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Application of sensitivity analysis in the life cycle design for the durability of reinforced concrete structures in the case of XC4 exposure class

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1 **Application of sensitivity analysis in the life cycle**
2 **design for the durability of reinforced concrete**
3 **structures in the case of XC4 exposure class**

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14 **Abstract**

15 The aim of this study is to develop a new design procedure for the
16 durability of the Reinforced Concrete (RC) structures in aggressive
17 environments. The study approach developed here includes: (i) a qualitative
18 analysis phase to characterize the design parameters and environmental
19 exposure conditions of RC structures; (ii) a quantitative analysis phase, to

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20 establish the relationship between service life and design parameters and
21 environmental exposure conditions using the service life prediction model
22 firstly, and then to determine the most influential design parameters on
23 service life using sensitivity analyses; and (iii) a final design phase, to
24 design RC structures using some favorable values of the most influential
25 design parameters firstly, and then to compare the service life thus obtained
26 with that of RC structures designed using a standardized approach. An
27 application is also proposed on simulated RC structure exposed to
28 carbonation in Madrid (Spain). This RC structure follows the
29 recommendations of the European standard EN 206-1 for XC4 exposure
30 class. The sensitivity analysis results are discussed in detail including
31 influence trends, importance ranking, non-monotonic effects and parameter
32 interaction influences. The most influential design parameters obtained are
33 cement strength class (f_{cem}), water-to-cement ratio (W/C) and cement type
34 (CEM). By using W/C of about 0.4, f_{cem} of about 52.5 MPa and CEM I
35 cement type instead of their limiting value as recommended by EN 206-1,
36 the service life of the RC structure is significantly improved.

37 *Key words: Carbonation; Durability design; Corrosion; Service life.*
38 *Morris analysis, Sobol indices.*

39 **1. Introduction**

40 In the literature, two basic approaches are proposed for the design of the
41 durability of Reinforced Concrete (RC) structures in aggressive

42 environments [1]: a prescriptive approach and a performance-based
43 approach.

44 The prescriptive approach is primarily based on the acquired experience in
45 the durability performance of existing RC structures. Because experience is
46 generally insufficient to allow for the quantitative requirements, most of
47 the requirements for durability are formulated in a qualitative and empirical
48 way. In the case of reinforcing steel corrosion due to carbonation or
49 chlorides, the prescriptive approach defines an exposure class and
50 subsequent prescriptions including (i) concrete composition (a maximum
51 water-to-cement ratio, a minimum cement content and a cement type); (ii) a
52 minimum 28-day compressive strength of the concrete; and (iii) a minimum
53 concrete cover depth for service life design [2] [3].

54 The key feature of the performance-based approach is to assess relevant
55 concrete material properties using some relevant test methods or service
56 life prediction models. This approach can be used to formulate
57 requirements as regards material properties and structure dimensions. In the
58 case of corrosion of reinforcing steel due to carbonation [4] [1] [5] or
59 chlorides [6] [7] [8], the estimation of the deterioration evolution
60 depending on expected influential parameters is mostly performed by
61 applying a probabilistic approach. This estimation makes it possible to
62 formulate requirements for the structural responses depending on the
63 service life design [3]. Then, durability design can be completed in two
64 ways: (i) using a fully probabilistic method, for which the concrete cover

65 depth and the diffusion coefficient of CO₂ or chlorides are usually
66 considered as main probabilistic design parameters for the required service
67 life design and the reliability level [4] [1] [5] [6] [7] [8]; and (ii) using the
68 partial factor method to determine the characteristic values and the partial
69 factors for the design parameters [4] [1] [7].

70 The strength of the prescriptive approach lies in its flexibility to account
71 for experience and its easy application. The obvious weakness of this
72 approach is that: (i) a simple set of general prescriptions cannot be optimal
73 for all the different parts of a structure exposed to different levels of
74 aggressiveness depending on the structure areas [9]; (ii) our understanding
75 of service durability performance of the structure at the design stage must
76 be improved [1]; and (iii) it does not encourage the use of novel materials
77 for durability design. The strength of the performance-based approach, on
78 the other hand, is its relevance for the durability responses so that service
79 life design can be carried out in a more scientific and reliable way.
80 However, two main difficulties must be faced: (i) a better understanding of
81 the deterioration mechanisms must combine the results of both the
82 scientific research with long-term in-situ observations; and (ii) the
83 uncertainty associated with deterioration mechanisms must be properly
84 taken into consideration in the design process. This last issue can be solved
85 by carrying out a sensitivity analysis of service life in relation to modeling
86 parameters. The Sensitivity Analysis (SA) is the study of how the
87 uncertainty of a mathematical model or system (numerical or other systems)

88 results can be apportioned to different sources of uncertainty and
89 variability of the input parameters [10]. In the literature, many studies
90 present the SA of the simplified diffusion-based corrosion initiation model
91 of RC structures exposed to chlorides. This analysis is conducted to
92 identify, among the different parameters like concrete cover depth, chloride
93 diffusion coefficient, chloride threshold level, and chloride concentration at
94 the surface, those which are the most significant [11] [12]. Other studies
95 describe the SA of corrosion rate prediction models [13] or simplified
96 carbonation models [14] conducted to classify the different influences of
97 the input parameters. Some authors use the “One At a Time (OAT)” SA
98 method [11] [12], which provides some semi-qualitative sensitivity
99 information by varying one parameter at a time while keeping the others
100 constant. Sensitivity is observed graphically. Other authors use the SA
101 method based on the regression analysis [13] [14]. This method quantifies
102 the effect of the input parameters on the model results.

103 It is sometimes difficult to distinguish between prescriptive or
104 performance-based design approaches. For instance, if the existing RC
105 structures on a given project site have achieved the objective set by service
106 life design, then the durability design of new RC structures can rely on the
107 rational analysis of the durability measurements carried out on these RC
108 structures. Consequently, determining whether structure design is
109 specifically based on the prescriptive or the performance-based approach is
110 difficult, in this case. The experimental data on the durability performance

111 of the structures thus collected must be integrated into the different phases
112 of the performance-based approach to determine the preliminary
113 dimensions of the structure [3]. Thus, both approaches are useful as regards
114 durability design and are complementary methods in the global design
115 process.

