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Title page

Effects of an ergonomic program on the quality of life and work performance of university staff with physical disabilities: a pilot clinical trial with three-month follow-up

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1 **Effects of an ergonomic program on the quality of life and work performance of**
2 **university staff with physical disabilities: a pilot clinical trial with three-month**
3 **follow-up**

4

5 **ABSTRACT**

6 *Background:* Problems related to physical disability may have an extremely negative
7 impact in the work environment, reducing productivity and contributing to health
8 problems and a worsening quality of life.

9 *Objective:* To assess the effects of an ergonomic intervention program on the quality of
10 life and the work performance of people with physical disabilities working in a
11 university environment.

12 *Methods:* A pilot clinical trial with three-month follow-up was conducted at the XXX of
13 the Federal University of XXX (Brazil). Eight workers at the university took part in an
14 ergonomic adjustment (using ErgoDis/IBV software) and physiotherapy program at
15 their workplace for twelve weeks, in two 60-minute sessions per week. The measuring
16 instruments used were the WHOQoL-BREF questionnaire for quality of life and the
17 Work Ability Index for work ability. A repeated-measures ANOVA analysis and
18 Wilcoxon signed-rank test were also performed.

19 *Results:* Significant intra-group changes were observed in the QoL subscales for the
20 physical dimension ($F=5.487$, $p=0.017$) and the environment dimension ($F=7.510$,
21 $p=0.006$). The post-treatment analysis revealed significant changes for both the physical
22 dimension ($Z=-2.552$, $p=0.011$) and the environment dimension ($Z=-2.201$, $p=0.028$).
23 After the three-month follow-up period, only the environment dimension recorded a

24 significant change ($Z=-1.965, p=0.049$). The effect sizes were large. Regarding work
25 ability, the repeated-measures ANOVA analysis showed a significant time effect
26 ($F=5.067, p=0.022$), with large pre-post treatment improvement ($Z=-2.555, p=0.011,$
27 $d=0.914$).

28 *Conclusions:* The program based on ergonomic and physiotherapy program greatly
29 enhanced the subjects' quality of life and work ability.

30

31 *Key words:* Physical disability; University workers; Rehabilitation; Ergonomics;
32 Quality of life; Work ability.

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37 **Introduction**

38 People with physical disability are living longer and more active lives. There
39 is growing interest in developing programs to facilitate their independent living, self-
40 management and occupational capability. Health professionals in rehabilitation units
41 should be aware of positive (feelings of accomplishment) and negative (anticipatory
42 anxiety) aspects of these changes. Success in this regard can greatly improve the clients'
43 quality of life (QoL) and decrease the progressive effects of their disability.^{1,2} Pain,
44 fatigue, deconditioning and mobility problems may all impose substantial limitations on
45 body structures, functions and participation in instrumental activities of daily living
46 such as employment.³ Physical disability manifestations should not be evaluated in
47 isolation, and its treatment should be contextualized from a biopsychosocial
48 perspective.⁴

49 People with lifelong physical disabilities may encounter many obstacles to
50 entering the labor market, including low self-esteem, lack of motivation,
51 preconceptions, prejudice and reduced productive capability.¹ These problems are
52 heightened by the existence of architectural and environmental barriers that hinder
53 access to public places, including leisure facilities and the workplace. In addition,
54 physical disability may have a negative impact on people's lives, at work and at home,
55 reducing productivity, aggravating the need for sick leave and affecting leisure time. In
56 turn, these factors contribute to health problems that are reflected in the general QoL
57 and, by extension, at work.^{5,6} The situation of the worker with disability is characterized
58 by the need for constant effort and adaptation, since physical disability may be
59 irreversible. Indeed, the work setting can worsen the condition, exacerbating difficulties
60 in job performance and sometimes obliging the worker to take leave of absence or early

61 retirement.^{7,8} The latest data from the US National Health Interview Survey show that
62 47.1% of American adults aged 18-64 years with disabilities are physically inactive, in
63 comparison with only 26.1% of adults with no such disabilities. Moreover, inactive
64 adults with disabilities are 50% more likely to report one or more chronic diseases
65 compared to those who are physically active.⁹

