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1 Data analytics of urban fabric metrics for smart cites

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14 **ABSTRACT:** Comprehensive understanding of the built environment, especially
15 the urban form, is a prerequisite for building a smart city. Data analytics of urban
16 fabric metrics using quantitative methods is critical to understanding a city's
17 complexity. This paper aims to study urban fabric using comprehensive
18 computation methods. A series of morphological indexes of urban blocks are
19 established to measure the blocks' overall features and subtle differences. This
20 study uses multiple statistical methods with computation techniques and machine
21 learning to fulfill factor analysis and clustering to classify major block types and
22 their spatial distribution, and this study aims to precisely position the important
23 continuous zone and fracture within the study area based on a geo-information
24 system (GIS), effectively revealing the potential morphological order of different
25 block types in the urban fabric. The study provides a scientific and accurate basis
26 and technical support for the optimization of urban construction. It has important
27 and practical significance for promoting the scientific and reasonable
28 implementation of a new type of urbanization.

29 **KEY WORDS:** urban morphology; urban fabric; block unit; clustering; data
30 mining
31

32 1. INTRODUCTION

33 Urban fabric not only embodies the characteristics of environmental structure for
34 a certain period but also contains profound social and economic relations. A large
35 amount of information flow that mirrors history, politics, economy and culture
36 has been materialized and preserved through the characteristics of urban fabric
37 and evolved into the essential history of urban development. Since the 1980s, the
38 urbanization rate in China has increased from approximately 20% to more than 50%
39 [Peng 2011; Bai et al. 2014]. Along with the large scale and high speed of
40 development, the urban fabric of many Chinese cities has been destroyed. For

41 instance, continuity and integrity of the cityscape has been weakened, urban
42 characteristics have been gradually lost, the quality of urban space has sharply
43 declined, and the function of the urban fabric as the organization of public
44 activities has been increasingly weakened. Therefore, a series of urban problems
45 have forced people to think about how to operate cities in a smart way. Since
46 1990s, the Smart Growth Movement has gradually received global attention and
47 developed as a new paradigm of Smart Cities (SCs) [Harrison & Donnelly, 2011].
48 Although it is difficult to have a clear consensus on the term SC and what its
49 defining attributes are, there is widespread agreement about the fact that SCs are
50 characterized by a pervasive use of information and communication technologies
51 (ICTs), which, in various urban domains, help cities make better use of their
52 resources [Neirotti et al., 2014]. However, the meaning of smartness in the urban
53 or metropolitan context not only entails utilizing cutting-edge ICTs but also
54 focuses on the ultimate purpose of using them, as understanding the nature of the
55 urban form to improve city livability [Nam & Pardo, 2011]. Therefore, the
56 adoption of smart technology benefits urban management and relevant policy-
57 making. Machine learning is one of the growing ICTs that could be very
58 beneficial for this requirement.

59 In recent years, the notion of SCs has gradually emerged as an important
60 strategy for rethinking our attitude toward the built environment. With the rapid
61 development of computer technology and Internet technology [Ahmed, et al.,
62 2012, 2016], the “information-society life paradigm” has become a new research
63 focus driven by data. The arrival of the “Big-Data Era” and the increasing
64 maturity of information processing and information technology not only provide
65 favorable conditions for the research on urban fabric and shape but also promote
66 the transformation of the traditional architecture research to the measurement
67 informatization [Bouk, et al., 2017; Rani, et al., 2017]. A variety of measurement
68 methods on urban analytics have been introduced into research on urban fabric
69 [Batty 1997, 2005]. The space syntax is widely utilized to describe the
70 accessibility and overall characteristics of the urban structure [Batty 2002; Perver
71 et al. 2008]. Moreover, the nature of land use, land value, construction intensity,
72 spatial density and other social and economic attributes could be also incorporated
73 when considering urban fabric studies [Kim and Dong 2002]. By integrating a
74 series of parameters such as building density, building floor-area ratio, building
75 average height, and open space, Pont [2007] established a graph called Space-
76 mate to evaluate the relationship between the building density and urban
77 morphology to directly reflect the characteristics of buildings and urban forms
78 defined by the different building density indexes. In addition, some scholars put
79 forward the framework of reverse process modeling and estimated the parameters
80 and rules needed in process modeling through a given output target [Vanegas et al.
81 2012]. The goal is to formalize the modeling process as a probabilistic inference
82 of grammar spanning space and to define an objective function to measure the
83 similarity between the target model and the configuration parameters [Aliaga et al.
84 2008].

85 Although scholars and the mass media often refer to the urban fabric, people
86 are more likely to apply it as a vague concept. Previous studies on urban fabric
87 have focused on its conceptual definition; prototypical and spatial descriptions;
88 spatial and temporal evolutions; and correlation with urban activities [Liu et al.
89 2014; Whitehand and Morton 2003; Gurer 2012]. It has also been argued that the
90 visual aspects of urban space, such as buildings, open space, and street scenery,
91 could distinguish cities, indicating the possibility of a quantitative method to
92 study urban fabric by considering the size, shape, form, and relative allocation as
93 a whole [Proshansky 1983; Li et al. 2016]. In terms of research methods,
94 traditional research mostly adopted mental image analysis, Gestalt figure-ground
95 analysis, architectural typology and other qualitative analysis methods. So far,
96 some computational methods and tools have been available for indirect urban
97 fabric analysis, including space syntax [Conroy-Dalton 2003; Franz and Wiener
98 2008], Isovists analysis [Batty 2001; Llobera 2003], walkability [Ewing and
99 Handy 2009], and density-based quantitative analysis [Pont 2007]. Most of these
100 attempts were constrained to delicate measures of urban fabric properties that do
101 not take buildings into account, and some outputs are still difficult to interpret
102 from an architectural and urban perspective. In Gil's trial, a method was presented
103 to facilitate the application of urban typomorphology studies to urban design
104 practices by assessing the attributes of a given urban area with data mining
105 techniques to reveal the block and street types. At the core of the proposed
106 method was the k-means clustering technique [Gil 2012]. However, this method
107 could not prevent multicollinearity among the selected attributes of predefined
108 formal characteristics and might cause information redundancy and lead to
109 unexpected biases in the results.