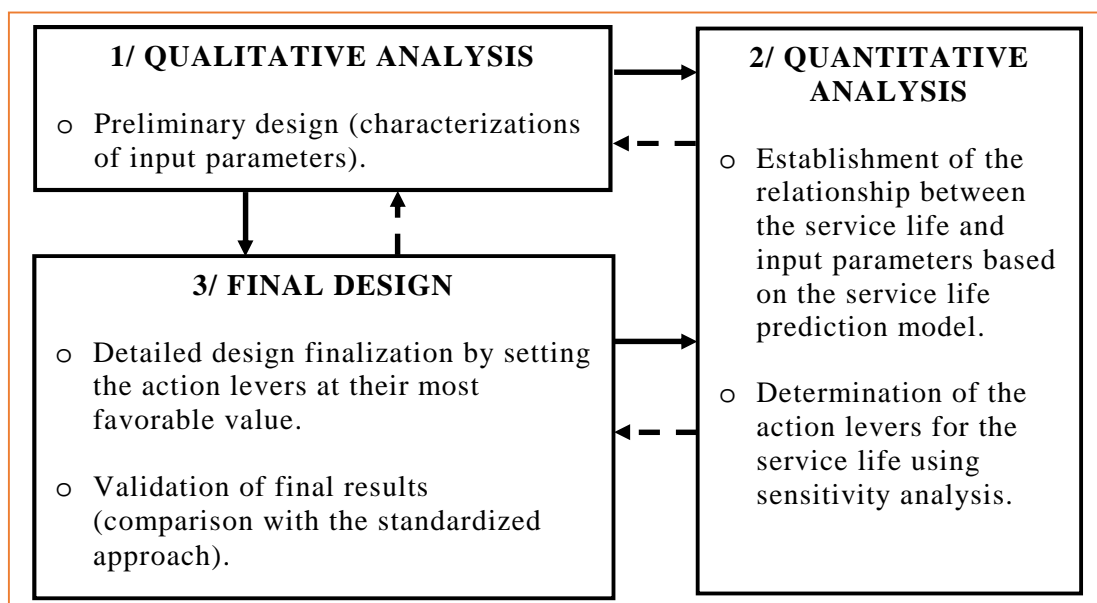
116 The present paper reports a study conducted to develop a new design
117 procedure for the durability of the RC structures in aggressive
118 environments. The procedure discussed here is the result of the
119 combination of both prescriptive and performance-based approaches.
120 Qualitative and quantitative SA methods are integrated into the design
121 procedure to determine durability action levers (refer to definition of
122 “action levers” in *Appendix*). These are used to design the best durable RC
123 structure.

124 The new design procedure for the durability of RC structures in
125 aggressive environments is presented in Section 2. An application of this
126 procedure to a simulated RC structure exposed to carbonation in Madrid
127 (Spain) is described in Section 3. Some recommendations for the durability
128 design according to EN 2016-1 for XC4 exposure class are discussed in
129 Section 4.

130 **2. Development of the new durability design procedure**

131 The durability design procedure proposed here includes: (1) a qualitative
132 analysis, (2) a quantitative analysis, and (3) a final design procedure

133 (Figure 1). The purpose of the qualitative analysis is to determine the
 134 preliminary dimensions of a RC structure at a general level within the
 135 context of aggressive environments. It also includes the characterizations
 136 of the design parameters and the environmental exposure conditions. This
 137 analysis is carried out using a prescriptive approach. The quantitative
 138 analysis aims at establishing a relationship between the aggressive
 139 environment and the service life of structure using a service life prediction
 140 model [4]. The purpose of the quantitative analysis is to determine the
 141 action levers by applying the SA method to the service life prediction
 142 model. The final design phase consists in using the action levers to
 143 redesign the RC structure properties in order to achieve the longest service
 144 life possible. This phase also includes a comparison between the service
 145 life of a structure designed using the procedure proposed here and that of a
 146 structure designed using the recommended limiting values of EN 206-1
 147 [15].



149 *Figure 1. Design procedure for durability of RC structures in aggressive*
150 *environments.*

151 In order to determine the action levers, suitable SA methods must be
152 selected. They must provide the trend of action levers in relation to the
153 service life, the quantization of their influence and the interactions with
154 other parameters. Thus, the SA methods used in the previous studies [11]
155 [12] [13] [14] are not relevant in this context. Consequently, a combination
156 of two SA methods, Sobol's quantitative method [16] and Morris'
157 qualitative method [17] is chosen. This combination has been previously
158 used for the same purpose in environmental design using Life Cycle
159 Assessment (LCA) [18] [19]. It can provide complementary information on
160 the influence of the input parameters on the model results in the decision-
161 making process. Sobol's method is used to quantify the input parameters
162 contribution to model result variations. Morris' method, on the other hand,
163 provides additional information on the trend of the input parameters. Both
164 methods require that all the input parameters are independent of one
165 another. Both methods are summarized in the next subsections.

166 **2.1. Sobol's quantitative sensitivity analysis**

167 Sobol's method [16] is based on the analysis of the variance
168 decomposition of the model f in order to quantify the contribution of
169 variability of the input parameter X_j to the total variance of the output Y .

170 The individual contribution of input parameter X_j is measured using the
 171 first order sensitivity index (S_j) such as:

$$S_j = \frac{\text{Var}(\mathbb{E}[Y | X_j])}{\text{Var}(Y)} \quad (1)$$

172 where: $\text{Var}(\mathbb{E}[Y | X_j])$ is the conditional variance of Y produced by the
 173 variation of X_j , $\text{Var}(Y)$ is the total variance of Y .

174 The individual Sobol indices lie in the interval [0-1]. Moreover, the
 175 overall output sensitivity to the parameter X_j (i.e., including first and
 176 higher order effects (interaction) of X_j) can be measured using the total
 177 sensitivity index (S_{T_j}) [20] as:

$$S_{T_j} = 1 - \frac{\text{Var}(\mathbb{E}[Y | X_{\neq j}])}{\text{Var}(Y)} \quad (2)$$

178 where: $\text{Var}(\mathbb{E}[Y | X_{\neq j}])$ is the conditional variance of Y produced by the
 179 variation of all the input parameters except X_j .

180 Sobol's method requires to have characterized the Probability Density
 181 Function (PDF) of each input parameter. The Monte Carlo simulations are
 182 carried out by varying simultaneously all the input parameters according to
 183 their PDF and by calculating the associated model results. In this study, S_j
 184 and S_{T_j} are calculated.

185 2.2. Morris's qualitative sensitivity analysis

186 Morris' method [17] is one of the most popular screening method, which
 187 consists in developing a randomized experimental design process by
 188 varying one parameter while keeping the others constant (OAT method)
 189 over a certain number of repetitions k ($k = 1, 2, \dots, r$). Then, the variation
 190 coefficients, called the elementary effects ($\mathbb{E}\mathbb{E}_j^{(k)}$), are obtained as:

$$\mathbb{E}\mathbb{E}_j^{(k)} \approx \frac{f(\mathbb{X}^{(k)} + e_j \cdot \Delta) - f(\mathbb{X}^{(k)})}{\Delta} \quad (3)$$

191 where: Δ is a pre-defined step, e_j is a vector of zero but with j -th equal ± 1 .