66 Studies have reported that ergonomic inadequacies related to the
67 biomechanical posture applied to perform work activities can provoke musculoskeletal
68 injuries, thus increasing pain and morbidity.¹ Sub-optimum working conditions have
69 also been related to low job satisfaction, high levels of emotional exhaustion, the
70 development of occupational diseases and high rates of sick leave.⁶ The physical
71 environment of the workplace is an issue of major importance since a large proportion
72 of a person's productive life is spent in this context. At present, the incorporation in the
73 workplace of persons with physical disability is encouraged as a means of enabling
74 them to acquire greater autonomy and productivity. However, to achieve these goals,
75 employers must provide the basic conditions for such workers to develop their potential
76 and to lead a fuller life.¹⁰

77 The work of rehabilitation professionals is usually focused on health
78 promotion, disease prevention and the rehabilitation of clients who need to maintain or
79 restore movement and functional capacity, whether or not they have a physical
80 disability. Specifically, occupational physiotherapy addresses the prevention and
81 treatment of chronic and degenerative diseases in workers, including repetitive strain
82 injury and work-related musculoskeletal disorders. In order to conduct an appropriate
83 rehabilitation intervention, an ergonomic and biomechanical analysis of the worker and
84 the workplace should first be performed.¹¹ Moreover, the principles of therapeutic

85 exercise and the expected degree of recovery from physical disorder or discomfort
86 should be taken into consideration.¹² Regarding workers with physical disability, it is
87 important to determine the perception of their own situation. This is commonly done by
88 means of a QoL evaluation. This assessment reflects the level of physical, mental, social
89 and environmental functioning, including aspects such as relationships, perceptions of
90 health, general satisfaction with life, overall well-being, needs, wishes and
91 ambitions.^{13,14} The worker's individual skills and the functional requirements of the job
92 must also be analyzed to ensure that the latter do not exceed the individual's
93 capabilities. In other words, the work demanded must be feasible and safe.¹⁵⁻¹⁷

94 The work ability and effectiveness of persons with disability is directly related
95 to their physical and mental welfare.^{17,18} Accordingly, workers' health status must be
96 monitored, with particular attention to the critical aspects of the tasks required by the
97 job that may prejudice the QoL and occupational health.¹⁹ Therefore, longitudinal
98 studies are needed to address and rectify these situations, or at least to prevent them
99 from worsening. The efficacy results obtained from clinical trials could facilitate the
100 design of rehabilitative strategies to enhance the QoL and personal resources of workers
101 with physical disabilities. Accordingly, this pilot clinical trial had the following aims: 1)
102 to evaluate the effectiveness of a program of ergonomic intervention, in which the
103 workplace is adapted to the worker's needs and in which additional physical therapy is
104 provided in accordance with the individual's abilities and limitations, with respect to the
105 QoL and work ability/capacities of university workers with physical disabilities; 2) to
106 estimate the sample size required for future randomized controlled trials with similar
107 aims in workers with specific needs.

108 **Method**

109 *Study design*

110 A pilot intra-subject clinical trial with three-month follow-up was performed,
111 based on the following within-subject repeated measures: pre-treatment/baseline, post-
112 treatment (after three months of treatment) and follow-up (at three months after the
113 conclusion of treatment).

114 *Participants*

115 The initial sample was composed of eleven workers (the total eligible
116 population in the context of this study setting), who were fully informed about the study
117 and who gave their written consent to take part. The participants were persons with
118 physical disability, recruited at the Federal University of XX (XXX, Brazil). Finally,
119 eight participants were included in the study. The participants were diagnosed with
120 Cerebral Palsy (1), Polio (2), Lower-limb Impairments (3), Myelomeningocele (1), and
121 Limb Amputation (1). The majority of them were single, higher education level and
122 administrative assistants working eight hours a day. These persons received a 12-week
123 ergonomic program consisting of a weekly ergonomic program together with weekly
124 physiotherapy sessions.

125 *Selection criteria*

126 The following inclusion criteria were applied: 1) aged at least 18 years; 2)
127 employed for at least one year in the university; 3) affected by a physical disability; 4)
128 continuing in this employment during the study period.

