)	10	47	40
Number of shell-side passes (-)	1	1	1
Number of tube-side passes (-)	4	4	2
Tube outer diameter (m)	0.016	0.016	0.016
Tube inner diameter (m)	0.012	0.012	0.012
Tube specific heat capacity ($\text{J}/(\text{kg} \cdot \text{K})$)	529	529	529
Tube density (kg/m^3)	7850	7850	7850
Single tube length (m)	4	4	4
Tube bundle layout (-)	Triangular	Triangular	Triangular
Shell-side effective volume (m^3)	0.26	0.63	1.86
Tube-side effective volume (m^3)	0.063	0.25	0.2
Working fluid at the tube side	Water	Steam	Thermal oil
Working fluid at the shell side	Thermal oil	Thermal oil	Water/Steam

310

311 The working fluid in the preheater or superheater undergoes no phase change, so
312 the preheater and superheater are single-phase heat exchangers, and their modeling
313 method is relatively simple. To accomplish the dynamic modeling of the preheater, the
314 thermal oil side, heat transfer tube wall, and preheated water side should be modeled
315 respectively. The modeling method includes the following steps.

316 The energy conservation equations based on thermodynamics [53] and heat
317 transfer principles [54] at the thermal oil side are expressed as

$$318 \quad \frac{d(c_{p_oil} m_{oil} T_{oil})}{dt} = q_{m_oil} (h_{oil_in} - h_{oil_out}) - Q_{oil}, \quad (5)$$

$$319 \quad Q_{oil} = \alpha' A_o (T_{oil} - T_{tube}). \quad (6)$$

320 Here, the convective heat transfer coefficient α' is calculated by the Zhukauskas
321 correlations [54]:

$$322 \quad \begin{aligned} \alpha' &= 1.04 Re_f^{0.4} Pr_f^{0.36} \left(\frac{Pr_f}{Pr_w}\right)^{0.25} \frac{k}{d_o} (1 \leq Re_f \leq 5 \times 10^2, 0.6 \leq Pr \leq 500), \\ \alpha' &= 0.71 Re_f^{0.5} Pr_f^{0.36} \left(\frac{Pr_f}{Pr_w}\right)^{0.25} \frac{k}{d_o} (5 \times 10^2 < Re_f \leq 10^3, 0.6 \leq Pr \leq 500), \\ \alpha' &= 0.35 \left(\frac{s_1}{s_2}\right)^{0.2} Re_f^{0.6} Pr_f^{0.36} \left(\frac{Pr_f}{Pr_w}\right)^{0.25} \frac{k}{d_o} (10^3 < Re_f \leq 2 \times 10^5, 0.6 \leq Pr \leq 500), \\ \alpha' &= 0.031 \left(\frac{s_1}{s_2}\right)^{0.2} Re_f^{0.8} Pr_f^{0.36} \left(\frac{Pr_f}{Pr_w}\right)^{0.25} \frac{k}{d_o} (2 \times 10^5 < Re_f \leq 2 \times 10^6, 0.6 \leq Pr \leq 500). \end{aligned} \quad (6-a)$$

323 The energy conservation equations based on thermodynamics and heat transfer
324 principles at the preheated water side are given as

$$325 \quad \frac{d(c_{p_water} m_{water} T_{water})}{dt} = q_{m_water} (h_{water_in} - h_{water_out}) + Q_{water}, \quad (7)$$

$$326 \quad Q_{water} = \alpha A_i (T_{tube} - T_{water}). \quad (8)$$

327 Here, the convective heat transfer coefficient α is calculated by the Dittus-Boelter
328 formula [54]:

$$329 \quad \alpha = 0.023 Re_f^{0.8} Pr_f^{0.4} \frac{k}{d_i} (10^4 < Re_f < 1.2 \times 10^5, 0.7 < Pr_f < 120, \frac{l}{d_i} \geq 60). \quad (8-a)$$

330 The energy conservation equation based on the thermodynamics principle at the
331 heat transfer tube wall is expressed as

$$332 \quad \frac{d(c_{p_tube} m_{tube} T_{tube})}{dt} = Q_{oil} - Q_{water}. \quad (9)$$

333 The modeling method of the superheater is similar to that of the preheater; the only
334 difference is that the working fluid at the tube side is steam, not water. In addition, the
335 pressure drop at the steam side needs to be considered. Pressure drop formula is given
336 in Eq. (10) [55]:

$$337 \quad \Delta p_{steam} = (ft \cdot (\Delta p_1 + \Delta p_2) + \Delta p_3) \times 10^{-6}, \quad (10)$$

$$338 \quad \Delta p_2 = 4 \frac{\rho_{steam} u_{steam}^2}{2} n_i, \quad (10-a)$$

$$339 \quad \Delta p_3 = 1.5 \frac{\rho_{steam} u_{steam}^2}{2}, \quad (10-b)$$

$$340 \quad \Delta p_1 = \lambda \frac{l}{d_i} \frac{\rho_{steam} u_{steam}^2}{2} \left(\frac{\mu}{\mu_w}\right)^{-0.14} (Re_{steam} > 2100), \quad (10-c)$$

$$\Delta p_1 = \lambda \frac{l}{d_i} \frac{\rho_{steam} u_{steam}^2}{2} \left(\frac{\mu}{\mu_w}\right)^{-0.25} (Re_{steam} < 2100)$$

341 where λ can be obtained by the following formula [25]:

$$\begin{aligned}
 \lambda &= \lambda_{lam} && (Re_{steam} < 2000) , \\
 \lambda &= \max \{ \lambda_{lam}, \lambda_{turb} \} && (2000 \leq Re_{steam} \leq 4000) , \\
 \lambda &= \lambda_{turb} && (Re_{steam} > 4000) ,
 \end{aligned}
 \tag{10-c-1}$$

343 with

$$\begin{aligned}
 \lambda_{lam} &= \frac{64}{Re_{steam}} , \\
 \lambda_{turb} &= (1.82 \lg Re_{steam} - 1.64)^{-2} .
 \end{aligned}
 \tag{10-c-1-1}$$

345 3.2.3 Modeling of the evaporator

346 A kettle-type heat exchanger is used as the evaporator of the SGS in Yanqing,
 347 which is a kind of heat exchanger for steam generation. The most obvious difference
 348 between a kettle-type heat exchanger and a general one is that there is a large space on
 349 the upper side of the tube bundle for steam generation. The hot working fluid, namely,
 350 thermal oil, flows through the heat transfer tubes and releases heat to the water at the
 351 shell side. The water undergoes no phase change until it reaches the saturation point.
 352 Then steam starts to appear around the heat transfer tubes and rises. Some water is
 353 carried by the rising steam, which is separated by the steam-water separator at the top
 354 of the shell. It then flows back along both sides of the shell. A photograph of the
 355 evaporator is shown in Fig. 5 and its design parameters are presented in Table 1.

356



Fig. 5. Photograph of the evaporator.

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360 The modeling of the evaporator is more complex than that of the preheater and
 361 superheater because the boiling process occurs, and there is two-phase flow at the shell
 362 side [17]. To accomplish the dynamic modeling of the evaporator, the thermal oil side,

363 heat transfer tube wall, and the two-phase flow of the water/steam side should be
 364 modeled separately. In addition, the water level in the evaporator should also be
 365 modeled because it is an important operation parameter for the SGS. To model the water
 366 level, the steam volume at the shell side needs to be divided into two parts, one below
 367 the water surface and the other above the water surface. The water dynamic evaporation
 368 and the steam dynamic condensation should be considered as well. A schematic
 369 diagram of the evaporator model is shown in Fig. 6. The modeling method of the
 370 evaporator includes the following steps.

371 The energy conservation equations based on thermodynamics and heat transfer
 372 principles at the thermal oil side are expressed as

$$373 \quad \frac{d(c_{p_oil} m_{oil} T_{oil})}{dt} = q_{m_oil} (h_{oil_in} - h_{oil_out}) - Q_{oil}, \quad (11)$$

$$374 \quad Q_{oil} = \alpha A_i (T_{oil} - T_{tube}), \quad (12)$$

375 where the convective heat transfer coefficient α is also calculated by the Dittus–Boelter
 376 formula:

$$377 \quad \alpha = 0.023 Re_f^{0.8} Pr_f^{0.3} \frac{\lambda}{d_i} (10^4 < Re_f < 1.2 \times 10^5, 0.7 < Pr_f < 120, \frac{l}{d_i} \geq 60). \quad (12-a)$$

378 The mass and energy conservation equations based on thermodynamics and heat
 379 transfer principles at the water/steam side are expressed as follows:

380 mass conservation equation:

$$381 \quad \frac{d(\rho_{water} V_{water} + \rho_{steam} V_{steam})}{dt} = q_{m_water_in} - q_{m_steam_out} - q_{m_water_out}, \quad (13)$$

$$382 \quad V_{water} + V_{steam} = V = const, \quad (14)$$

383 energy conservation equation:

$$384 \quad \frac{d(\rho_{water} V_{water} h_{water} + \rho_{steam} V_{steam} h_{steam})}{dt} = q_{m_water_in} h_{water_in} - q_{m_water_out} h_{water} - q_{m_steam_out} h_{steam} + Q_{water}, \quad (15)$$

$$386 \quad Q_{water} = \alpha' A_o (T_{tube} - T_{water}). \quad (16)$$

387 Here, the convective heat transfer coefficient at the water side α' is considered as a
 388 constant, and its value is obtained by the steady-state operation condition of the SGS
 389 [56].