192 The mean value (μ_j) of the elementary effects is calculated to determine
 193 the trend of input parameter X_j . The algebraic sign of μ_j indicates
 194 increasing (positive sign) or decreasing (negative sign) trends of the model
 195 output related to X_j . The standard deviation value (σ_j) of the elementary
 196 effects is the measure of the sum of all the interactions of X_j with the other
 197 parameters and of all non-linear influences. We find:

$$\mu_j = \frac{1}{r} \sum_{k=1}^r \mathbb{E}\mathbb{E}_j^{(k)} \quad (4)$$

$$\sigma_j = \sqrt{\frac{1}{r-1} \sum_{k=1}^r (\mathbb{E}\mathbb{E}_j^{(k)} - \mu_j)^2} \quad (5)$$

198 In the case of non-monotonic functions, the elementary effects can have
 199 an opposite sign for the considered repetition, which can result in a μ_j close
 200 to zero if the parameter is influential. In order to prevent this, Campolongo
 201 et al. [21] recommend to use the mean value of the absolute value (μ_j^*) of
 202 the elementary effects rather than the usual μ_j .

$$\mu_j^* = \frac{1}{r} \sum_{k=1}^r |\mathbb{E}\mathbb{E}_j^{(k)}| \quad (6)$$

203 The information about the algebraic sign of μ_j is lost when using μ_j^* .
 204 However, it is a good indicator for the assessment of the importance of the
 205 input parameters in relation to each other. Morris' method requires a local
 206 interval range (minimum and maximum value) for each input parameter.
 207 The number of repetitions r ranges from 4 to 10 [22]. In this study, μ_j , μ_j^*
 208 and σ_j are calculated.

209 Throughout the rest of the work, Morris and Sobol methods serve to
 210 identify input parameters that are major contributors to the variability of
 211 service life. More specifically, the controllable parameters related to
 212 technological aspects (e.g., concrete mix, size of structure), i.e., the
 213 “technological parameters”, are considered as action levers if they are
 214 major contributors to the service life.

215 **2.3. Identification of action levers using sensitivity indices**

216 Based on the Sobol indices, the technological parameters are identified as
217 action levers, if the value of S_j is higher than 10%. Moreover, if the value
218 of S_j is lower than 10% but the difference $(S_{T_j} - S_j)$ is high, i.e., assumed to
219 be greater than 10%, they can also be considered as potential action levers
220 [18] [19]. This means that parameter X_j is not individually influential but
221 has a non-negligible global contribution because of its interaction with the
222 other parameters. As regards the Morris indices, the parameters with a
223 higher μ_j^* are considered as potential action levers [17]. If the parameters
224 satisfy the condition $\sigma_j \geq |\mu_j|$, they are considered to have a non-monotonic
225 effect. In contrast, non-influential input parameter X_j is assumed to have
226 indices S_{T_j} lower than 10% and μ_j^* low in relation to other indices $\mu_{i,i \neq j}^*$ of
227 input parameters $X_{i,i \neq j}$. Recall that Morris indices μ_j^* and μ_j have the same
228 order of magnitude than the model response while the first order Sobol
229 indices S_j are normalized and lie in the interval [0-1].

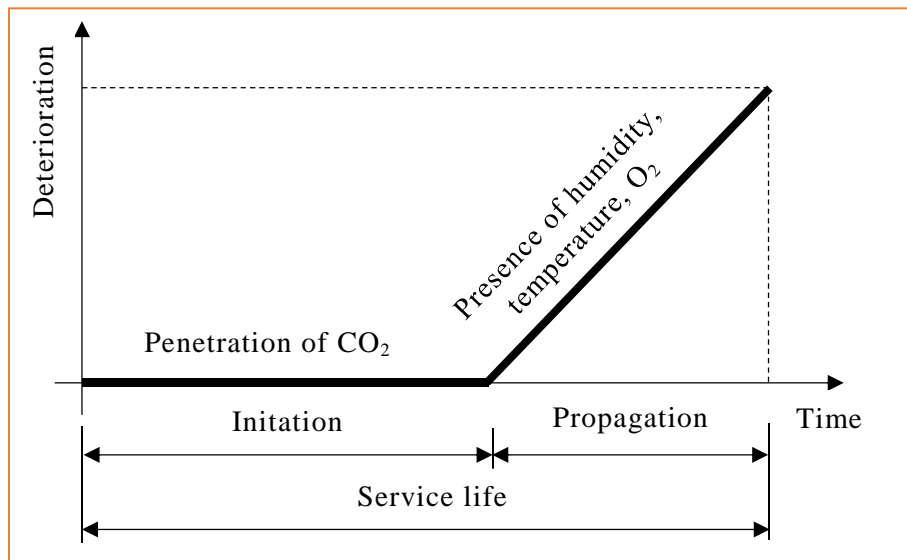
230 **3. Case study**

231 **3.1. Presentation of the case study**

232 The case study studied here consists of a RC structure subjected to
233 carbonation. The structure is assumed to be located in Madrid (Spain)
234 because this location presents optimal environmental conditions for
235 carbonation of concrete [23] [24]. Madrid, indeed, is a place with a high

236 level of carbon dioxide [25] and with an average relative external humidity
237 of about 0.56 [26]. The considered structure follows the recommendations
238 of EN 206-1 for XC4 exposure class [15]: concrete is exposed to the air and
239 the structure is not sheltered from rain. Carbonation is the only alteration
240 phenomenon of RC structure considered in this paper. The objective here is
241 to identify the action levers affecting service life to obtain the longest
242 service life possible by setting the identified action levers at their most
243 favorable value.

244 The service life of a structural component is the period after construction,
245 during which all the structure properties, when routinely maintained, are
246 higher than the minimum acceptable values [2]. Tuutti [27] proposed a
247 simplified model for predicting the service life of RC structures,
248 considering the degradation due to carbonation induced corrosion. Service
249 life is divided into two periods: initiation period and propagation period as
250 shown in *Figure 2*. There are two periods because the mechanisms involved
251 are different in physical-chemical terms. The initiation period corresponds
252 to the penetration of CO₂ into the concrete cover until the carbonation front
253 reaches the reinforced layer. The propagation period includes (i) steel
254 corrosion; (ii) cross section loss; (iii) concrete surface cracking; and (iv)
255 spalling of concrete cover.



256

257

Figure 2. Tuuti's service life prediction model [27].

258

Our case study deals with the initiation period only. The service life of

259

RC structure is limited to the corrosion initiation period. Thus, a model for

260

the initiation period is required: that model calculates at any time the

261

carbonation depth within concrete.