110 Therefore, this paper uses the Hankou riverside area as an example for
111 establishing a series of geometric morphological indexes based on the block unit.
112 In this paper, using machine learning and statistical methods, morphological
113 indexes were conducted with factor dimensionality reduction and clustering
114 analysis to realize the effective classifications of block units. Additionally, a geo-
115 information system (GIS) was utilized to visualize the spatial distribution rule in
116 order to analyze the morphological rule and information map of different types of
117 block units in the urban fabric. In this way, we could accurately grasp the
118 complexity and relevance of the urban fabric.

119

120 **2. SELECTED CASE AND DATA PREPARATION**

121 The research herein targets the Hankou riverside area, which is the important
122 historic street block in Wuhan, China. The corridor-shaped area is bounded by
123 Yanjiang Avenue, Zhongshan Avenue, Jiangnan Road, and the second Yangtze
124 River Bridge and is approximately 4 km long, covering an area of approximately
125 218 hectares. In 1861, Britain, Russia, France, Germany, and Japan established
126 concession districts here successively. Since then, this area has gradually

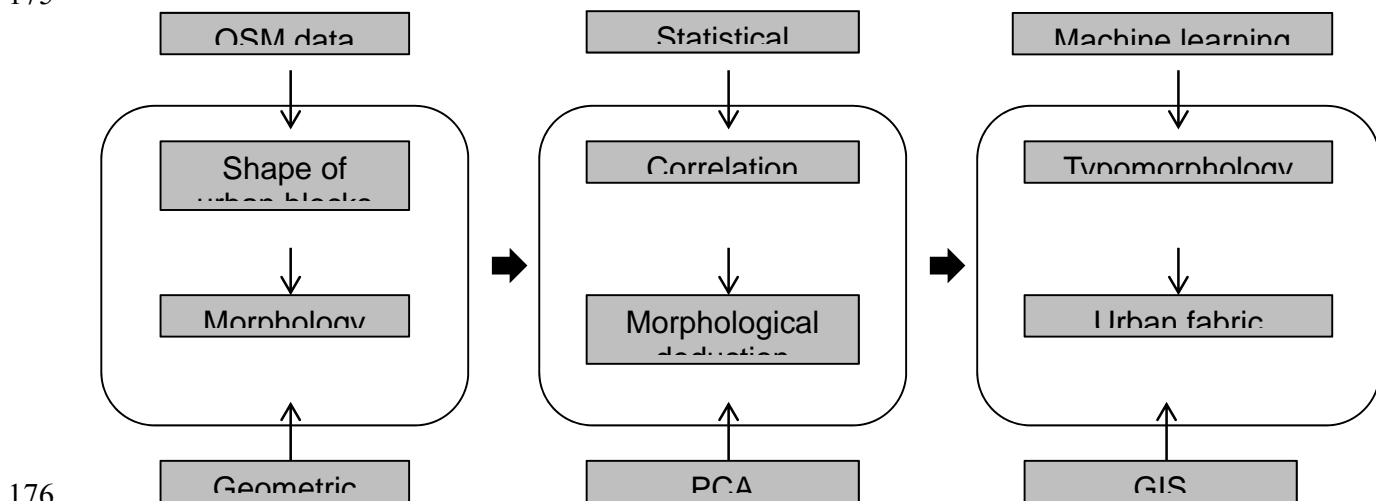
127 developed into an important industrial and commercial center for the three towns
128 of Wuhan and even China. This area is an important cultural carrier of historical
129 changes in modern and contemporary times in Wuhan. The historical style has
130 largely been preserved, and a large number of important historical buildings and
131 historical relics are concentrated here, including banks, consulates, customs
132 buildings, halls, churches, clubs, and other institutional buildings that were
133 constructed during the concession period as well as old-style Lifen, apartments,
134 private residences, officers' quarters, amalgamated dwellings and other modern
135 residential buildings. After the establishment of new China, ownership of the
136 original buildings was redistributed through means such as confiscation,
137 expropriation, requisition, and purchase. On the one hand, the original housing
138 cannot meet the needs of the large influx families in the region. As a result,
139 residents have added onto or modified these buildings. On the other hand, some
140 plots have been removed to make way for the establishment of different types of
141 new public buildings, dormitories, apartments, commercial housing and other
142 residential buildings, which has caused the original block fabric to change.
143 Therefore, this area has a strong historical continuity and mixes different building
144 types from different periods. The fabric morphology has a certain
145 representativeness, reflecting the historical context of urban morphology and
146 fabric development in Wuhan. Based on the large volume of on-the-spot
147 investigations and research, as well as the status analysis, the total 83 urban
148 blocks in this area are selected and numbered from #0 to #82. All of the maps
149 have been checked against the ground truth for land use, plot boundaries, and
150 other existing conditions to provide a proper match.

151 The method involves three main phases: representation, analysis and
152 description [Witten and Frank 2005]. The representation phase involves preparing
153 the geometric data of urban blocks with building footprints and selecting
154 predefined morphological indexes. The selected indexes are calculated in GIS for
155 comparison, analysis and visualization. The selection of shape indicators is
156 important for describing the urban fabric because the shape indicators will carry
157 tailored meanings that address specific aspects of the urban fabric and can be used
158 to obtain useful information. In our case, the selection of indexes mainly focuses
159 on geometric, structural, and physical characteristics of the urban fabric, and
160 multiple morphological indexes are combined to represent different aspects of the
161 urban fabric and produce an integrated overview [Conzen 2010].
162

163 3. METHOD

164 Fig. 1 shows an overview of the workflow of our method. A step-by-step
165 description of data extraction and analysis follows below. First, the shape file of
166 the selected area is obtained. Morphological indexes are defined precisely with
167 their formulas. After the morphological indexes are calculated, some descriptive
168 statistical methods are used to create a general picture of the study area. Next, a
169 correlation matrix of selected indexes is built to test the potential influence in