390 Equations (13), (14), (15) can be transformed into

$$391 \quad \frac{d\rho_{water}}{dt} V_{water} + \frac{dV_{water}}{dt} \rho_{water} + \frac{d\rho_{steam}}{dt} V_{steam} + \frac{dV_{steam}}{dt} \rho_{steam} = q_{m_water_in} - q_{m_steam_out} - q_{m_water_out}, \quad (13')$$

$$392 \quad \frac{dV_{water}}{dt} = -\frac{dV_{steam}}{dt}, \quad (14')$$

$$\begin{aligned}
& \left(\frac{dh_{water}}{dt} \rho_{water} + \frac{d\rho_{water}}{dt} h_{water} \right) V_{water} + \left(\frac{dh_{steam}}{dt} \rho_{steam} + \frac{d\rho_{steam}}{dt} h_{steam} \right) V_{steam} \\
394 \quad & + \frac{dV_{water}}{dt} \rho_{water} h_{water} + \frac{dV_{steam}}{dt} \rho_{steam} h_{steam} \quad . \quad (15') \\
& = q_{m_water_in} h_{water_in} - q_{m_water_out} h_{water} - q_{m_steam_out} h_{steam} + Q_{water}
\end{aligned}$$

395 Using equations (13'), (14'), (15') and the relationship $\frac{d}{dt} = \frac{\partial}{\partial p_{steam}} \left(\frac{dp_{steam}}{dt} \right)$ and

396 eliminating $\frac{dV_{water}}{dt}$ and $\frac{dV_{steam}}{dt}$, the dynamic expression of the steam pressure in the
397 evaporator is derived as

$$\begin{aligned}
398 \quad \frac{dp_{steam}}{dt} = & \frac{Q_{water} + \left(\frac{r\rho_{steam}}{\rho_{water} - \rho_{steam}} - h_q \right) q_{m_water_in} - \frac{r\rho_{steam}}{\rho_{water} - \rho_{steam}} q_{m_water_out} - \frac{r\rho_{water}}{\rho_{water} - \rho_{steam}} q_{m_steam_out}}{\left[\rho_{water} \frac{\partial h_{water}}{\partial p_{steam}} + \frac{r\rho_{steam}}{\rho_{water} - \rho_{steam}} \left(\frac{\partial \rho_{water}}{\partial p_{steam}} \right) \right] V_{water} + \left[\rho_{steam} \frac{\partial h_{steam}}{\partial p_{steam}} + \frac{r\rho_{water}}{\rho_{water} - \rho_{steam}} \left(\frac{\partial \rho_{steam}}{\partial p_{steam}} \right) \right] V_{steam}} \\
399 \quad & , (17)
\end{aligned}$$

400 where r is the latent heat of vaporization, and $r = h_{steam} - h_{water} \cdot h_q$ is the lower enthalpy
401 of the feed water, $h_q = h_{water} - h_{water_in}$.

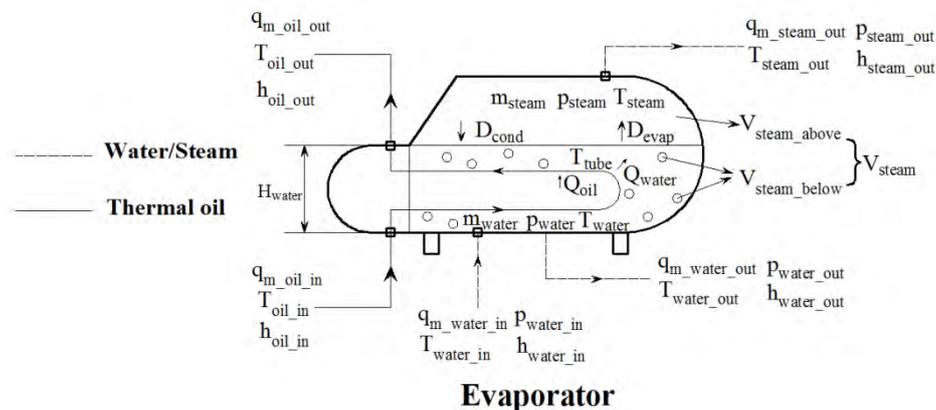
402 The variation of the steam pressure in the evaporator can influence the mass flow
403 rate of the working fluid. As a result, the feed water mass flow rate at the inlet and the
404 steam mass flow rate at the outlet are considered as variables, which are calculated
405 according to the following formulae [56]:

$$406 \quad q_{m_water_in} = c \sqrt{p_{water_in} - p_{steam}} , \quad (18)$$

$$407 \quad q_{m_steam_out} = c' p_{steam} , \quad (19)$$

408 where c and c' are coefficients of the mass flow rate, which can be obtained by the
409 steady-state operation condition.

410



411

412

Fig. 6. Schematic diagram of the evaporator model.

413

414 To model the water level in the evaporator, the steam volume at the shell side
 415 needs to be divided into two parts, the part below the water surface and the other above
 416 the water surface, as shown in Fig. 6. In addition, the water dynamic evaporation and
 417 the steam dynamic condensation should be considered as well. The model of the water
 418 level is expressed as

$$419 \quad H_{water} = (V_{water} + V_{steam_below}) / S = \left(\frac{m_{water}}{\rho_{water}} + V_{steam_below} \right) / S, \quad (20)$$

420 where

$$421 \quad \frac{dm_{water}}{dt} = q_{m_water_in} + q_{m_cond} - q_{m_evap} - q_{m_water_out}, \quad (20-a)$$

$$422 \quad V_{steam_below} = a + bq_{m_evap}. \quad (20-b)$$

423 Here, q_{m_evap} denotes the water dynamic evaporation, and q_{m_cond} denotes the steam
 424 dynamic condensation, which are calculated by Eq. (21) and Eq. (22) [3]:

$$425 \quad q_{m_evap} = \frac{Q_{water} - m_{water} (h_{water} - h_{water}^0) / \Delta t}{h_{steam} - h_{water}}, \quad (21)$$

$$426 \quad q_{m_cond} = \frac{q_{m_water_in} (h_{water} - h_{water_in})}{h_{steam} - h_{water}}. \quad (22)$$

427 Eq. (20-b) is given based on the relationship that the variation of the steam volume
 428 below the water surface with mass of evaporation is linear [56]. Moreover, analyzing
 429 Eq. (20), it can be seen that the water level is determined by three factors, namely, the
 430 imbalance of the input and output mass, the pressure in the evaporator, and the steam
 431 volume below the water surface.

432 The energy conservation equation based on the thermodynamics principle at the
 433 heat transfer tube wall is expressed as

$$434 \quad \frac{d(c_{p_tube} m_{tube} T_{tube})}{dt} = Q_{oil} - Q_{water}. \quad (23)$$

435 3.2.4 Solution method for the model of the SGS

436 In this paper, the method adopted to solve the model of the SGS is the implicit
 437 Euler method, which is usually used to solve the ordinary differential equation set about
 438 t [57]. The solution flow chart is shown in Fig. 7.

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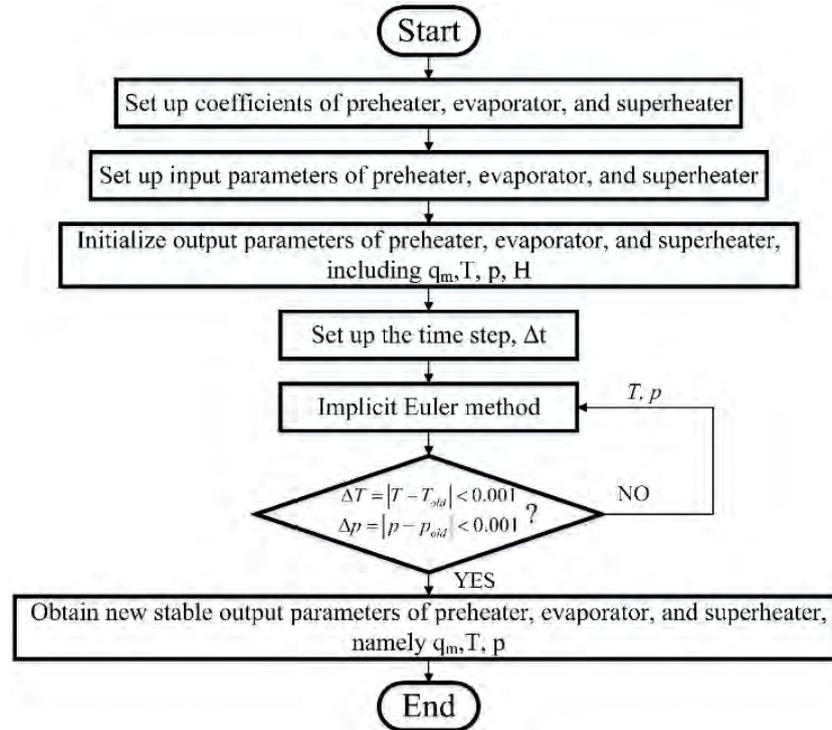


Fig. 7. Solution flow chart.