262

3.2. Qualitative analysis: characterization of input

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parameters

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The service life considered here is predicted using the carbonation model

265

recently developed by Ta et al. [28] (*Figure 3*). This carbonation model is

266

validated using data from the literature on short and long-term natural

267

carbonation exposure conditions. Most of the experimental data concern

268

CEM I, CEM II, CEM III cement types. The prediction of this carbonation

269

model for estimation of carbonation depth is more accurate than Papadakis'

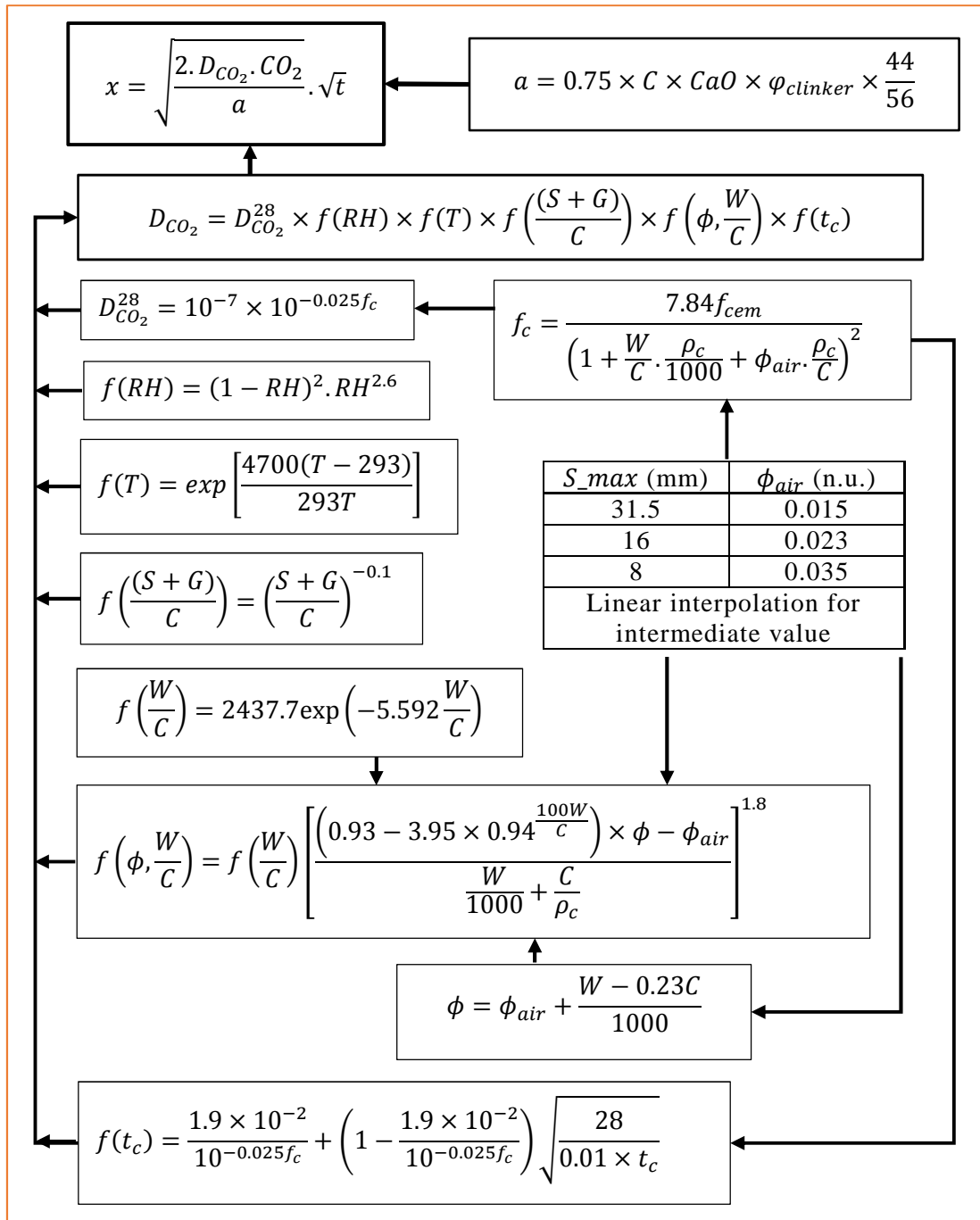
270

model [29] and Yang's model [30]. This model takes many influencing

271 design parameters of the carbonation process into account and predicts the
272 natural carbonation depth. It is based on the analytical solution of Fick's
273 law given by:

$$x = \sqrt{\frac{2 \times D_{CO_2} \times CO_2}{a}} \times \sqrt{t} \quad (7)$$

274 where: x (m) is the carbonation depth within concrete, D_{CO_2} (m^2/s) is the
275 CO_2 diffusion coefficient of concrete, CO_2 (kg/m^3) is the CO_2 concentration
276 in the atmosphere, a (kg/m^3) is the amount of CO_2 absorbed in a unit
277 volume of concrete, t (s) is the exposure time.



278

279 *Figure 3. Carbonation model presented in [28] (input parameters are*
 280 *detailed in the text).*

281 When the carbonation depth is equal to the concrete cover depth (d), i.e.,
 282 $x = d$, the corrosion initiation period ends. The steel reinforcement could

283 be then corroded with the presence of O₂, humidity and temperature as
 284 defined by Tuuti's service life prediction model (*Figure 2*). Service life
 285 (t_{ser}) can be written as:

$$t_{ser} = \frac{d^2 \times a}{2 \times D_{CO_2} \times CO_2} \quad (8)$$

286 The purpose then is to design a concrete structure with a maximum service
 287 life value t_{ser} .

288 Many parameters are required for the calculation of D_{CO_2} and a as shown
 289 in *Figure 3*. For the application of Sobol and Morris' methods to the
 290 determination of the sensitivity of t_{ser} to input parameters, we use only the
 291 expression of D_{CO_2} and a in relation to the independent parameters. An
 292 independent parameter does have a relationship with other independent
 293 parameters. The dependent parameters are expressed through the
 294 independent parameters. The time dependency of the input parameters is
 295 not taken into account. Consequently, the expression of t_{ser} takes the form:

$$t_{ser} = f(C, W/C, S/G, S_{max}, CEM, f_{cem}, d, t_c, T, RH, CO_2) \quad (9)$$

296 or

$$t_{ser} = f(X_1, X_2, X_3, X_4, X_5, X_6, X_7, X_8, X_9, X_{10}, X_{11}) \quad (10)$$

297 where: C (kg/m³ of concrete) is the amount of cement content, W/C (n.u.)
 298 (n.u. = no unit) is the water-to-cement ratio, S/G (n.u.) is the sand-to-
 299 gravel ratio, S_{max} (mm) is the maximum aggregate size, CEM (n.u.) is the

300 cement type, f_{cem} (MPa) is the cement strength class, t_c (days) is the initial
 301 curing period, T (K) is the ambient temperature, RH (n.u.) is the relative
 302 external humidity.

303 The input parameters, including the technological and environmental
 304 parameters (refer to definition of “technological and environmental
 305 parameters” in *Appendix*), characterized by determining the variability
 306 range and the PDF of each parameter as summarized in *Table 1*. The
 307 technological parameters are characterized by the limiting values
 308 recommended by EN 206-1 [15] for XC4 exposure class and the statistical
 309 analysis of the studies addressing the problem of concrete carbonation
 310 found in the literature. To provide the action levers, a uniform (discrete or
 311 continue) distribution is usually set for the technological parameters
 312 because they are chosen by the designer. Thus, all the values within the
 313 distribution interval are considered equally probable. The interval is
 314 determined by minimum and maximum values.

315 The environmental parameters are characterized from weather data [26],
 316 which include the ambient temperature (T) and the relative external
 317 humidity (RH). The CO_2 concentration in the atmosphere (CO_2) is taken
 318 from [25].