129 The exclusion criteria were: 1) mental illness; 2) behavioral disorders; 3) drug
130 abuse; 4) cognitive impairment; 5) severe physical disability; 6) illiteracy; 7) non-
131 provision of informed consent to participate.

132 *Ethical aspects*

133 This study was approved by the Ethics Committee of the Federal University of
134 XXX (XXX, Brazil), in accordance with Resolution 196/96, Protocol 0160/13, on
135 research involving human subjects. All participants were properly informed and gave
136 their written consent to participate in the study.

137 *Outcome measures*

138 Sociodemographic, occupational and clinical data were obtained for each
139 participant. The measuring instruments used were the WHOQoL-Bref questionnaire²⁰
140 and the Work Ability Index.²¹ Both instruments were applied to the three study periods:
141 pre-treatment, post-treatment and follow up.

142 The World Health Organization Quality of Life Scale (WHOQoL-Bref)
143 contains 26 questions that assess a person's quality of life and health-related
144 satisfaction. This instrument has four subscales: physical, psychological, social
145 relationships and environment. The answers are scored on a Likert scale from 1 to 5
146 points, where the higher the score, the better the quality of life. The raw score for each
147 domain is used to calculate the transformed score.²⁰

148 The Work Ability Index (WAI) includes a worker's self-assessment of health
149 and capacity to work. It can be used by health services at the workplace, enabling early
150 diagnosis of the loss of work ability. This instrument is also used in disease prevention
151 and in programs to maintain and promote occupational health. The WAI is composed of
152 seven elements, reflecting the physical and mental demands of the job, together with the
153 worker's health status and capabilities. The score obtained for each item ranges from 1
154 to 7 points and the total score ranges from 7 to 49 points. A score of 7-27 points

155 corresponds to a low level of work capacity, one of 28-36 moderate capacity, 37 to 43
156 good capacity, and 44-49 excellent capacity.²¹

157 *Intervention*

158 The interventions were carried out by three physiotherapists, each of whom had
159 over 10 years' experience in the treatment of physical disability clients. The
160 intervention program was applied for 12 weeks, with 60-minute sessions provided twice
161 weekly (24 sessions). The interventions were carried out at XXX Clinical School of
162 Physiotherapy from XXX University of XXX (XXX, Brazil). The study subjects were
163 workers with physical disabilities who took part in the intervention program, based on
164 ergonomic adjustments in the workplace and customized physical therapy. The
165 intervention program was divided into three main actions:

166 ErgoDis/IBV application: Firstly, we evaluated the work environment and the
167 postures adopted by the workers in the performance of their activities. This tool includes
168 direct and indirect observation by video recording that follows the checklist specified
169 for this instrument. It also systematizes activities in accordance with possible adaptation
170 solutions offered from a database contained in the software. Secondly, following the
171 indications of the ErgoDis/IBV program, functional ergonomic adaptations were applied
172 to the organization of the workplace. The ERGODIS-IBV method analyzes the work
173 and the worker, following the analysis and treatment of the data and decisions on the
174 case, based on the identification of the degree of adjustment or mismatch between the
175 demands and the functional capacity of each worker. This method allows to evaluate
176 and prevent the risks derived from the work activity, in order to avoid worsening
177 physical disability and musculoskeletal pain. When analyzing the workplace, this
178 method evaluates whether the design of the room or furniture are inadequate for each

179 person. According to the software, adjustment needs were identified on: 1) the height of
180 the seat of the chair to adjust it to a correct angle of the knee, since everyone works
181 using a computer; 2) use of backrest in the chair; 3) adjustment of the height of the
182 computer screen; 4) increase the height of the table, so that the chair can be closer to the
183 table; and 5) organization of objects on the table. We implemented adaptations such as
184 including adjustments to the furniture modifying the office chair and replacing the desk
185 to achieve a more appropriate height, as well as adjusting the monitor settings and the
186 layout of other objects in the workplace, according to the worker's individual
187 requirements. These changes were aimed at promoting greater efficiency and
188 satisfaction in the activities performed at work, and at reducing levels of stress in daily
189 occupational tasks.²²

190 Body posture module: this part of the intervention program included body
191 posture adjustments and recommendations offered by the XXX Posture School. This
192 institution provides teaching methodologies to promote and achieve good posture in the
193 activities of work and daily life, through healthy habits and anatomical knowledge of
194 the factors that can provoke musculoskeletal pain. Firstly, each worker identified these
195 factors in their work setting and their individual needs. Secondly, the participants were
196 given an illustrated practical guide to maintain good posture in the workplace and
197 during the activities of daily living.