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443 3.2.5 Model validation

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TABLE 2. Comparison of design values and simulation values

	Preheater		Evaporator			Superheater	
	Outlet oil temperature	Outlet water temperature	Outlet oil temperature	Outlet steam temperature	Outlet steam pressure	Outlet oil temperature	Outlet steam temperature
Design values	296 °C	230 °C	317.9 °C	235.1 °C	3.12 MPa	379.9 °C	383 °C
Simulation values	296.0 °C	231.7 °C	315.7 °C	236.0 °C	3.12 MPa	379.7 °C	383.4 °C
Relative errors	0%	0.74%	0.69%	0.38%	0%	0.05%	0.10%

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454 4. Dynamic simulations of the SGS and discussions

455 4.1 Dynamic simulations of single-parameter disturbance

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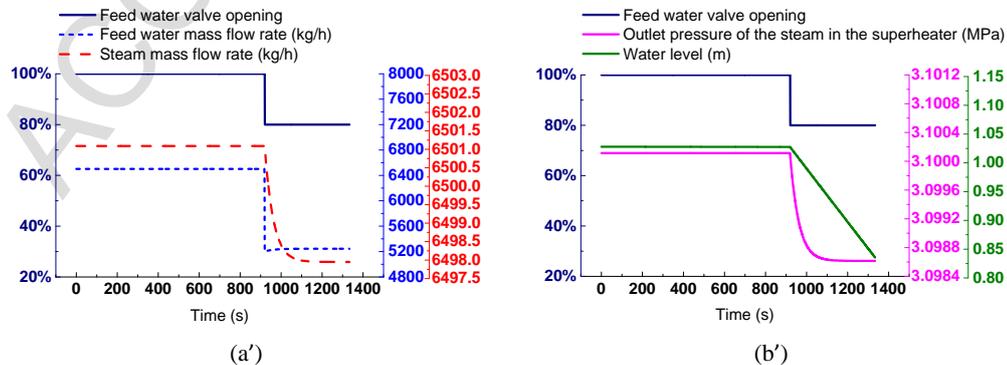
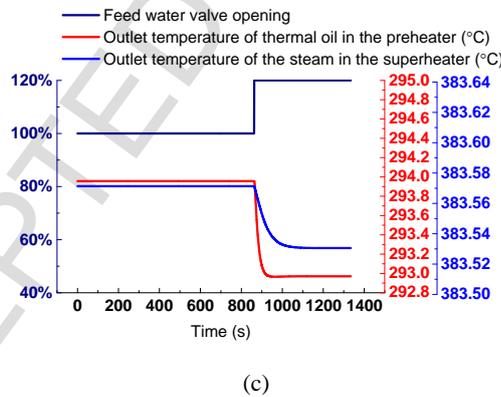
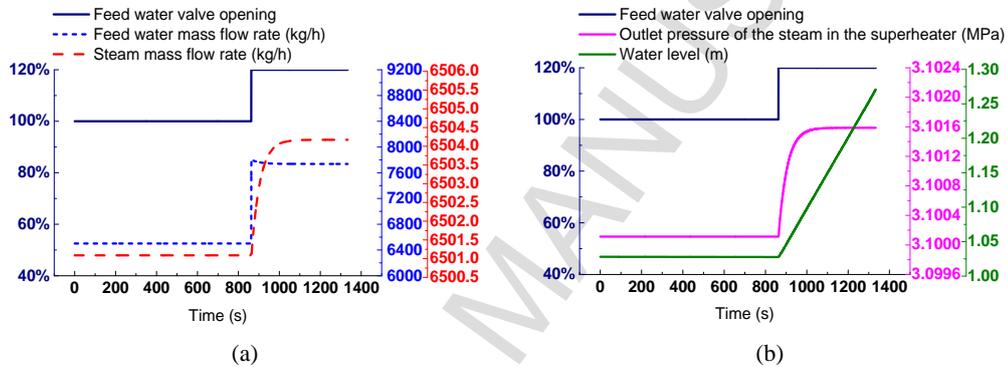
Based on the validated model of the SGS, dynamic simulations of single-parameter disturbance were carried out to study the dynamic characteristics of the SGS when a disturbance occurs and develop a reasonable control strategy for system-level

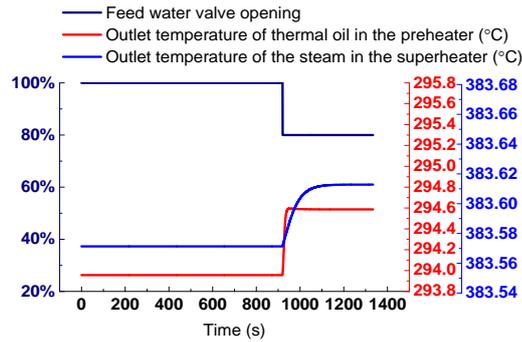
459 simulations. Four typical disturbance processes, namely disturbance of the feed water
 460 mass flow rate and the steam mass flow rate as well as step disturbance of the thermal
 461 oil inlet mass flow rate and inlet temperature were simulated.

462 4.1.1 Dynamic simulations of the feed water mass flow rate disturbance

463 To adjust the water level in the SGS during operation, it is necessary to increase
 464 or decrease the feed water mass flow rate, which can be realized by increasing or
 465 decreasing the opening of the feed water valve. Therefore, the processes of increasing
 466 and decreasing the opening of the feed water valve by 20% were simulated. At the
 467 beginning of the simulations, the SGS works under the rated operation condition. The
 468 two simulations both start at $t = 0$ s. For the simulation of increasing the opening of the
 469 feed water valve, when $t = 863$ s, the opening of the feed water valve increases by 20%
 470 and lasts 471 s. For the simulation of decreasing the opening of the feed water valve,
 471 when $t = 920$ s, the opening of the feed water valve decreases by 20% and lasts 414 s.
 472 The simulation results are shown in Fig. 8.

473





(c')