319 *Table 1. Input parameter characterization.*

Parameter	Unit	Probability Density Function (PDF)	Reference
Technological parameters			

Group 1: concrete mix				
X_1	C	kg/m^3	\mathcal{U} (min = 300; mean = 404.5; max = 509)	[15]
X_2	W/C	n.u.	\mathcal{U} (min = 0.4; mean = 0.45; max = 0.5)	[15]
X_3	S/G	n.u.	\mathcal{U} (min = 0.5; mean = 1.3; max = 2.1)	
X_4	S_{max}	mm	\mathcal{U} (min = 20 ; mean = 26; max = 32)	[15]
Group 2: cement				
X_5	CEM	n.u.	$d\mathcal{U}$ (10 cement types)	[15]
X_6	f_{cem}	MPa	$d\mathcal{U}$ (3 strength classes)	[15]
Group 3: concrete cover depth and initial curing period				
X_7	d	m	\mathcal{U} (min = 0.05; mean = 0.065; max = 0.08)	[31] [32]
X_8	t_c	days	\mathcal{U} (min = 1; mean = 2; max = 3)	[33]
Environmental parameters				
X_9	T	K	$tr\mathcal{N}$ (mean = 287.4; CoV = 0.03; min = 272.4; max = 309.1)	[26]
X_{10}	RH	n.u.	$tr\mathcal{N}$ (mean = 0.56; CoV = 0.33; min = 0.2; max = 0.88)	[26]
X_{11}	CO_2	ppm	$tr\mathcal{N}$ (mean = 380; CoV = 0.05; min = 304.6; max = 456.8)	[25]

Notes:

1. CoV = Coefficient of Variation; $tr\mathcal{N}$ = truncated Normal distribution; \mathcal{U} = Uniform distribution; $d\mathcal{U}$ = discrete Uniform distribution.
2. The variability range of X_1 , X_2 and X_3 parameters also comes from the statistical analysis conducted by some experimental investigations found in the literature (detailed in the text).

320 **Group 1: concrete mix**

321 The requirements for concrete of EN 206-1 [15] for XC4 exposure class
 322 are a maximum water-to-cement ratio (W/C) of about 0.5, a minimum

323 amount of cement content (C) of about 300 kg/m^3 and maximum aggregate
324 size (S_{max}) within the range 20-32 mm. Previous studies [4] [34] [35] [36]
325 reveal that (i) CEM I cement type concrete with a water-to-cement ratio
326 (W/C) lower than 0.4 has very high carbonation resistance; and (ii)
327 concrete using CEM I cement type has higher carbonation resistance than
328 the other cement types containing additions. In this work, we thus assume
329 the minimum W/C of about 0.4 for cement types considered in order to
330 observe the carbonation phenomenon; however, the carbonation
331 phenomenon can appear for W/C values lower than 0.4 for other cement
332 types. Moreover, concrete casted with such W/C is uncommon. Based on
333 the statistical analysis of seventeen experimental investigations on concrete
334 carbonation [37] [5] [38] [39] [40] [41] [42] [43] [44] [45] [24] [46] [47]
335 [48] [1] [49] [50], the maximum cement content (C) is about 509 kg/m^3 and
336 the sand-to-gravel ratio (S/G) varies between 0.5 and 2.1.

337 **Group 2: cement**

338 In the carbonation model proposed by Ta et al. [28], the cement type
339 (CEM) is considered through the following three parameters: amount of
340 Portland clinker inside cement, amount of calcium oxide per weight of
341 cement and cement density. Therefore, among the 27 cement products
342 presented in [51], ten cement types are considered: CEM I; CEM II/A; CEM
343 II/B; CEM III/A; CEM III/B; CEM III/C; CEM IV/A; CEM IV/B; CEM
344 V/A; and CEM V/B. The characteristics of these cements are presented in

345 *Appendix (Table A1)*. Cement strength class (f_{cem}) of all these cement types
346 is available for strength classes of 32.5 MPa, 42.5 MPa and 52.5 MPa.

347 **Group 3: concrete cover depth and initial curing period**

348 The concrete cover depth (d) must have a minimum thickness to protect
349 the steel reinforcements from the CO₂ attack and to prevent the corrosion
350 of steel reinforcements [52]. This design parameter varies according to the
351 exposure class, the quality of construction and the intended service life
352 [52]. Combined to the requirements for concrete of EN 206-1 [15] for XC4
353 exposure class, the minimum recommended concrete cover depth (d) ranges
354 from about 0.05 m [31] to 0.08 m [32] for structure design with an expected
355 100-year service life. Consequently, d can vary between 0.05 and 0.08 m in
356 this study.

357 Because of a limited construction time, the initial curing period (t_c)
358 varies between 1 day and 3 days [33].

359 **3.3. Quantitative analysis**

360 **3.3.1. Service life prediction and sensitivity analysis**

361 *Eq. (10)* is used to establish the relationship between the service life (t_{ser})
362 and the input parameters X_j presented in *Table 1*. In Sobol' method, the t_{ser}
363 values are simulated using *Eq. (10)* by varying all input parameters
364 simultaneously according to their PDF (*Table 1*).

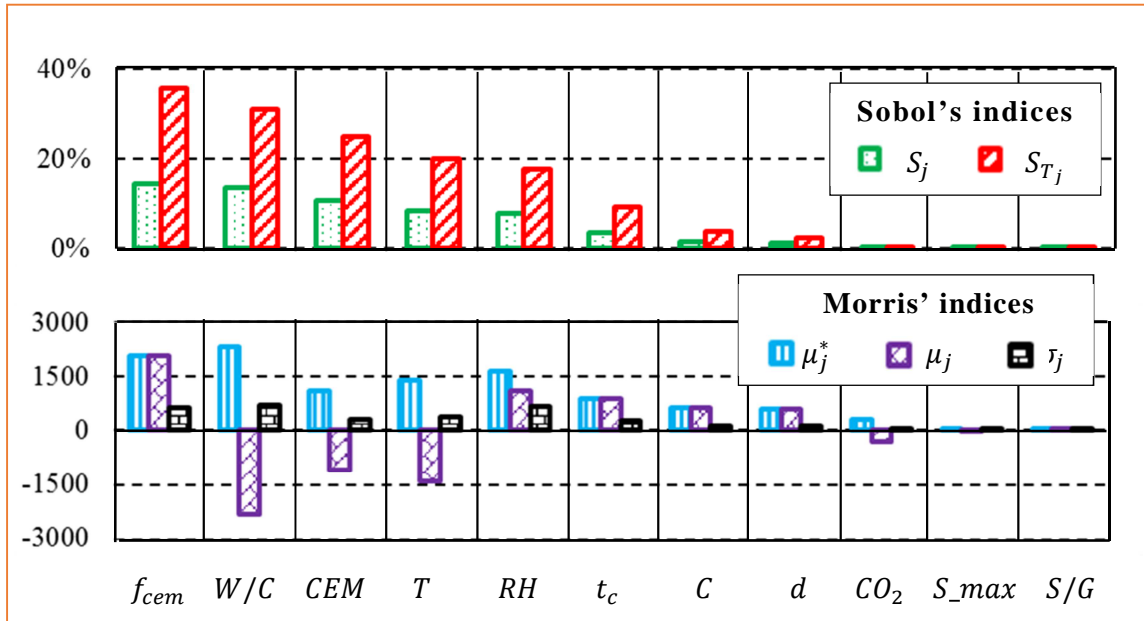
365 The first order Sobol sensitivity index (S_j) (Eq. (1)) and the total Sobol
366 sensitivity index (S_{T_j}) (Eq. (2)) are calculated as described in Section 2.3.
367 They are calculated by means of a bootstrap method with 500 replications
368 from a half-sample (5,000) taken from an initial sample of about 10,000 as
369 recommended in [18].