170 pairs and indicate the degree of multicollinearity among these morphological
 171 indexes. Statistical methods are then used to simplify these indexes into a small
 172 number of key factors that are mutually independent. After, unsupervised machine
 173 learning is applied for clustering analysis to know more about the
 174 typomorphology and the distribution pattern in the study area.
 175



176
 177

Figure 1. The workflow of urban fabric analysis

178 4. MORPHOLOGY INDEXES OF BLOCK UNIT

179 Urban fabric can be expressed as urban texture or urban grain. It projects the
 180 elements of the specific coordinate system in order to obtain the relative
 181 organizational form and spatial syntagmatic relation of built elements [Ratti &
 182 Richens, 2006]. Lynch [1984] took “fabric”, “density”, and “accessibility” as the
 183 main characteristics to define the urban performance, and he argued that fabric
 184 was an effective tool for reflecting the overall urban space morphological
 185 characteristics, saying that fineness and uniform degrees have an important
 186 influence on the morphological characterization of urban fabric.

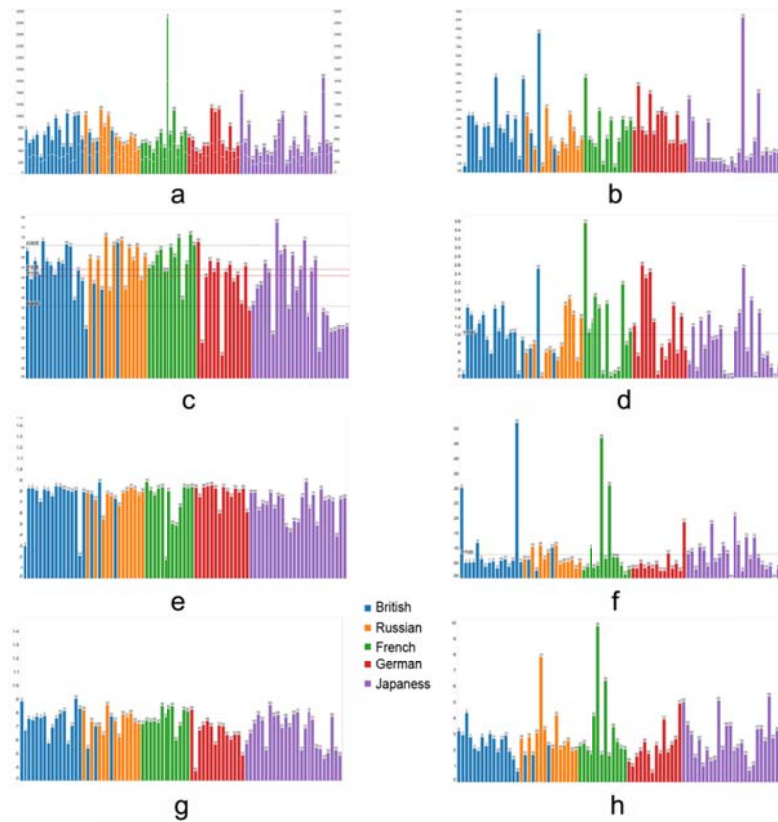
187 One of the main difficulties in studying urban fabric is how to accurately
 188 describe its morphological character. The frequently utilized morphological
 189 description indexes (such as building density and floor-area ratio) cannot fully
 190 reflect the difference of different fabric morphologies. Although Pont [2007]
 191 combined building density, building floor-area ratio, average building height, and
 192 open space rate to reflect the building and urban morphological characteristics
 193 defined by different building density indexes, it is not comprehensive enough.
 194 Therefore, this paper has attempted to describe, compare and analyze the block
 195 units by establishing corresponding geometric morphological indexes to
 196 characterize the overall morphological characteristics and internal differences of
 197 the block units. The index system is divided into three groups: shape index,
 198 texture index, and density index (Tab. 1). All the indexes are calculated in ArcGIS
 199 10.3 for visualization. For instance, the value distribution of the block

200 morphological index can be compared simultaneously among the five different
 201 concession areas. Some morphological index values are distributed evenly (Fig.
 202 2e, 2g) while others are relatively dispersed (Fig. 2b, 2d). In addition, in this case,
 203 the morphological index values are mostly even distributed with some significant
 204 outliers (Fig. 2f). As we can see, a block in the French concession area has the
 205 largest average building footprint. Some blocks in the Japanese concession area
 206 tend to have larger building footprints (Fig. 2a) than other concessions.

207
 208 Table 1. The List of morphological index list

Code	Morphological Index	Description
X1	block area	
X2	weighted average building footprint	shape
X3	arithmetic average building footprint	
X4	building coverage	
X5	quantity of building	
X6	fineness	texture
X7	fragmentation	
X8	compactness	
X9	cohesion	
X10	average building height	density
X11	floor-area ratio	

209



211
 214 Figure 2. Statistics of morphological index distribution of 83 block units (a) mean
 215 area of building footprint (b) block area (c) building coverage (d) fineness (e)
 216 fragmentation (f) cohesion (g) compactness (h) floor-area ratio.

215

216 4.1 Building Coverage

221 Building coverage is a common measure for block density. Urban fabric often has
 222 a certain compact morphology. The concept of building coverage describes the
 223 area ratio that buildings cover on a certain plot. It reflects the relative quantitative
 224 relationship between the building entity and the external space and can initially
 225 define the fabric morphology as:

$$222 \quad \text{Density} = \frac{\sum S_i}{S_B} \quad (1)$$

224 where S_i is the footprint area of each building within given urban block, and S_B is
 225 the area of the plot.