474 Fig. 8. Results of the dynamic simulation of the feed water mass flow rate disturbance.

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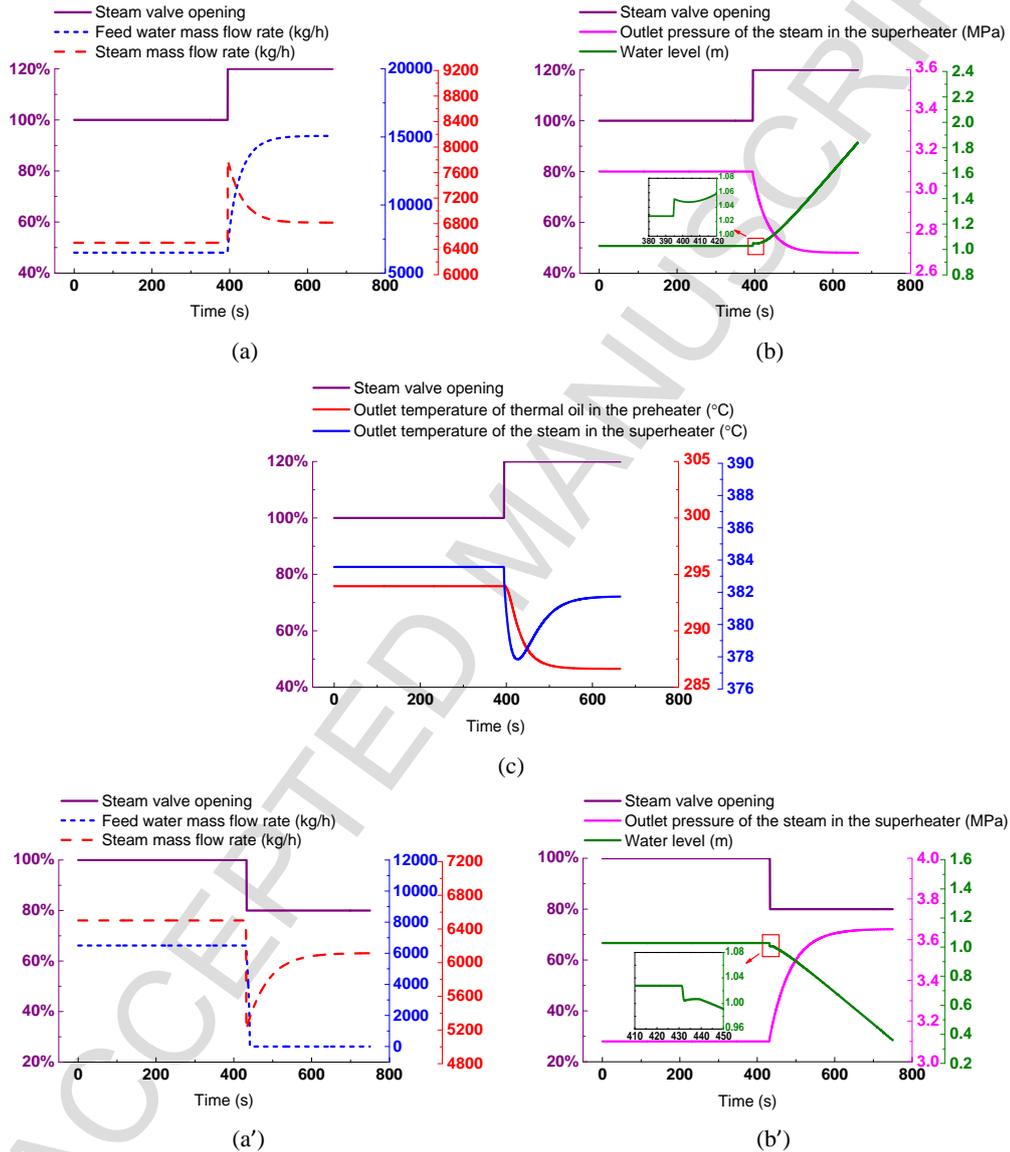
476 In the dynamic simulation of increasing the feed water mass flow rate, after the
 477 opening of the feed water valve increases by 20%, the feed water mass flow rate steps
 478 up from 6500 kg/h to 7802 kg/h immediately. The increase in the feed water mass flow
 479 rate enhances convection heat transfer in the preheater, so the thermal oil temperature
 480 at the outlet of the preheater decreases, finally reaching 293 °C, which is shown in Fig.
 481 8 (c). Based on the principle of energy conservation, the increase in the feed water mass
 482 flow rate leads to a decrease in the feed water temperature at the outlet of the preheater.
 483 Hence, the lower enthalpy of the feed water increases. Analyzing the second term in
 484 the right numerator of equation (17), the increase in the feed water mass flow rate causes
 485 an increase in the steam pressure in the evaporator and the increase in the feed water
 486 lower enthalpy leads to a decrease in that. The final effect of these two contrary trends
 487 makes the pressure in the evaporator slightly increase. Therefore, the outlet pressure of
 488 the superheated steam in the superheater increases gradually to 3.1016 MPa. According
 489 to equations (18) and (19), with the increase in the steam pressure, the steam mass flow
 490 rate also increases, finally reaching 6504 kg/h, but the feed water mass flow rate
 491 decreases, from 7802 kg/h to 7737 kg/h. Therefore, the feed water mass flow rate steps
 492 up first and then gradually decreases to a new stable value that is larger than that before
 493 the disturbance as shown in Fig. 8 (a). The feed water mass flow rate is always higher
 494 than the steam mass flow rate, so the water level in the evaporator increases linearly as
 495 shown in Fig. 8 (b). The outlet temperature of the superheated steam in the superheater
 496 also decreases, which finally reaches 383.53 °C, because the increase in the steam mass
 497 flow rate leads to a decrease in the steam temperature rise based on the principle of
 498 energy conservation. The temperature variation mentioned above is shown in Fig. 8 (c).
 499 The whole system works under a new stable operation condition after about 200 s.

500 In the dynamic simulation of decreasing the feed water mass flow rate, after the
 501 opening of the feed water valve decreases by 20%, variation trends of the main system
 502 parameters are contrary to those in the dynamic simulation of increasing the feed water
 503 mass flow rate as shown in Fig. 8 (a'), (b') and (c'). The variation trends can be
 504 explained by causes which are contrary to those given in the former simulation. The
 505 whole system works under a new stable operation condition after about 300 s.

506 4.1.2 Dynamic simulations of the steam mass flow rate disturbance

507 The power output of the turbine-generator unit in a PTSP plant is often adjusted

508 according to the requirements of the grid load, which can be realized by adjusting the
 509 steam mass flow rate into the turbine-generator unit. Thus, the processes of increasing
 510 and decreasing the opening of the steam valve by 20% were simulated. At $t = 0$ s, the
 511 SGS runs under the rated operation condition. For the simulation of increasing the
 512 opening of the steam valve, when $t = 395$ s, the opening of the steam valve increases
 513 by 20% and remains at this level for 270 s. For the simulation of decreasing the opening
 514 of the steam valve, when $t = 433$ s, the opening of the steam valve decreases by 20%
 515 and remains for 317 s. The simulation results are shown in Fig. 9.



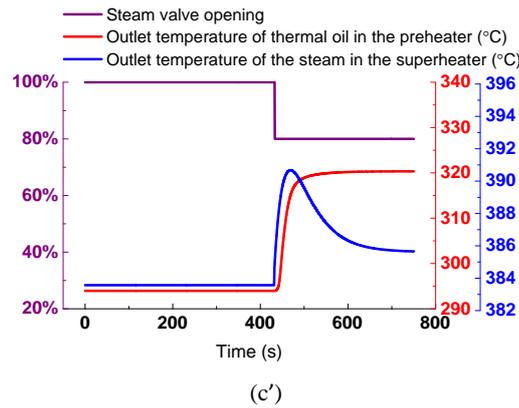


Fig. 9. Results of the dynamic simulation of the steam mass flow rate disturbance.

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In the dynamic simulation of increasing the steam mass flow rate, after the opening of the steam valve increases by 20%, the steam mass flow rate suddenly increases to 7788 kg/h. With the increase of the mass flow rate of the steam flowing out of the evaporator, the pressure in the evaporator decreases. Therefore, the outlet pressure of the steam in the superheater gradually declines and ultimately reaches 2.73 MPa. With the decrease in the steam pressure, according to equations (18) and (19), the feed water mass flow rate gradually increases, and the steam mass flow rate decreases. Hence, the steam mass flow rate also steps up first and then decreases gradually to a new stable value that is larger than the original value. The variation is similar to that of the feed water mass flow rate in the first disturbance simulation, which is shown in Fig. 9 (a). As seen in Fig. 9 (b), the water level increases overall, but in the first 30 s after the disturbance, the water level rises first and then decreases and gradually increases again, which is called the “false water level” [59]. This is caused by the imbalance of the input and output mass, the pressure in the evaporator, and the steam volume below the water surface. After the opening of the steam valve increases by 20%, the pressure in the evaporator decreases instantly, so the water temperature at this time is higher than the saturation temperature that corresponds to the new pressure. Therefore, some water vaporizes, and the steam volume below the water surface increases, making the water level temporarily rise. When the vaporized steam escapes from the water, the water level falls. Then the water level rises again because the water mass flow rate is higher than the steam mass flow rate in the end. As seen in Fig. 9 (c), after the disturbance, the outlet temperature of the thermal oil in the preheater gradually decreases to 287 °C due to enhanced convection heat transfer in the preheater caused by the increase in the feed water mass flow rate. The outlet temperature of the steam in the superheater declines first and then rises to 382 °C because the steam mass flow rate increases first and then decreases. The new steady-state temperature of the steam is larger than that before the disturbance. The system transition time is also about 200 s.

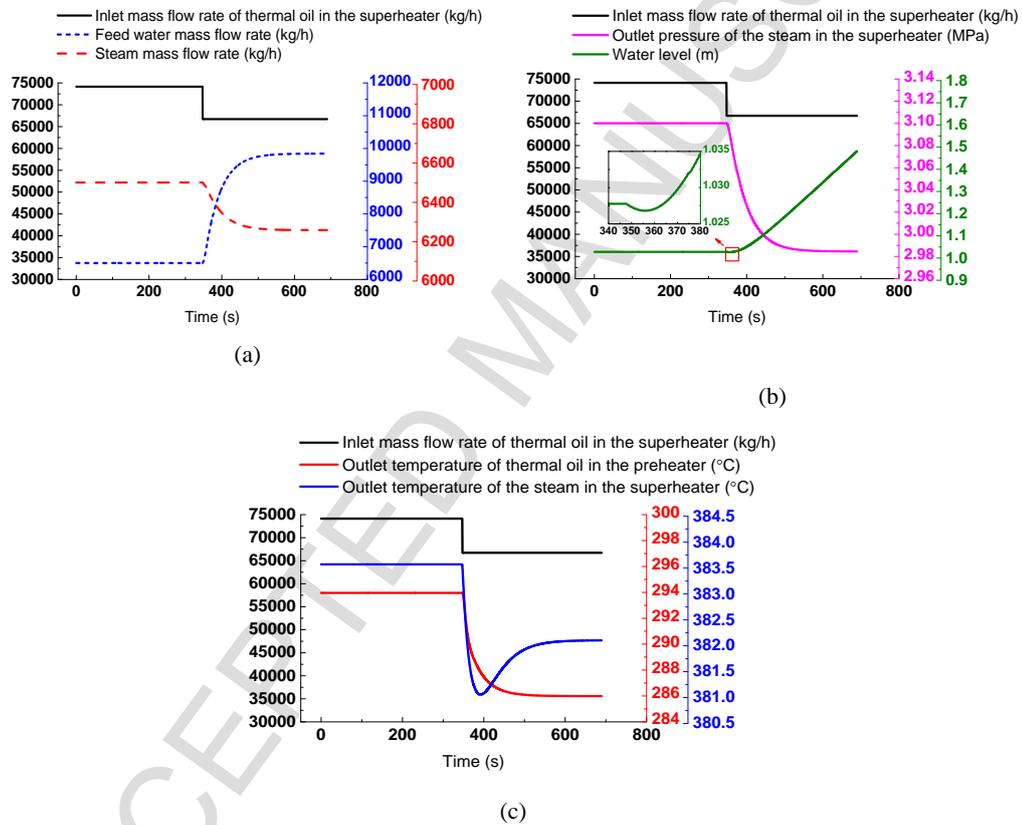
In the dynamic simulation of decreasing the steam mass flow rate, after the opening of the steam valve decreases by 20%, variation trends of the main system parameters are contrary to those in the former simulation as shown in Fig. 9 (a'), (b') and (c'). They can be explained by causes which are contrary to those given in the dynamic simulation of increasing the opening of the steam valve by 20%. The whole