370 In Morris' method, the t_{ser} values are simulated using Eq. (10) by varying
371 each input parameter one at a time. Then the mean value (μ_j) (Eq. (4)),
372 standard deviation value (σ_j) (Eq. (5)) and mean value of the absolute value
373 (μ_j^*) (Eq. (6)) of the elementary effects are calculated as described in
374 Section 2.3. They are calculated by means of discretization of the input
375 parameters X_j in 10 values with a prescribed number of trajectories of about
376 30 as recommended in [18].

377 **3.3.2. Determination of the action levers**

378 Our results shown in Figure 4 are related to the case study. It is important
379 to note that SA results depend on both PDF of input parameters given in
380 Table 1 and on carbonation model chosen.

381 Figure 4 displays the SA results.



382

383

Figure 4. Sobol and Morris sensitivity indices.

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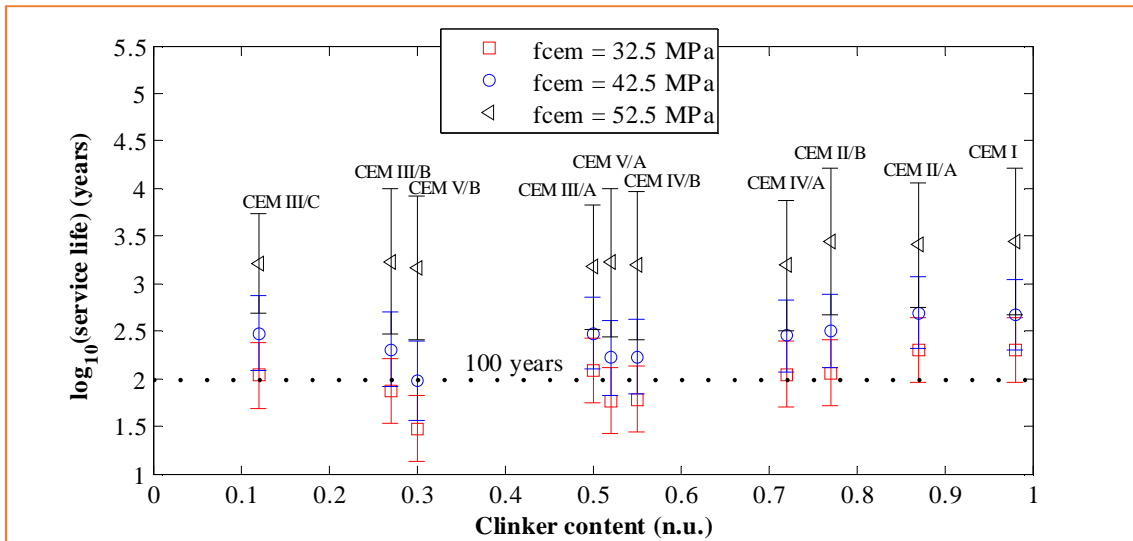
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Figure 4 shows that cement strength class (f_{cem}), water-to-cement ratio (W/C), cement type (CEM), ambient temperature (T) and relative external humidity (RH) (in descending rank) are the most influential parameters because their S_{T_j} and μ_j^* values are the highest. The difference $S_{T_j} - S_j$ is around 22% for cement strength class (f_{cem}), 17% for water-to-cement ratio (W/C), 14% for cement type (CEM), 12% for ambient temperature (T) and 10% for relative external humidity (RH). This means that their interactions with the other parameters are important. Parameters f_{cem} , W/C and CEM are considered the most influent with a S_j value above 10%. They are thus technological parameters (i.e., controllable parameters) identified as action levers. T and RH are environmental parameters (uncontrollable parameters) that are uncertain. The less-influential parameters ($S_{T_j} < 10\%$ and low μ_j^*)

396 are initial curing period (t_c), cement content (C), concrete cover depth (d),
 397 CO_2 concentration in the air (CO_2), maximum aggregate size (S_{max}) and
 398 sand-to-gravel ratio (S/G). Based on the algebraic sign of μ_j , we observe
 399 that an increase in RH , C , d , t_c , and S/G and a decrease in W/C , S_{max} , T ,
 400 and CO_2 result in the increase of t_{ser} . All parameters have σ_j/μ_j^* within the
 401 interval [0.19 – 0.39]. It indicates that the effects between parameter are
 402 monotonic. Because f_{cem} and CEM are discrete parameters, their algebraic
 403 sign of μ_j is not significant. Finding favorable value requires testing all of
 404 the values of f_{cem} and CEM . The simulation results are displayed in *Figure*
 405 5. We plot the service life on log scale versus clinker content. The service
 406 life is represented by its mean value and standard deviation.



407

408 *Figure 5. Comparison of service lives of cement strength classes and*
 409 *cement types.*

410 The highest service life is obtained with cement strength class (f_{cem}) 52.5
411 MPa, followed by 42.5 MPa and 32.5 MPa. The CEM I and CEM II/B
412 cement types are the most favorable to increase the service life with f_{cem}
413 52.5 MPa. The CEM II/B has lower environmental impacts. These findings
414 are in line with previous study [38]. For both f_{cem} 42.5 and 52.5 MPa we
415 found that service life is higher than 100 years whatever the cement type.
416 However, none of the service lives considering standard deviation obtained
417 with f_{cem} 32.5 MPa is higher than 100 years.

418 **3.3.3. Comparison of the sensitivity analysis results to the** 419 **literature**

420 This section compares our SA results with the literature. Cement strength
421 class (f_{cem}) and water-to-cement ratio (W/C), two technological parameters,
422 are key parameters for the determination of the concrete porosity and the
423 28-day compressive strength of concrete (f_c) [9] [53]. Both values, indeed,
424 are important indicators of the evaluation of the resistance to penetration of
425 carbon dioxide into concrete [54]. Higher cement strength class (f_{cem}) and a
426 decrease in water-to-cement ratio (W/C) result in an increase of f_c . For a
427 given water-to-cement ratio (W/C), it has been shown that service life (t_{ser})
428 increases by 1.89 times when using a CEM II/B cement with a cement
429 strength class (f_{cem}) value about of 42.5 MPa instead of 32.5 MPa [55].
430 Furthermore, the service life (t_{ser}) increases by 2.49 times when using a
431 water-to-cement ratio (W/C) of about 0.4 instead of 0.43, according to the