198 Physical therapy intervention: the study sample received exercises based on
199 kinesitherapy and hydrotherapy/balneotherapy. This intervention was initiated
200 immediately after making the ergonomic adjustments in the workplace. Each session
201 was structured as follows: firstly, warm-up exercises for general activation, with active
202 mobilization of the upper and lower limbs (when possible) to prepare the body for

203 performing the exercises and to avoid the risk of injury. Then, kinesitherapy (passive
204 and/or stretching, flexibility and muscle strength exercises) and
205 hydrotherapy/balneotherapy were implemented. Finally, relaxation exercises based on
206 an adaptation of the Jacobson technique were performed, to release tensions and to
207 promote the further enrichment of body schema and awareness.²³

208 *Data analysis*

209 The statistical analysis was conducted using the statistical program SPSS version
210 22.0. After a descriptive analysis of demographic and baseline clinical variables, the
211 normal distribution of the variables was verified by the Kolmogorov-Smirnov test. A
212 repeated-measures ANOVA was performed to determine the between-time effects (at
213 baseline, immediately following the intervention and at three months after finishing the
214 program). The analyses were focused on QoL (primary outcome) and work ability
215 (secondary outcome). When the normality assumption was not met, changes in intra-
216 group scores were measured using the Wilcoxon signed-rank test. The threshold for
217 statistical significance was taken as $p < 0.05$. The effect sizes were calculated using
218 Cohen's d coefficient. An effect size of < 0.2 reflected a non-significant difference, one
219 between ≥ 0.2 and < 0.5 a small difference, between ≥ 0.5 and < 0.8 a moderate
220 difference, and ≥ 0.8 a large difference.

221 **Results**

222 *Participation*

223 Eleven workers with disability initially participated in this clinical trial. After applying
224 the selection criteria, eight were finally included in the intervention group (Fig. 1).
225 These workers were predominantly female (75%), with a mean age of 40.50 years. Due
226 to their physical limitations, 50% of the subjects needed specially adapted transport to

227 travel to and from the workplace. The sociodemographic, clinical and occupational
228 characteristics of the participants are shown in Table 1.

229 [Table 1 near here]

230 *Changes in Quality of Life and Work Ability*

231 The repeated-measures ANOVA analysis reflected significant intra-group
232 changes in the QoL subscales of physical dimension ($F = 5.487$, $p = 0.017$) and
233 environment dimension ($F = 7.510$, $p = 0.006$). At post-treatment, significant changes
234 were recorded for the physical dimension ($Z = -2.552$, $p = 0.011$) and the environment
235 dimension ($Z = -2.201$, $p = 0.028$). However, after the three-month follow-up period, a
236 significant change was observed only for the environment dimension ($Z = -1.965$, $p =$
237 0.049). The effect sizes were large. The within-group analysis showed no significant
238 improvements from baseline values for the psychological and social relationships
239 dimensions ($p > 0.05$). Regarding work ability, the repeated-measures ANOVA analysis
240 showed there was a significant time effect ($F = 5.067$, $p = 0.022$) and that the sample
241 experienced a pre-post-treatment improvement ($Z = -2.555$, $p = 0.011$). The magnitude
242 of the effect was large, with a Cohen d value of 0.914. Table 2 shows the intra-group
243 pre-post-follow-up changes recorded and the associated effect sizes.