551 system works under a new stable operation condition after about 270 s. In addition, the
 552 feed water mass flow rate decreases to 0 kg/h finally as shown in Fig. 9 (a') because the
 553 pressure in the evaporator (3.67 MPa) is higher than the feed water pressure (3.21 MPa)
 554 under the new stable operation condition.

555 4.1.3 Dynamic simulation of the thermal oil inlet mass flow rate step disturbance

556 Because DNI changes over the course of one day, it is usually necessary to regulate
 557 the mass flow rate of the thermal oil flowing through the collector field, which is also
 558 the mass flow rate of the thermal oil flowing through the SGS, to keep the outlet
 559 temperature of the thermal oil in the collector field constant. Therefore, the process of
 560 reducing the thermal oil inlet mass flow rate by 10% was simulated. Before the step
 561 disturbance starts, the SGS works under the rated operation condition. When $t = 347$ s,
 562 the thermal oil inlet mass flow rate is reduced by 10%, from 74,130 kg/h to 66,717 kg/h,
 563 and it lasts 342 s. The simulation results are shown in Fig. 10.

564



565 Fig. 10. Results of the dynamic simulation of the thermal oil inlet mass flow rate step
 566 disturbance.

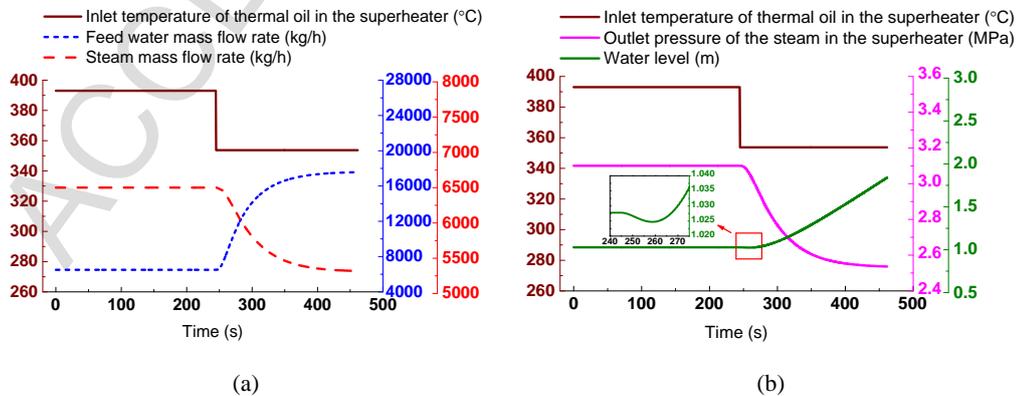
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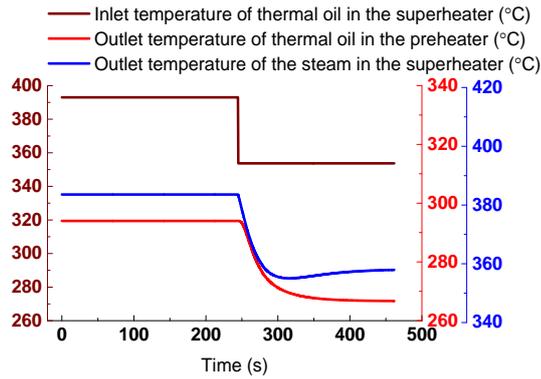
568 In the dynamic simulation of the thermal oil inlet mass flow rate step disturbance,
 569 when the thermal oil inlet mass flow rate steps down, convection heat transfer at the
 570 thermal oil side in the evaporator weakens. Therefore, the heat released by the thermal
 571 oil decreases and the amount of the generated steam also decreases, leading to a decline
 572 of the steam pressure in the evaporator. The outlet pressure of the steam begins to drop
 573 and finally reaches 2.98 MPa. According to equations (18) and (19), the decrease in the
 574 steam pressure leads to an increase in the feed water mass flow rate, which eventually

575 reaches 9842 kg/h. However, the steam mass flow rate falls to 6259 kg/h as shown in
 576 Fig. 10 (a). As shown in Fig. 10 (b), in this disturbance process, a “false water level”
 577 also occurs. Due to the decrease in the thermal oil inlet mass flow rate, the heat absorbed
 578 by the water decreases, and the steam volume below the water surface decreases, so the
 579 water level declines. When the underwater steam volume is stable again, the water level
 580 increases, which is determined by the imbalance of the input and output mass from then
 581 on. Analysis of the variations in the working fluid temperature shown in Fig. 10 (c)
 582 reveals that when the thermal oil inlet mass flow rate steps down, the decrease in the
 583 thermal oil inlet mass flow rate leads to an increase in the thermal oil temperature drop
 584 based on the principle of energy conservation, so the outlet temperature of the thermal
 585 oil in the preheater begins to drop until 286 °C. Also, the temperature of the steam at
 586 the outlet of the superheater decreases first and then increases to 382.1 °C, which is
 587 lower than the temperature before disturbance. The reason for the variation of the steam
 588 temperature is that, although the steam mass flow rate decreases with the step down of
 589 the thermal oil inlet mass flow rate, the variation is insufficient to eliminate the impact
 590 of the decrease of the thermal oil inlet mass flow rate on the outlet steam temperature,
 591 so it decreases. When the steam mass flow rate continues to decrease, the outlet steam
 592 temperature begins to rise. It takes about 200 s for the system to work under a new
 593 steady-state operation condition.

594 4.1.4 Dynamic simulation of the thermal oil inlet temperature step disturbance

595 In the process of keeping the outlet temperature of the thermal oil in the collector
 596 field constant by adjusting the thermal oil mass flow rate, the outlet temperature, which
 597 is also the temperature of the thermal oil entering the SGS, does not remain stable at
 598 first due to the thermal inertia of the collectors. Although the step disturbance of the
 599 temperature cannot occur during actual operation of the system, the dynamic
 600 characteristics in this case are more obvious and can be compared with the results
 601 obtained in the process of the thermal oil inlet mass flow rate step disturbance, so the
 602 dynamic process of the thermal oil inlet temperature step disturbance was simulated. At
 603 the start of the simulation, the SGS works under the rated operation condition. When t
 604 = 245 s, the inlet temperature of the thermal oil in the system steps down by 10% from
 605 393 °C to 354 °C, and it lasts for 217 s. The simulation results are shown in Fig. 11.
 606





(c)

Fig. 11. Results of the dynamic simulation of the thermal oil inlet temperature step disturbance.