225

226 4.2 Compactness

229 Compactness is used to describe the allocation and spatial distribution of
 230 buildings within a plot. It could reflect how the geometrical center disperse into
 231 the surrounding area (Fig. 3). The formula for compactness is based on the gravity

231 model [Thin, et al., 2002], which measures urban sprawl and agglomeration as
 232 follows:

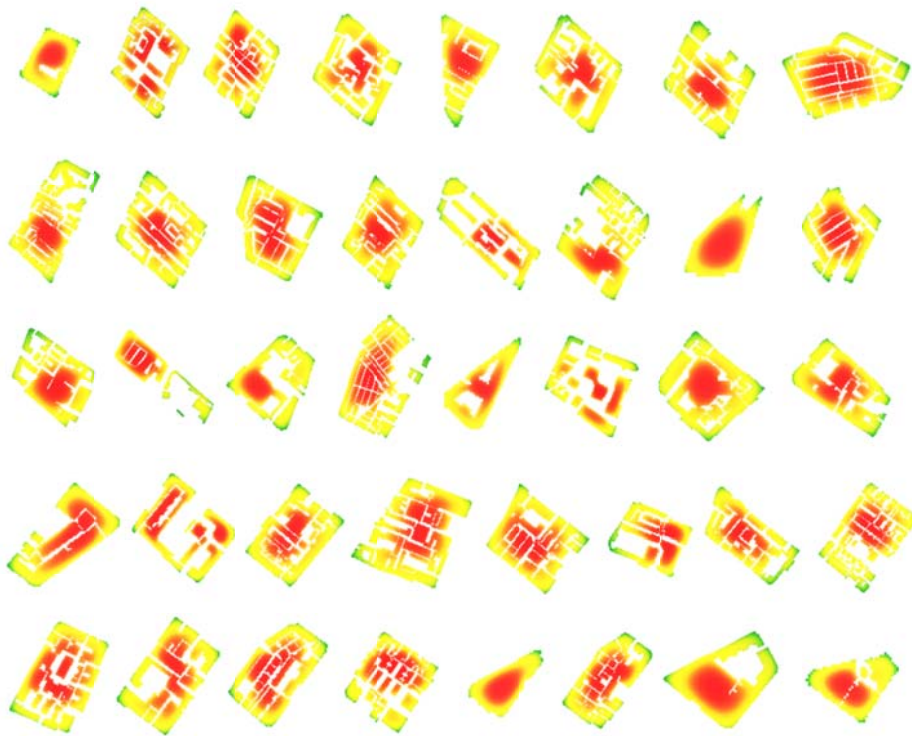
$$232 \quad C = \frac{\sum \frac{1}{d(i,j)}}{N(N-1)/2} \quad (2)$$

234 where $d(i, j)$ is the distance between raster cells, and N is the number of
 235 raster cells.

237 The formula should be normalized using a standard circle of the same size to
 238 alleviate the bias from different sample sizes. If the calculation is processed on a
 239 raster basis, the resolution of the raster should be uniform beforehand.

$$238 \quad Compactness = \frac{C}{C'} \quad (3)$$

240 where C is the compactness of the test urban fabric, and C' is the
 241 compactness of the standard shape.



242
 243 Figure 3. The raster distribution of compactness value in urban blocks
 244

245

246 4.3 Fragmentation

249 Single buildings and community buildings reflect different fabric morphologies.
 250 With similar coverage and compactness, the fabric composition may reflect
 251 different numbers of buildings. An optimized perimeter-area ratio is often used to

249 measure the complexity of a patch shape compared to a standard square of the
 250 same size, while alleviating the problem caused by different shape sizes. This
 251 fragmentation index has been widely used in landscape ecological research
 252 [Forman and Godron 1986]. Therefore, urban fabric fragmentation could be
 253 defined as follows:

$$254 \quad \text{Fragmentation} = 1 - \frac{4\sqrt{S_i}}{P} \quad (4)$$

255 where S_i is the coverage of an urban block, and P is the sum of the building
 256 perimeters.

257

258 **4.4 Cohesion**

259 Cohesion can quantitatively describe the spatial state of the integrated and
 260 contacted building elements in the urban fabric. The calculation process is divided
 261 into three steps: Step 1, calculate the fragmentation degree. Step 2, set a distance
 262 threshold value; the single buildings smaller than the threshold will be conducted
 263 with spatial clustering and merging to generate a number of patch sets containing
 264 single buildings; and then calculate the fragmentation degree again. Step 3, carry
 265 out the standardization for the absolute value of the difference value calculated in
 266 the first two steps.

$$267 \quad \text{Cohesion} = |F - F'| \quad (5)$$

268 where F is the fragment before shape aggregation, and F' is the
 269 fragmentation degree after aggregation.

270

271 **5. EXTRACTION OF FABRIC MORPHOLOGICAL FACTOR**

272 The correlation matrix presents a certain degree of multicollinearity among the
 273 fabric morphological indexes, namely, redundancy and overlapping of
 274 information (Tab. 2). Therefore, we need to simplify these indexes into a small
 275 number of key factors that are mutually independent, so that these factors cannot
 276 only comprehensively reflect the morphological characteristics of various aspects
 277 but also avoid information overlapping. By means of the principal component
 278 dimension reduction method, a few common factors can be utilized to explain the
 279 complex relationship among the multiple observation variables, and the
 280 computational formula is as follows:

281

$$282 \quad \begin{cases} X_1 = a_{11}F_1 + a_{12}F_2 + \dots + a_{1m}F_m + \varepsilon_1 \\ X_2 = a_{21}F_1 + a_{22}F_2 + \dots + a_{2m}F_m + \varepsilon_2 \\ \dots \\ X_n = a_{n1}F_1 + a_{n2}F_2 + \dots + a_{nm}F_m + \varepsilon_n \end{cases} \quad (6)$$

283

284 where X_1, X_2, \dots, X_n are the original morphological indexes. F_1, F_2, \dots, F_m are common