432 literature [56]. Previous experimental results [55] [56] have confirmed that
433 service life (t_{ser}) is more sensitive to cement strength class (f_{cem}) and
434 water-to-cement ratio (W/C). In addition, a survey of the literature also
435 reveals that the carbonation resistance of concrete depends on the amount
436 of Portland clinker cement in concrete [57]. When using a cement
437 preparation containing more Portland clinker for concrete composition,
438 first, the 28-day compressive strength of concrete (f_c) is higher and the
439 amount of $Ca(OH)_2$ and CSH increases [58]. Both observations increase
440 concrete carbonation resistance. Finally, the other technological parameters
441 considered here demonstrate a negligible contribution to the variations of
442 service life (t_{ser}). An increase in cement content (C), obviously causes the
443 presence of higher amounts of Calcium hydroxide ($Ca(OH)_2$) and Calcium-
444 Silicate-Hydrate (CSH) inside the concrete, which lengthens the time of the
445 neutralization reaction between $Ca(OH)_2$ and CSH and CO_2 . The
446 carbonation resistance is thus higher. An increase in maximum aggregate
447 size (S_{max}) generates a decrease in the carbonation resistance. The use of
448 a bigger aggregate size, indeed, induces (i) a reduction in the tortuosity of
449 the flow path, which increases permeability, and (ii) a possibility of
450 internal water bleeding, which increases concrete porosity [59]. As regards
451 the initial curing period (t_c), many previous studies [56] [60] [41] have
452 underlined that the longer the curing period is, the higher the resistance of
453 concrete to carbonation is. An increase in t_c provides a higher degree of
454 hydration and a lower concrete porosity. As regards the concrete cover
455 depth (d), it is widely accepted that service life (t_{ser}) is proportional to the

456 square of concrete cover depth (d) as shown in *Eq. (8)*. An increase in
457 sand-to-gravel ratio (S/G) in one cubic meter of concrete mixed increases
458 sand content, which is responsible for the reduction in air permeability.
459 There also, the carbonation resistance is increased [59].

460 As regards the environmental parameters, previous experimental results
461 [23] [24] have shown that the highest carbonation rate is observed for a
462 relative external humidity (RH) around 57%. We observe that the
463 carbonation rate increases when relative external humidity (RH) increases
464 from 0% to 57%, and decreases when relative external humidity (RH)
465 increases from 57% to 100%. This is consistent and corresponds to the
466 highest σ_j/μ_j^* of relative external humidity (RH) (*Figure 4*) that is
467 highlighted by the present sensitivity analysis results. The carbonation rate
468 also increases with increasing ambient temperature (T) due to increased
469 molecular activity [61] [62]. Finally, the carbonation depth is proportional
470 to the square root of carbon dioxide concentration in the air (CO_2) (*Eq. (7)*).
471 The presence of carbon dioxide is necessary for the carbonation of
472 concrete. However, relative external humidity (RH) and ambient
473 temperature (T) play the most important part in the carbonation rate within
474 all the environmental parameters.

475 The influence trend of parameters is consistent with the literature. The
476 important influence of parameters corresponding to their range variation
477 studied corroborates with previous experimental studies.

478 3.4. Final design

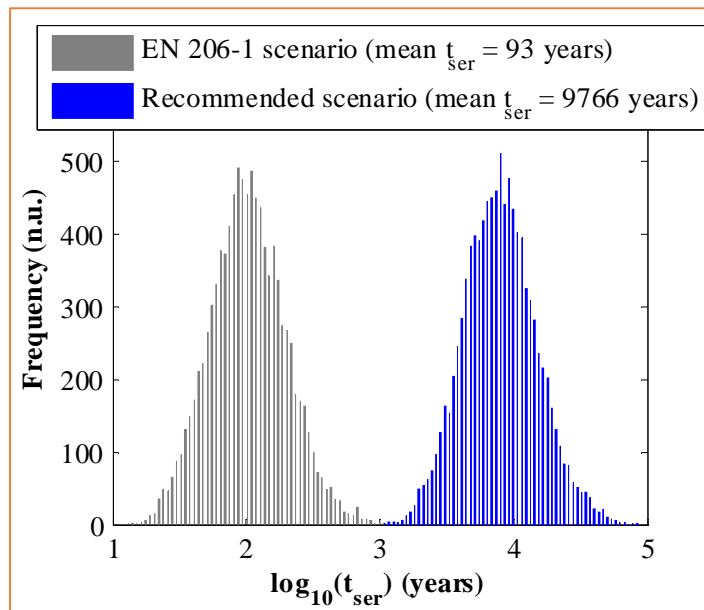
479 Based on the SA results, the action levers of the case study are cement
480 strength class (f_{cem}), water-to-cement ratio (W/C) and cement type (CEM).
481 The final design is carried out by setting the action lever at their most
482 favorable value to increase the service life (t_{ser}) (*Table 2*). As found
483 previously, the most favorable values of the three action levers consist of
484 minimum W/C (about 0.4), higher f_{cem} 52.5 MPa and CEM I or CEM II/B
485 cement type (*Figure 5*). The other parameters are randomly generated
486 according to their PDF presented in *Table 1*. This scenario is called
487 *recommended scenario*.

488 A reference scenario, called *EN 206-1 scenario*, is also developed by
489 setting the action levers at the limiting values recommended by EN 206-1
490 [15], i.e., W/C equal to 0.5, f_{cem} 32.5 MPa and CEM I cement type (*Table*
491 *2*). The other parameters are randomly generated according to their PDF as
492 with the *recommended scenario*.

493 We compare the distribution of t_{ser} of *EN 206-1 scenario* and
494 *recommended scenario* with CEM I cement type in *Figure 6*. The
495 *recommended scenario* with CEM II/B cement type is not illustrated in
496 *Figure 6* as its t_{ser} distribution is very close to that of CEM I cement type.
497 The mean t_{ser} of *recommended scenario* with CEM II/B cement type is of
498 about 9,253 years. The distribution of t_{ser} is simulated using a Monte Carlo
499 simulation with a sample size of 100,000.

500 *Table 2. Values of action levers for both designed scenarios.*

Parameter	Symbol	Unit	Recommended scenario	EN 206-1 scenario
Water-to-cement ratio	W/C	n.u.	0.4	0.5
Cement strength class	f_{cem}	MPa	52.5	32.5
Cement type	CEM	n.u.	CEM I	CEM I



501

502 *Figure 6. Comparison between service life (t_{ser}) distributions of both*
 503 *designed scenarios.*

504 As shown in *Figure 6*, the t_{ser} of the *recommended scenario* is 105 times
 505 higher than that of the *EN 206-1 scenario*. Both distributions of
 506 probabilities are completely separated. The calculated differences are
 507 significant. The simulation results confirmed f_{cem} , W/C as being effective
 508 action levers. The *recommended scenario* corresponds to concrete with
 509 higher carbonation resistance. We consider the high concrete cover depth
 510 (d) between 0.05 m and 0.08 m, that is another reason for finding the mean

511 service life of the *recommended scenario* of about 9,766 years. This finding
512 corroborates with previously experimental results [4] [34] [35] [36]. For
513 example, Houst et al. [34] reveal that more than five years of exposure to
514 the atmosphere of CO₂, concrete with $W/C = 0.3$ is carbonated only to a
515 depth of 0.2 to 0.3 mm. Another study on ultra-high performance fiber-
516 reinforced concrete (porosity about 5%) [63] shows that the t_{ser} is more
517 than 12,000 years. One can assume that this higher t_{ser} is not only due to
518 the individual influence of action levers but also to the non-negligible
519 interactions between the action levers and other parameters (revealed
520 previously through the differences $S_{T_j} - S_j \geq 10\%$).