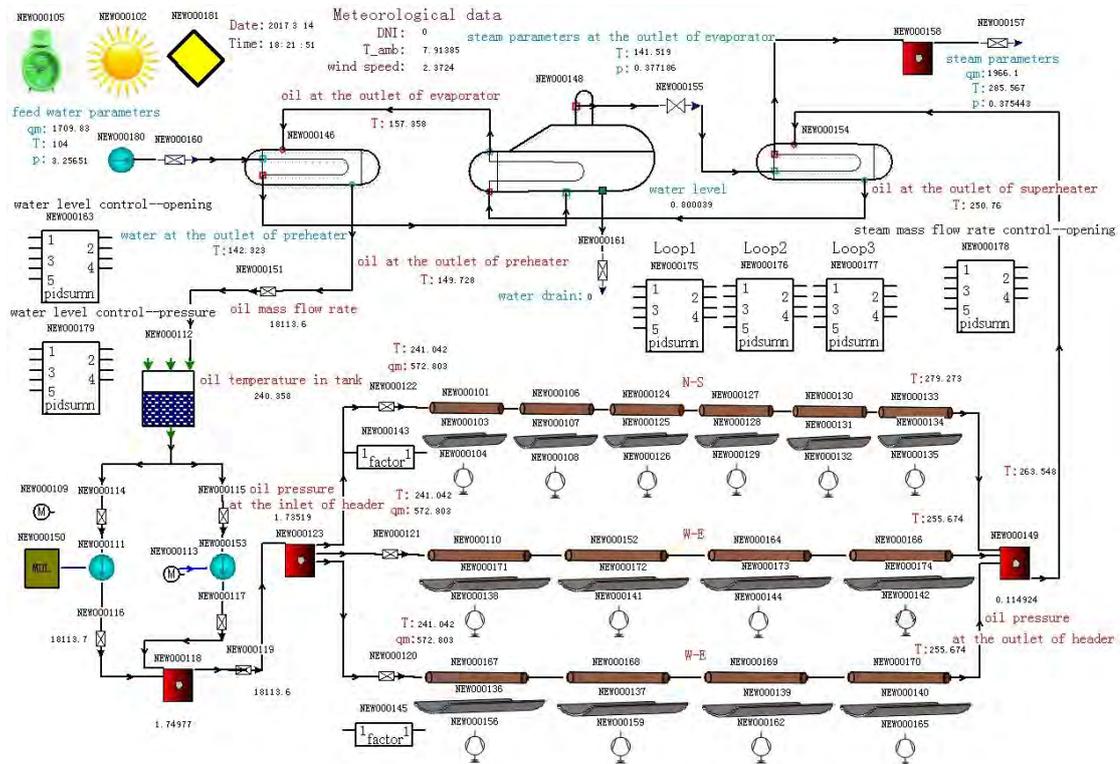
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610 In the dynamic simulation of the thermal oil inlet temperature step disturbance,
611 when the thermal oil inlet temperature steps down, the heat released by the thermal oil
612 in the evaporator decreases and the amount of the generated steam also decreases,
613 leading to a decline of the steam pressure. Hence, the pressure of the steam at the outlet
614 of the superheater begins to decrease and finally reaches 2.53 MPa. As the pressure in
615 the evaporator drops lower, according to equations (18) and (19), the feed water mass
616 flow rate rises and eventually reaches 17,634 kg/h, while the steam mass flow rate
617 decreases, eventually reaching 5309 kg/h as shown in Fig. 11 (a). As seen in Fig. 11
618 (b), in this case, the “false water level” phenomenon also occurs; the water level
619 decreases first and then increases. The reason is the same as in the process of the thermal
620 oil inlet mass flow rate step disturbance. As seen in Fig.11 (c), when the disturbance
621 occurs, the outlet temperature of the thermal oil in the preheater starts to drop due to
622 the decrease in the thermal oil temperature at the inlet, finally reaching 267 °C. The
623 outlet temperature of the steam in the superheater decreases first and then increases,
624 finally reaching 358 °C, which is lower than the steady-state temperature before the
625 disturbance. The reason for the variation of the steam temperature change is also the
626 same as in the process of the thermal oil inlet mass flow rate step disturbance. The
627 transition time of the entire system is about 200 s.

628 4.2 System-level simulations

629 To present the unique dynamic characteristics of the SGS in a PTSP plant, the
630 influence of DNI variation on the output of the SGS should be considered. Therefore,
631 the SGS model was connected with the existing dynamic model of the collector field of
632 the Yanqing 1-MWe PTSP pilot plant developed by Zhao [44, 60], and system-level
633 simulations were conducted on the STAR-90 simulation platform. The collector field
634 model includes a DNI model, a solar collector assembly (SCA) operation model, an
635 SCA optical model, and an absorber model [44, 60, 61]. A schematic diagram of the
636 SGS model connected with the collector field model on the STAR-90 simulation
637 platform is shown in Fig. 12. It should be noted that the STAR-90 simulation platform
638 is a real-time simulation platform, so it will take the platform one year to conduct

639 system-level simulations during an entire year. Hence, in this paper, the simulations are
 640 limited to those on different days, including the clear and the cloudy, and on typical
 641 days in different seasons.
 642



643
 644 Fig. 12. Schematic diagram of the SGS model connected with the collector field model on the
 645 STAR-90 simulation platform.
 646

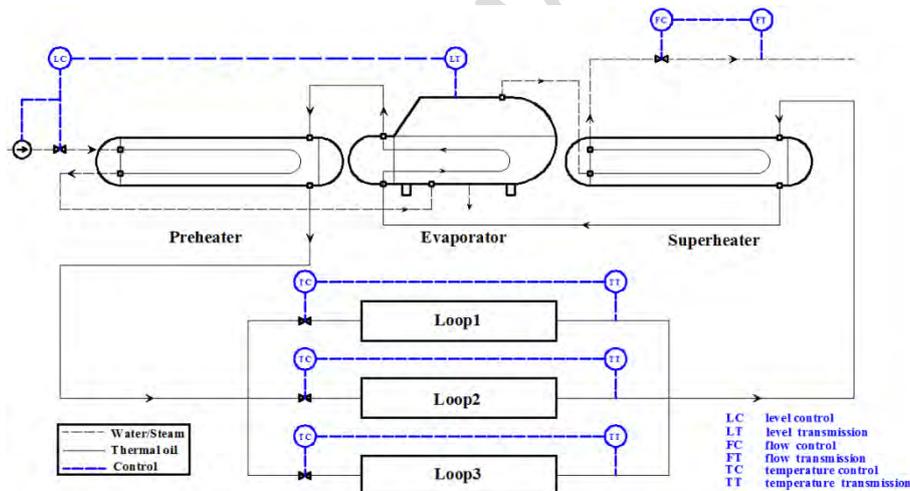
647 4.2.1 Control strategy design

648 To conduct system-level simulations, a reasonable control strategy is required,
 649 which can be obtained based on the dynamic simulation results of single-parameter
 650 disturbances previously presented.

651 A comparison of the dynamic simulation results of the feed water mass flow rate
 652 disturbance with those of the steam mass flow rate disturbance indicates that the
 653 variations of the system parameters, such as working fluid outlet temperature and the
 654 steam outlet pressure, are more sensitive to the steam mass flow rate disturbance. In the
 655 processes of the feed water mass flow rate disturbances, the variations of the outlet
 656 temperature of the thermal oil in the preheater and the steam in the superheater are only
 657 1 °C and 0.04 °C for the process of increasing the opening of the feed water valve, 0.63
 658 °C and 0.04 °C for the process of decreasing the opening of the feed water valve,
 659 respectively, and the variations of the steam pressure at the outlet of the superheater are
 660 only about 0.0016 MPa (1.6 kPa) and 0.0015 MPa (1.5 kPa), respectively. In addition,
 661 no “false water level” phenomena occur because the variations of pressure in the
 662 evaporator are small. In the processes of the steam mass flow rate disturbances, the
 663 “false water level” is not obvious. The maximum water level fluctuations are only 20
 664 mm and 23 mm, respectively.

665 Analysis of the results obtained from the dynamic simulations of the thermal oil
 666 inlet mass flow rate and temperature step disturbance indicates that the step disturbance
 667 of the thermal oil inlet temperature has a more significant influence on the system
 668 parameters. In the process of the thermal oil inlet temperature step disturbance, the
 669 thermal oil and steam outlet temperature variations are 26 °C and 25 °C, respectively,
 670 and the steam outlet pressure variation is 0.57 MPa, which are all larger than those in
 671 the process of the thermal oil inlet mass flow rate step disturbance. In addition, the
 672 “false water level” occurring in these two processes is also not obvious. The maximum
 673 variations are only 1 mm and 3 mm, respectively.

674 Therefore, to guarantee system parameter stability during operation, the steam
 675 mass flow rate, rather than the feed water mass flow rate, is controlled. It is maintained
 676 at 6500 kg/h by regulating the opening of the steam valve. And the outlet temperature
 677 of the thermal oil in the collector field, that is, the inlet temperature of the thermal oil
 678 in the SGS is maintained at 393 °C by regulating the thermal oil mass flow rate, which
 679 is the same as the control strategy used in many commercial PTSP plants. Moreover,
 680 because the “false water level” is not obvious for the SGS in Yanqing, PI control by
 681 regulating the feed water mass flow rate can be adopted for water control [59]. During
 682 the simulations, the desired water level was set as 0.8 m, and the mass flow rate of the
 683 feed water was regulated by adjusting its pressure and the opening of the feed water
 684 valve. A schematic diagram of the control strategy is shown in Fig. 13.



686
 687 Fig. 13. Schematic diagram of control strategy for system-level simulations.

688 4.2.2 Boundary conditions

690 Real meteorological data in Yanqing was selected as boundary conditions for the
 691 system-level simulations. The data includes the DNI variations, wind speed, and
 692 ambient temperature on different days (clear and cloudy) and in different seasons
 693 (spring, summer, autumn, and winter) as shown in Fig. 14.