285 factors of all indexes. α_{ij} is the coefficient of the original index X_i on the common
 286 factor F_j . The factor load can be understood as the correlation coefficient of the
 287 original indexes and factors. \square_i is a special factor, representing the part that
 288 cannot be explained by the factor in the original index. Therefore, the linear
 289 combination of common factor F_j can be utilized to replace the original
 290 morphological index X_i , and its expression is as below:

$$291 \quad F_j = \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_n X_n \quad (7)$$

292 The simplified factor is not a new combination of the original variables. The
 293 original variables are divided into two parts, namely, the common factors and the
 294 special factors. The former is the part that is shared by all original variables, while
 295 the latter consists of the factors exclusively owned by each original variable. The
 296 factor load matrix is conducted with orthogonal rotation through the maximum
 297 variance method so the factor load of each factor is polarized to 0 or 1 by rotating
 298 the axis. In this way, the original indicators most closely associated with the
 299 factor can be better extracted. Table 2 shows that the factor load under the
 300 proposed method is more than 0.8, which makes the factor characteristics more
 301 significant (Tab. 3).

302 The necessary condition for an effective factor is that the initial Eigen values
 303 are greater than 1. Therefore, multiple fabric morphological indexes can be
 304 simplified as three main factors (Tab. 4) (Fig. 7). The first main factor reflects the
 305 amount of detailed information about the scale features and fabric of the block
 306 and is called the “scale detail synthesis factor”. The second main factor reflects
 307 the coverage rate and the concentration distribution trend of building patches and
 308 is called the “compact factor”. The third main factor reflects the average size of
 309 the building patches and is called the “patch scale factor”. Therefore, the main
 310 factors affecting the fabric morphology are the block size, the fabric density, and
 311 the scale of the building patches. The variances of these three factors accounts for
 312 46.8%, 24% and 18.2%, respectively. The sum of the three factors can explain 89%
 313 of variance, and the representativeness is favorable.

314
 315 Table 2. The correlation matrix of selected indexes (X1=block area, X2=weighted
 316 average area of building footprint, X3=arithmetic average area of building
 317 footprint, X4= building coverage, X5=quantity of buildings, X6= fineness, X7=
 318 fragmentation, X8= compactness, X9= cohesion, X10=average building height,
 319 X11=floor-area ratio)

	(X1)	(X2)	(X3)	(X4)	(X5)	(X6)	(X7)	(X8)	(X9)	(X10)	(X11)
(X1)	1										
(X2)	0.144 (0.194)	1									
(X3)	0.003 (0.977)	0.848**	1								
(X4)	-0.241*	-0.068 (0.54)	-0.207 (0.6)	1							
(X5)	0.73**	-0.167 (0.131)	-0.375**	0.258*	1						
(X6)	0.512**	-0.467**	-0.506**	0.254*	0.866**	1					
(X7)	0.543**	-0.335**	-0.495**	0.112	0.713**	0.661**	1				

				(0.314)						
(X8)	-0.231*	0.164 (0.138)	0.099 (0.372)	0.716**	0.074 (0.505)	0.048 (0.664)	-0.25*	1		
(X9)	-0.307**	0.222*	0.194 (0.079)	0.134 (0.229)	-0.341**	-0.314**	-0.745**	0.371**	1	
(X10)	-0.068 (0.539)	0.336**	0.526**	-0.523**	-0.381**	-0.418**	-0.387**	-0.357**	0.16 (0.149)	1
(X11)	-0.111 (0.317)	0.337**	0.372**	-0.054 (0.625)	-0.208 (0.059)	-0.296**	-0.373**	-0.006 (0.956)	0.365**	0.758**

320
321
322

Table 3. Principal factor load

Morphological index	Un-rotated factor load			Morphological index	Rotated factor load		
	Factor1	Factor2	Factor3		Factor1	Factor2	Factor3
Quantity of buildings	0.923			Quantity of buildings	0.947		
Block perimeter	0.892			Block perimeter	0.860		
Block area	0.880			Block area	0.850		
Fragmentation	0.813			Fragmentation	0.818		
Fineness	0.802			Fineness	0.837		
Coverage		0.910		Coverage		0.925	
Compactness		0.779		Compactness		0.917	
Mean footprint area			0.894	Mean footprint area			0.956

323
324
325

Table 4. Matrix of Eigen values and variance of three main factors

Serial number	Initial Eigen value			Extracted square and loading			Rotated square and loading		
	Sum	Variance%	Total %	Sum	Variance%	Total %	Sum	Variance%	Total%
1	3.764	47.051	47.051	3.764	47.051	47.051	3.748	46.848	46.848
2	2.006	25.070	72.121	2.006	25.070	72.121	1.922	24.027	70.875
3	1.360	16.996	89.117	1.360	16.996	89.117	1.459	18.241	89.117
4	0.468	5.852	94.968						
5	0.198	2.481	97.450						
6	0.135	1.686	99.135						
7	0.039	0.493	99.628						
8	0.030	0.372	100.000						