521 The simulation results of the *recommended scenario* reveal that a durable
522 RC structure can be obtained by setting the action levers at their most
523 favorable values. The durable RC structure is independent on the values of
524 the other technological parameters, which are simulated randomly within
525 their variability range given in *Table 1*. In short, if the RC structure is
526 designed using the *recommended scenario*, the risk for corrosion of
527 reinforcing steels due to carbonation is eliminated throughout the 100-year
528 service life design. In addition, concretes with f_{cem} 52.5MPa and with W/C
529 of about 0.4 are appropriate for the other cement types (*Figure 5*). On the
530 contrary, if the RC structure is designed by setting the action levers at their
531 limiting values as recommended by EN 206-1 [15], a maintenance system
532 could be established in order to ensure the intended 100-year service life.

533 **3.5. Advantages and limits of the design approach**

534 In this particular case, the cement content (C) does not individually
535 contribute to service life (with S_j around 1%), i.e., the service life (t_{ser}) is
536 independent of cement content (C) for a given water-to-cement ratio (W/C).
537 A previous study has revealed that the carbonation of concrete is
538 independent of cement content (C) (from 221 to 450 kg/m³) for a given
539 water-to-cement ratio (W/C) [64]. The present finding, achieved in
540 association with the literature, raises the problem of attempting to impose a
541 minimum cement content (C) of 300 kg/m³ for XC4 exposure class in EN
542 206-1 [15]. The model developed does not consider that a high cement
543 content (C) may enhance the risk of cracking because of the heat of
544 hydration or the drying shrinkage in the concrete cover. Both can result in a
545 poor carbonation resistance of the concrete cover. Furthermore, from the
546 point of view of the environmental impacts of the concrete, cement, among
547 other constituents of concrete, is mainly responsible for the release of a
548 huge amount of CO₂ during the production [65]. Consequently, in the case
549 of an XC4 exposure class, the requirement for the minimum C in EN 206-1
550 [15] should be re-examined whereas a maximum limit of C within the mix
551 should also be specified.

552 Our approach is a helpful tool in the life cycle design for the durability of
553 RC structures. Our approach aims identifying action levers for increasing
554 service life. Engineering designers easily increase the service life by
555 focusing on effective action levers.

556 Results of our case study are related both to the carbonation model chosen
557 and to PDF of input parameters. If we use another range variability of input
558 parameters, our results would be changed [66]. However, our approach is
559 general and can be adapted to various service life models.

560 In this study, carbonation is the only alteration phenomenon of RC
561 structure that is considered. However, concrete carbonation can be coupled
562 with other severe deteriorations leading to accelerate its degradation, e.g.,
563 the presence of a small amount of chlorides significantly increases the
564 corrosion risk in carbonated mortars [67]. In that situation, the combined
565 effects of various alteration mechanisms integrated in service life model.

566 Finally, this study focuses on individual input parameters that are action
567 levers on the improvement of service life of RC structures. However,
568 interactions between two or more input parameters were shown to be also
569 influential on service life prediction and merit further investigations.

570 **4. Summary and conclusion**

571 The present study was conducted to develop a new design procedure for
572 the durability of RC structures through resistance to carbonation induced
573 corrosion. This innovative approach consists in combining the techniques
574 of the prescriptive and performance-based approaches and in integrating the
575 sensitivity analysis of service life in the design stage. The durability design
576 phase has focused on the most influential parameters with a view to setting

577 them at their most favorable value. With suitable calculation tools, this
578 proposed procedure will be easy to use by designers.

579 Through the case study presented here, we found that cement strength
580 class (f_{cem}), water-to-cement ratio (W/C) and cement type (CEM) are action
581 levers. Design engineers may take these action levers carefully into account
582 during the durability design step of concrete exposed to carbonation. When
583 setting the action levers at their most favorable values instead of their
584 limiting values as recommended by EN 206-1, the service life is
585 significantly improved. The requirement for minimum cement content (C)
586 in EN 206-1 for XC4 exposure class should be re-examined in order to
587 reduce concrete costs and environmental impacts. The most influential
588 parameters, including W/C , f_{cem} , CEM , ambient temperature (T) and relative
589 external humidity (RH), should therefore be carefully considered in future
590 research works conducted to address the problem of carbonation-induced
591 corrosion damage modeling in RC structures.

592 More research work needs to be carried out to investigate the interaction
593 influences between the parameters. For instance, in the case study
594 presented, the identified action levers have strong interactions with the
595 other parameters. These interactions, however, have not been examined
596 here. The results of studies addressing the problem of interactions between
597 parameters could additionally enhance the durability of RC structures. We
598 are confident that this finding will serve as a basis for future theoretical
599 and experimental works.

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816

817 **Appendix: Definitions**

818 **Durability** is the ability to maintain the serviceability of a structure over
819 a specified length of time, or a characteristic of the structure to function for
820 a given period with required safety and corresponding characteristics
821 providing serviceability [68].

822 **Durability design** makes sure that service life design can be completed in
823 the actual local exposure conditions during the design stage.

824 **Service life design** is the service life that the designer intends for the
825 structures undergoing expected aggressions and service maintenance
826 according to a prescribed maintenance management strategy.

827 **Service life** is the period after construction, during which all the structure
828 properties, when routinely maintained, are higher than the minimum
829 acceptable values [2].

830 **Technological parameters** are controllable parameters (i.e. action
831 possibilities). They are related to the technological aspects (e.g., concrete
832 mix, size of structure).

833 **Environmental parameters** are uncontrollable parameters. They are
834 related to the environmental open-air location (e.g., aggressive agent
835 sources like CO₂ concentration, chlorides, ambient temperature, and
836 relative humidity).

837 **Action levers** are the technological parameter, which are major
838 contributors to the sensitive service life. They are determined by carrying
839 out a sensitivity analysis of the service life prediction model.

840 *Table A1. Cement type characterization.*

Cement type	Clinker (n.u.)	CaO (n.u.)	Cement density (kg/m³)
CEM I	0.98	0.64	3110

CEM II/A	0.87	0.62	3000
CEM II/B	0.72	0.46	3005
CEM III/A	0.5	0.53	2880
CEM III/B	0.27	0.48	2850
CEM III/C	0.12	0.46	2750
CEM IV/A	0.77	0.38	2980
CEM IV/B	0.55	0.31	2890
CEM V/A	0.52	0.47	2870
CEM V/B	0.3	0.47	2870

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