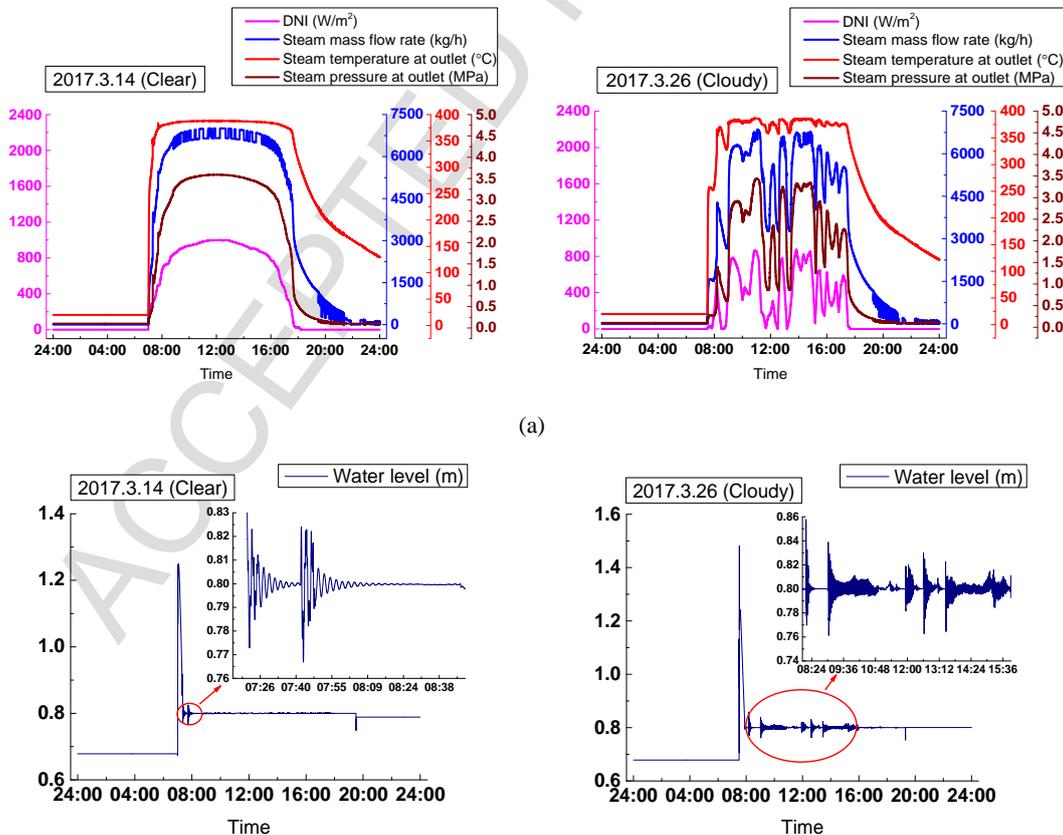
705 value around 12:00 on each day, but the ambient temperature has its peak value in the
 706 afternoon at about 16:00. On June 26th, the sun rises at 5:30 and sets at 19:30, so the
 707 duration of sunshine is 14 h. The day length on March 14th is equal to that on September
 708 20th, which is nearly 12 h. On December 22nd, the day length is only 8.5 h. In addition,
 709 on June 26th, the value of DNI in Yanqing is not the maximum among the four selected
 710 days due to lower atmosphere transparency caused by poor air quality. Fig. 14 (b) also
 711 shows that on December 22nd, there is strong wind in Yanqing but lighter breezes on
 712 March 14th and September 20th. On June 26th, there is no wind, which causes tiny
 713 particles to float in the air and leads to poor air quality.

714 The meteorological header file was programmed using the real meteorological data
 715 on different days and in different seasons and then saved in the STAR-90 algorithm
 716 library. The time resolution of the meteorological dataset is 10 min and that of the
 717 dynamic simulation code is 0.5s, so in order to match them, the linear interpolation
 718 method was adopted during each simulation.

719 4.2.3 Simulations on different days

720 Before the simulations on different days started, the initial conditions had to be set
 721 first. For comparison, the initial conditions for the simulation on the clear day were the
 722 same as that on the cloudy day. The initial temperature of the thermal oil in the collector
 723 field was set to 120 °C. The initial mass flow rate and pressure of the steam were set to
 724 0 kg/s and 0.1 MPa, respectively. The initial water level in the evaporator was set to
 725 0.678 m. The temperature of the feed water was set to 104 °C and was maintained
 726 during the simulations. The simulation results are shown in Fig. 15.

727



(b)

728 Fig. 15. Results of system-level simulations on different days (steam mass flow rate, steam
729 temperature, steam pressure, and water level).

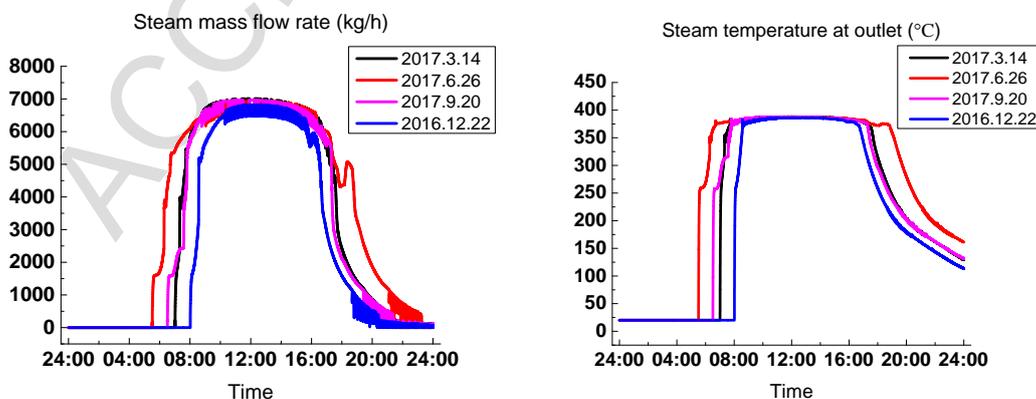
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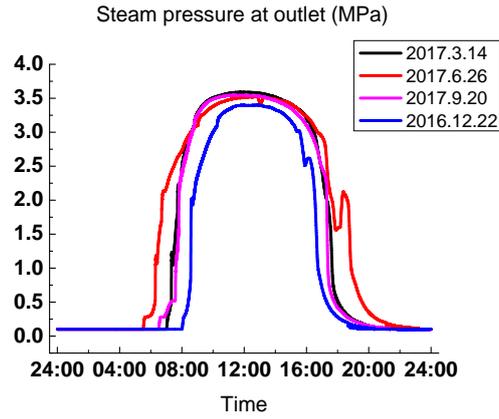
731 As seen in Fig. 15, on the clear day, the steam mass flow rate, temperature, and
732 pressure vary with DNI, and the steam temperature reaches the stable value, about 387
733 °C, earlier than the other two parameters. The reason is that the amount of the steam
734 generated by SGS is lower at the initial stage of operation when DNI is low, which
735 increases the steam temperature rise rate, and the SGS requires more heat, namely
736 higher DNI, to generate more steam to obtain the stable steam mass flow rate and
737 pressure. Hence, it takes the steam mass flow rate and pressure longer to reach the stable
738 values. At 8:47, the steam mass flow rate starts to oscillate slightly around 6500 kg/h
739 until 16:27 because the control for the steam valve functions. On the cloudy day, the
740 steam mass flow rate, temperature, and pressure also vary with DNI, but they vary more
741 widely than on the clear day due to the drastic variation of DNI on the cloudy day. In
742 addition, the variation of the steam temperature shows inertia in comparison with the
743 variation of the other two parameters due to the thermal storage capacity of the SGS.
744 On the clear day, the water level oscillates at first because the adjustment of the water
745 level by PI control needs transition time. On the cloudy day when DNI varies rapidly,
746 to keep the thermal oil temperature at the outlet of the collector field constant, the mass
747 flow rate of the thermal oil in the collector field, that is, the mass flow rate of the thermal
748 oil flowing into the SGS, oscillates frequently. Thus, the SGS operates with frequent
749 disturbances, and PI control of the water level functions continuously. Therefore, the
750 water level oscillates longer. In addition, the water level is controlled well, and its
751 maximum dynamic deviation is 6 mm (the first peak value of the water level is mainly
752 caused by the initial conditions, not by the control strategy), even under strong
753 disturbance conditions on the cloudy day.