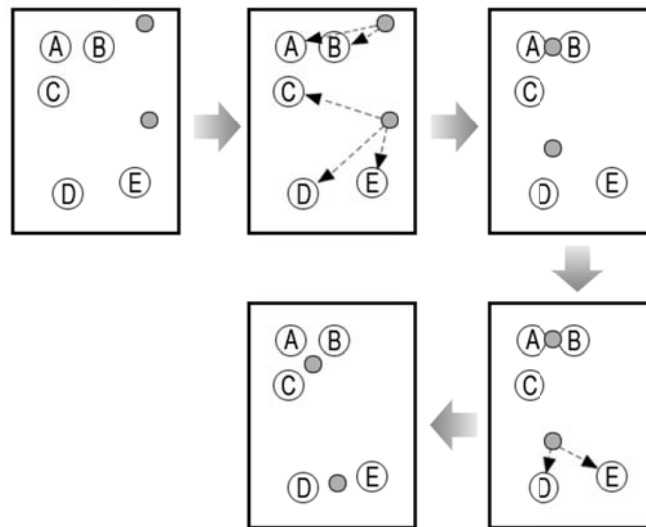
326
327
328

Factors within the colored block is valid, and the others are invalid

329 **6. CLASSIFICATION OF BLOCK UNITS**

330 Unsupervised machine learning is good at clustering without knowing specific
331 classifications beforehand. In fact, the basic task of unsupervised learning is to
332 develop classification labels automatically. Unsupervised algorithms seek out
333 similarity between pieces of data in order to determine whether they can be
334 characterized as a group. These groups are termed clusters. Since the number of
335 the specific type of block units cannot be predicted, the 83 urban block samples
336 can be automatically grouped through the hierarchical clustering method at the
337 beginning. Python programming and the “Integrated Development Environment”
338 (IDE) are based on Canopy. According to the tree graph, the samples can be
339 divided into twelve classes of the most compact block types (Fig. 5). Isovist
340 Integration is calculated to understand the urban space and the spatial distribution
341 of the same type of block unit was marked in the same area. The calculation is
342 done in Depthmap with a 10-meter sampling grid. The data are loaded into
343 ArcGIS for representation. Colors from red to green are used to represent high
344 values and low values. The results reveal rich hierarchies of the urban

359 configuration that define continuous spaces from public, semi-public, semi-
 360 private and private (Fig. 6). The results of hierarchical clustering were examined
 361 through the morphological analysis method based on the architectural typology.
 362 Moreover, we also combined their scale, morphology, fabric pattern, other shape
 363 information, and concession information for a comprehensive judgment. The
 364 results show that the hierarchical clustering method based on the three main
 365 factors can effectively classify fabric types of the block units in this area. Those
 366 urban blocks are classified into twelve different types that share some common
 367 features on size, shape of block outline, building pattern, and density (Fig. 5). The
 368 excessive block types obtained from the hierarchical clustering are not conducive
 369 to more generalized classification results. Based on the tree diagram of
 370 hierarchical clustering (Fig. 5), the results show that the clustering is roughly
 371 distributed in five general types. We can summarize the following five main types
 372 of block fabrics by K-means clustering (Fig. 4, Tab. 5).
 360



361
 362 Figure 4. The diagram of K-means clustering process
 363
 364

361
 362
 363
 364
 365

Table 5. K-means Algorithm in Python

```

Data: Factor of Urban Block # import CSV file
df = pd.read_csv(path+fn, sep=';', header=None)
X_cols = [1,len(df.columns)]
X = np.array(df[df.columns[X_cols[0]:X_cols[1]]])

```

```

def A (a, b):
    x = []
    y = []

```

```

for i in range(a, b):
    k_means = cluster.KMeans(n_clusters=i)
    k_means.fit(X)
    result = k_means.score(X)
    x.append(i)
    y.append(result * -1)
    print -result
plt.plot(x, y)

def KMeans(k):
    km = cluster.KMeans(n_clusters=k, n_init=1000).fit(X)
    result = pd.Series(km.predict(X))
    result.name = 'Cluster'
    result = pd.concat([df[df.columns[0]], result],1)

```

365

366 The type-A blocks account for 23% of the total number (Fig. 7a). This type
 367 shows the phenomenon of polarization in the block size. For this type of block,
 368 the number of buildings is relatively small, and the buildings are mainly
 369 composed of scattered large patches. Therefore, there is little detailed information
 370 about the block fabric. The density and compactness of the buildings are moderate,
 371 and the fabric has a certain density. The buildings in this type of block are mainly
 372 modern high-rise residential buildings with centralized layouts, high-rise
 373 residential buildings with podiums, hotel and office complexes, and some early
 374 centralized landmarks. These buildings form a relatively loosely organized block
 375 fabric, consistent with the independent layout generally adopted in modern
 376 architecture. The overall fabric is relatively rough, so this type of block units can
 377 be summarized as “modern A”.

378 The type-B blocks account for 45% (Fig. 7a), forming the major fabric type
 379 in Hankou riverside area. For this type, the block size is moderate. The number of
 380 buildings and the fabric fineness degree are great within the block, and the fabric
 381 details are relatively rich. The fabric is also compact, and the porosity is low. The
 382 size of the building footprints in the block is moderate. The distribution of these
 383 blocks is relatively continuous, forming the main fabric features of this area. The
 384 building type is mainly old-style Lifan (local residential building), mixed with
 385 some important public buildings and all kinds of residential buildings of different
 386 ages. Due to the influx of a large number of people after 1949, the original
 387 buildings have been enlarged, remodeled and even removed. Although different
 388 types of new public buildings, dormitories, apartments, and commercial housing
 389 have been constructed, and the original block fabric has been changed to a certain
 390 extent, the fabric is still consistent and continuous, so it can be summarized as
 391 “the core block” in this area.

392 The type-C blocks account for only 8% of the total number (Fig. 7a) and are
 393 considered a minor type. These blocks are generally characterized by a rather

394 large block size. The number of buildings inside the block is also great, and the
395 fabric details are very abundant. The layout of buildings has a moderate degree of
396 compactness. Additionally, the average size of building footprint is great.
397 Therefore, this type of blocks can be summarized as “super blocks”.

398 The type-D blocks account for 16% of the total number (Fig. 7a), with a
399 certain universality. This type is mainly small and medium size blocks, and only a
400 few buildings are within each block. The fabric details are also few. The building
401 coverage in the block is low, and the layout is relatively loose and less compact.
402 These blocks mainly contain all kinds of new residential buildings constructed in
403 recent years (such as Wuhan Tiandi Commercial District). To create a relatively
404 pleasant living environment, most of these buildings have a central courtyard, and
405 the building coverage rate of the plot is generally low, with a high environmental
406 potential and landscape quality. Therefore, these blocks can be summarized as
407 “modern B”.