244 [Table 2 near here]

245 *Sample size estimation for future studies*

246 The pre-post-treatment improvement recorded, of 1.63 points (standardized
247 mean difference) in the WHOQoL-Bref (physical subscale) as the primary outcome, is
248 clinically relevant in the population considered in this clinical trial. We estimate that a
249 sample size of 20 participants per arm would be needed to provide a confidence interval

250 of 95%, with a power of 80%, assuming a level of bilateral significance (α) of 0.05. In
251 addition, the sample size should be increased to 22 participants in order to allow for a
252 loss to follow-up of up to 10%.

253 **Discussion**

254 The main aim of this pilot clinical trial was to evaluate the effectiveness of an
255 ergonomic program, in which the workplace was adapted to the worker's needs and in
256 which additional physical therapy was provided in accordance with the individual's
257 abilities and limitations, focused on the QoL and work ability/capacities of university
258 workers with physical disabilities. The ergonomic intervention program, which was
259 applied for three months, achieved significant changes in these persons' QoL and ability
260 to work. Specifically, our results suggest that the program increased the levels of QoL
261 in its physical and environment dimensions. Furthermore, a short-term improvement in
262 the environment dimension was observed at three weeks after the intervention. These
263 results indicate that the workers achieved a more effective management of their tasks,
264 activities and assignments.

265 The score for the environment dimension of the QoL had increased
266 considerably by the end of the three-month follow-up period. Therefore, a closer
267 integration with the demands posed by the job seems to produce a beneficial effect on
268 individuals with physical disabilities. In this respect, the ErgoDis/IBV program has
269 previously proved its effectiveness in facilitating the evaluation and adaptation of the
270 workplace and in detecting workers' individual needs.²⁴ In a study including industrial
271 workers, the authors concluded that work ability is significantly associated with the
272 perception of QoL and that this association seems to be strongest for the physical
273 aspects of QoL.²⁵ Thus, the physical improvement achieved with the ergonomic

274 program could have influenced the workers' perceptions of their ability. A similar study
275 evaluating effectiveness of a mixed intervention program including ergonomic advices
276 and supervised exercises in a sample of hospital workers with persistent low back pain
277 showed an improvement on pain and disability.²⁶ Another research evaluated the
278 effects of ergonomic postures recommendations during work and activities of daily
279 living, as well as a mobility training program in university professors, students and
280 employees. These participants showed a reduction of pain intensity and low back
281 functional disability in comparison with a control group.²⁷ To achieve further progress
282 in this field, different strategies and resources should be implemented, carefully
283 monitoring workers with physical disability. Such actions could enhance the work
284 environment, making it more productive and minimizing the limitations caused by
285 physical disability.²⁸

286 Regarding work ability, application of the ergonomic and physiotherapy
287 program improved the results of the workers' self-assessment of health and capacity to
288 work. This clinical trial was implemented taking into account the principles reported in
289 the systematic review conducted by Kuoppala and Lamminpää,¹⁰ who emphasized the
290 importance of including the workplace in the rehabilitation process, in order to increase
291 the effectiveness of interventions. Another review identified physical and workplace
292 aspects as factors that should be addressed to improve the occupational abilities of
293 injured workers.²⁹ According to Rimmer and Lai,³⁰ specific strategies should be adopted
294 to prevent muscle or skeletal disorders, with the promotion of regular physical activity
295 and the provision of appropriate ergonomics in the workplace to minimise existing and
296 newly acquired disabilities. In this respect, instead of increasing the intensity and/or
297 frequency of rehabilitation treatment, future intervention programs should take into
298 account the benefits of a biopsychosocial approach. In this line, too, a study of a

299 physical exercise training program combined with recommendations for leisure-time
300 physical activity of moderate intensity reported significantly decreased occupational
301 absenteeism in office workers.³¹ A mobile-based intervention based on acceptance and
302 commitment therapy has proven to be effective in increasing psychological flexibility
303 related to work ability and perceived stress in individuals with symptoms of metabolic
304 syndrome and psychological stress.³² In a study enhancing the performance of
305 individuals with severe multiple disabilities, the authors found that a shared-work
306 program (sharing job duties with another worker based on respective skills and physical
307 limitations) reduced need for assistance provided and increased supported work
308 performance in several types of community jobs.³³ Finally, an occupational health
309 intervention program has been shown to improve work ability and quality of life and to
310 decrease levels of burnout in workers liable to seek early retirement.³⁴

311 The present study has various limitations. Firstly, the clinical trial was
312 conducted at a single higher education institution in the public sector. This issue could
313 reduce generalization of the results or the external validity of the study. Multicenter
314 studies should be undertaken to expand the study focus to include the general
315 population with physical disability in the university context. In addition, this clinical
316 trial only included an intervention group. We did not conduct a comparative study
317 among workers with physical disabilities receiving the ergonomic program vs a control
318 group. Hence, the results should be interpreted with caution. However, our findings do
319 shed light on the question and can be of use in future investigation.