754 4.2.4 Simulations in different seasons

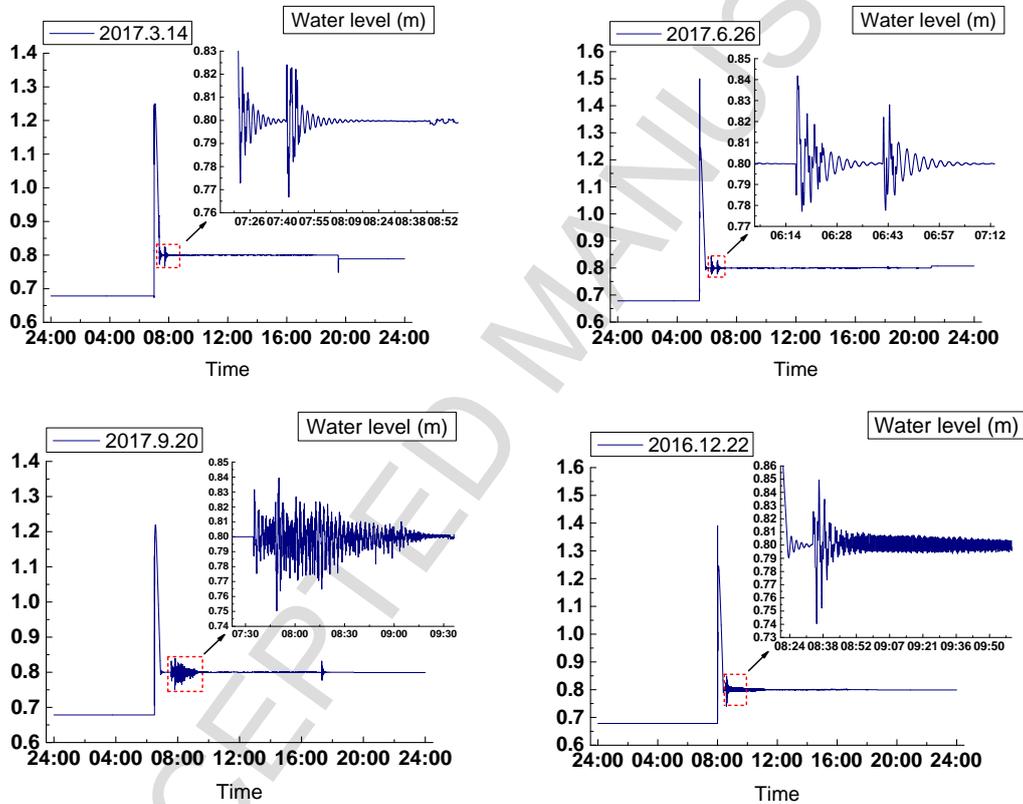
755 The initial conditions also had to be set before the simulations for different seasons
756 started. For comparison, the initial conditions for the simulations in different seasons
757 were set to be identical to those on different days. The simulation results are shown in
758 Fig. 16, Fig. 17 and Fig. 18.

759





760 Fig. 16. Results of system-level simulations in different seasons (steam mass flow rate, steam
 761 temperature, and steam pressure).
 762



763 Fig. 17. Results of system-level simulations in different seasons (water level).
 764

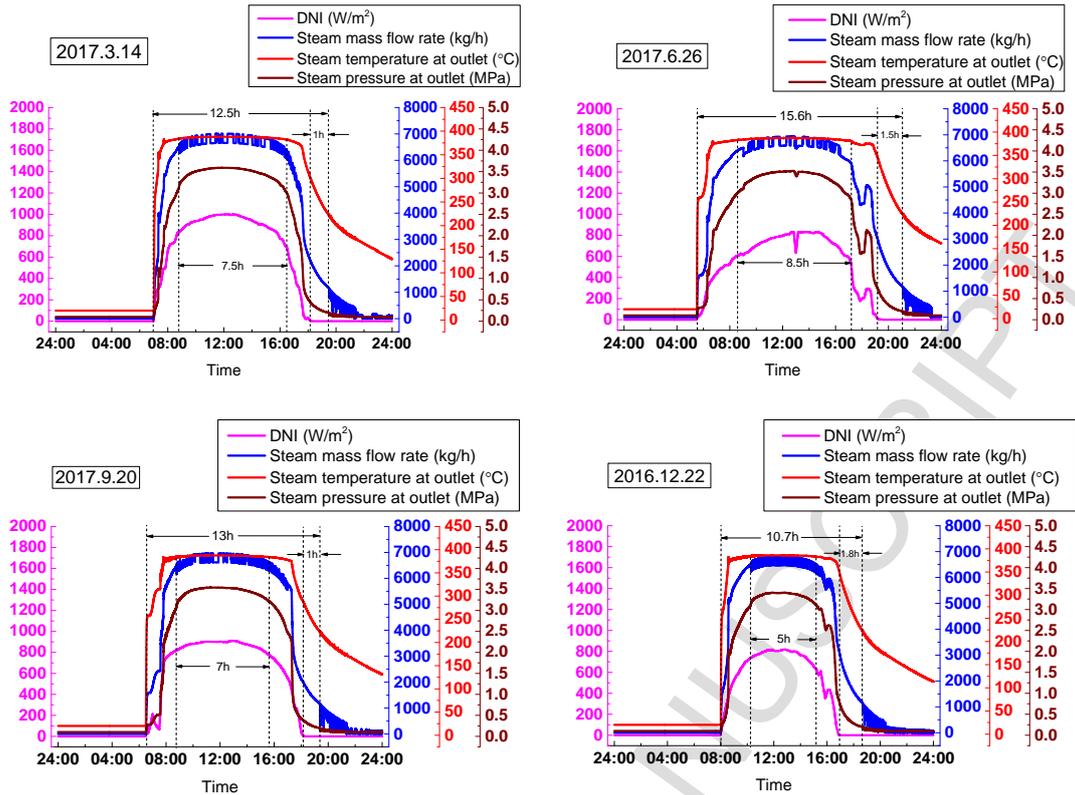


Fig. 18. Results of system-level simulations in different seasons (operation time).

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As seen in Fig. 16, on June 26th, the sun rises earliest, so the SGS generates steam first on that day. By regulating the steam valve, the maximum steam mass flow rate is around 6500 kg/h on four days, and the stable temperature the steam reaches is almost the same, about 387 °C, due to thermal storage capacity of the SGS. However, the maximum steam pressure is different on these four days because the maximum DNI is different. On March 14th, the steam pressure is the highest at 3.59 MPa, followed by 3.51 MPa and 3.54 MPa on June 26th and September 20th, respectively. On December 22nd, the maximum pressure the steam can reach is 3.4 MPa, the lowest of the four days. As seen in Fig. 17, the water level oscillates at first in all seasons, and the explanation is the same as that on the clear day. Besides, the water level is controlled well in all seasons, and its maximum dynamic deviation is 6 mm.

In Fig. 18, the stable operation time of the SGS on the four typical days is shown. The stable operation time of the SGS on June 26th is the longest in comparison with the other three selected days, which lasts 8.5 h, followed by 7.5 h, and 7 h on March 14th and September 20th. The time on December 22nd is the shortest, only 5 h. Fig. 18 also shows that the SGS can continue to generate steam after the sun sets because there is still heat stored in the thermal oil in the collector field. The durations are 1 h, 1.5 h, 1 h, and 1.8 h, respectively, on the four days. In addition, Fig. 18 reveals that on June 26th, there is the longest operation time for the SGS in Yanqing in comparison with the other three selected days, which is 15.6 h, followed by 12.5 h and 13 h on March 14th and September 20th, and the time on December 22nd is 10.7 h. The above analysis shows that longer duration of DNI leads to longer operation period of the system.

789 5. Conclusions and future work

790 In this paper, the oil/water steam generation system of the Yanqing 1-MWe
791 parabolic trough solar power pilot plant was modeled and the modeling method was
792 presented in detail. In the steady-state validation, the simulation values show good
793 agreement with the design values, and the maximum error is not above 1%. Based on
794 the developed model, four typical single-parameter disturbance and system-level
795 dynamic simulations were carried out. The simulation results provide insights that can
796 be used as guidance for system operation. These insights are summarized as follows.

797 i. The steam mass flow rate disturbance and the thermal oil inlet temperature step
798 disturbance have more obvious impact on the system parameters. Therefore, the steam
799 mass flow rate and thermal oil inlet temperature should remain unchanged to ensure
800 that the system operates as steadily as possible.

801 ii. PI control by regulating the feed water mass flow rate can be used to adjust the
802 water level.

803 iii. The output of the system is greatly influenced by DNI. On a cloudy day, the
804 steam mass flow rate, temperature, and pressure vary with DNI more widely compared
805 to that on a clear day; therefore, system operation on cloudy days should be avoided to
806 guarantee the safety of the steam turbine, or the thermal energy storage system should
807 be used to keep the steam parameters stable. Longer duration of DNI leads to longer
808 operation period, so it can be concluded that in summer, the system has the longest
809 mean operation period in a year.

810 iv. The plant operators can use this system to continue to generate steam after the
811 sun sets due to the heat stored in the thermal oil in the collector field.

812 The modeling method described in this paper can also be extended to the modeling
813 of steam generation systems using other working fluid, such as molten salt/water, by
814 changing thermal oil properties to molten salt properties or to properties in a solar
815 thermal power plant with a different capacity, such as 50 MWe. In addition, the
816 developed model is a general model. Thus, it can be used to design simulators of
817 specific PTSP plants together with the corresponding collector field model to train plant
818 operators. In the future, the influence of the DNI will be studied throughout a year by
819 considering a typical meteorological year using other system simulation software. The
820 thermal energy storage system will be considered and its influence on the output and
821 nighttime operation of the SGS will be investigated.

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824

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Highlights:

1. The modeling method of a steam generation system is provided in detail.
2. System-level simulations are conducted using the real meteorological data.
3. The influence of DNI on the output of the system is analyzed.
4. The steam generation system can continue to generate steam after the sun sets.