320 **Conclusions**

321 The results we present show that participation in an ergonomic intervention
322 program by workers with physical disabilities is beneficial to their QoL and enhances

323 their job performance. Ergonomic adaptations in the workplace and the provision of
324 physiotherapy treatment are effective when they take into account the specific needs of
325 this population. This pilot clinical trial provides a basis for promoting new research and
326 clinical initiatives in the university context.

327 Broader-based studies are now needed to examine the benefits obtained from
328 new strategies aimed at preventing injuries in the workplace and at promoting the
329 integration and welfare of workers with disabilities.

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472 **Figure captions**

473 **Figure 1.** Study design and flow of participants through the trial following CONSORT

474 guidelines.

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Table 1

Mean \pm SD and inter-group differences at baseline in the sociodemographic, job and clinical characteristics of workers with disability.

Sociodemographic characteristics	Mean / Absolute frequency (n)	SD / %
Age (years)	40.50	11.14
Sex		
Female	6	75%
Male	2	25%
Marital status		
Single	6	75%
Married	1	12.5%
Divorced	1	12.5%
Widowed	0	0%
Education		
No formal education	0	0%
Primary (incomplete)	1	12.5%
Primary (complete)	2	25%
Secondary (incomplete)	0	0%
Secondary (complete)	1	12.5%
Higher education	4	50%
Occupational Characteristics	Absolute frequency (n)	%
Type of transport used		
Adapted car	4	50%
Non-adapted car	2	25%
Public transport	2	25%
Work value		
Important	5	62.5%
Monotonous	1	12.5%
Pleasurable	2	25%
State after work		

Tired	2	25%
Unwell	1	12.5%
No complaints	5	62.5%
Work function		
Administrative assistant	4	50%
Nurse	2	25%
Computer technician	1	12.5%
Laboratory technician	1	12.5%
Work hours		
12 hours a day	1	12.5%
8 hours a day	3	37.5%
6 hours a day	4	50%
Absenteeism*	2	25%
Clinical Characteristics	Absolute frequency (n)	%
Use of orthosis		
Stick	2	25%
Wheelchair	2	25%
Crutches	1	12.5%
None	3	37.5%
Oedema*	6	75%
Headache*	3	37.5%

SD: Standard Deviation; * Absolute value and percentage of people answering “Yes”.

Table 2

Baseline, post-treatment, follow-up, and pre-follow-up differences (95% CI) and sample size for Quality-of-Life and work ability.

Outcome measure/Domain	Pre-treatment Mean±SD	Post- treatment (three-months) Mean±SD	Follow-up (three-months) Mean±SD	<i>p</i> (pre-post)	Cohen <i>d</i> (pre-post)	<i>p</i> (pre-follow-up)	Cohen <i>d</i> (pre-follow-up)
WHOQoL-Bref							
Physical	51.31±5.07	58.93±4.27	54.46±5.65	0.011*	1.630	0.518	0.533
Psychological	54.69±8.46	60.42±8.33	56.77±5.43	0.105	0.683	0.357	0.293
Social relationships	62.49±10.91	67.71±9.38	67.71±9.38	0.102	0.513	0.131	0.513
Environment	55.85±9.06	68.36±7.74	64.89±6.60	0.028*	1.485	0.049*	1.141
WAI	37.5±3.66	40.75±3.45	36.38±3.40	0.011*	0.914	0.624	0.317

* $p < 0.05$

SD: Standard Deviation; WHOQoL-Bref: World Health Organization Quality-of-Life Scale; WAI: Work Ability Index