408 The type-E blocks account for 8% of the blocks (Fig. 7a), a minority of the
409 total. The first factor score of this type is low, due to the small scale of these
410 blocks. Both the second and third factors are both at high levels, indicating that
411 the building patches are small and compact, with high density and coverage rate.
412 Buildings in these blocks are mostly low-rise dormitories, cottages and old houses.
413 Around the block are two-story buildings, leaving narrow passages to the inner
414 area. The environmental quality is poor, and the building layout is very compact.
415 Therefore, this type of block units can be summarized as “traditional block with
416 high density”.

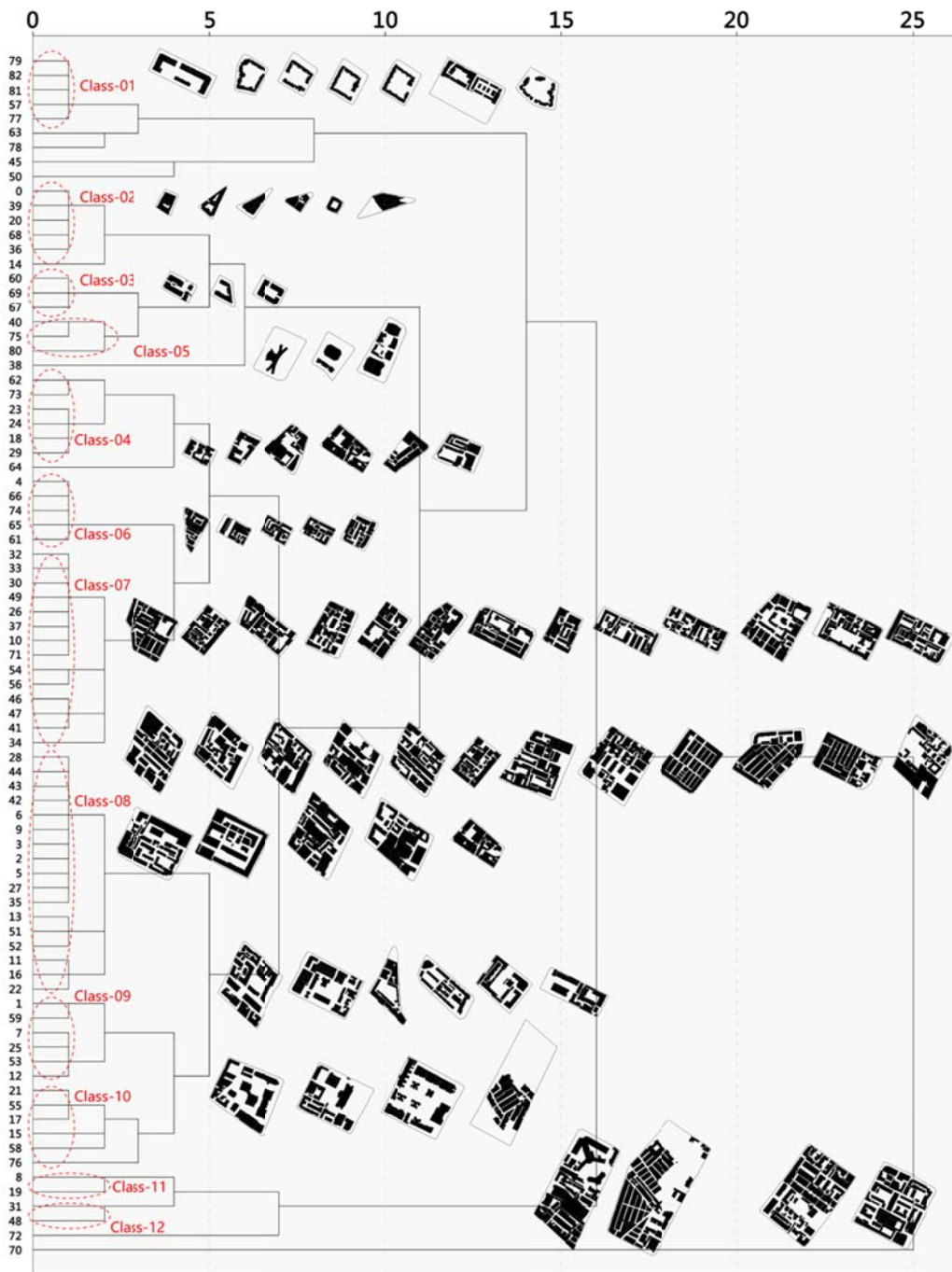


Figure 5. Hierarchical clustering and related block types

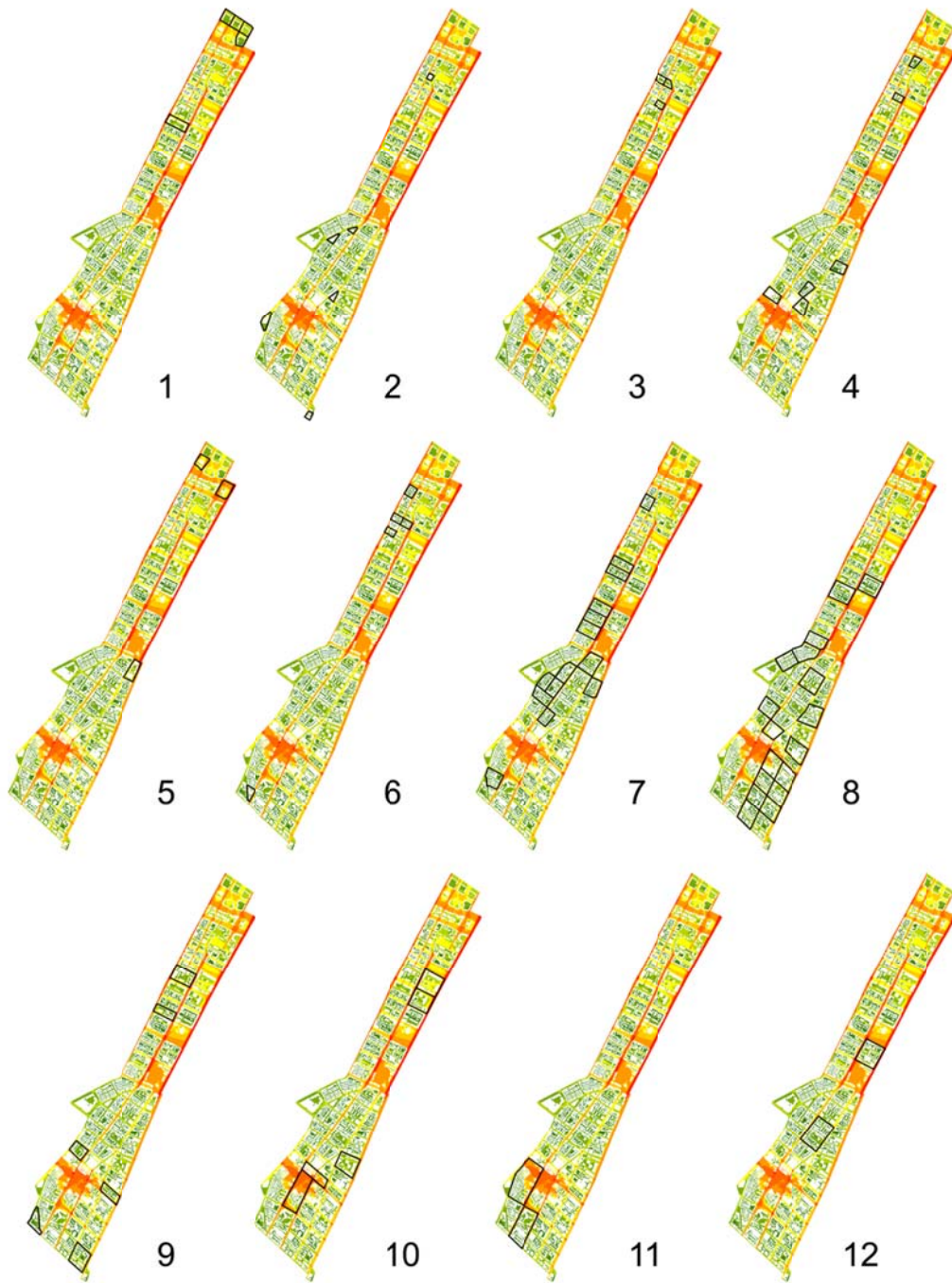


Figure 6. Spatial distribution of the same block units based on hierarchical clustering method

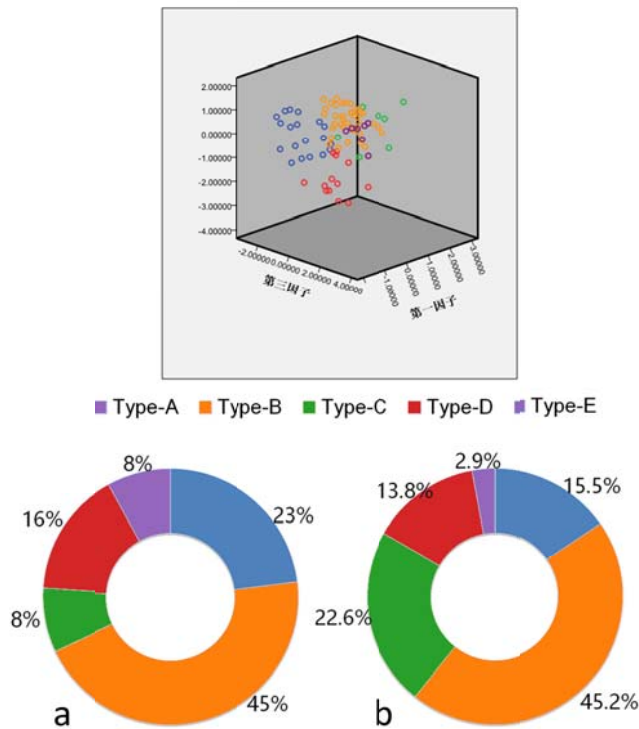


Figure 7. Percentage of the total area of block types (a)
Percentage of the number of block types (b)

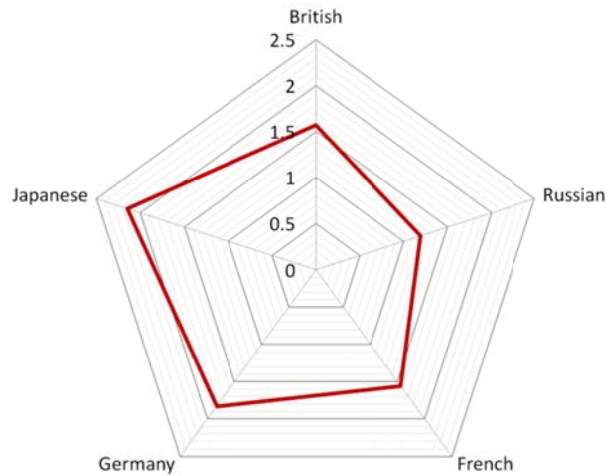
7. CONTINUOUS ZONE AND FRACTURE ZONE

435 The information entropy can be used to describe the mixture of different block
 436 types in the selected area. The more ordered a system is, the lower its information
 437 entropy will be. In contrast, the more chaotic a system is, the higher its
 438 information entropy will be. Therefore, the information entropy is an important
 439 index to measure the degree of order for a system. The formula is as follows:

$$H(x) = -\sum P_i \log P_i \quad (8)$$

438 where $H(x)$ is the mixed degree of objects. P_i is the probability of X_i .
 447 Therefore, we can calculate the mixture of each concession area (Fig. 7). The
 448 result indicates (Fig. 8) that the urban fabric in the Russian concession area is
 449 composed of two major block types and is the most uniform on the whole with an
 450 entropy value of 1.17. The entropies of the French and British concessions are
 451 basically consistent. The heterogeneity of the urban fabric in these two areas is
 452 slightly higher with the entropy value of approximately 1.5. The block types of
 453 German concession and Japanese concession are very mixed. In particular, the
 454 entropy of Japanese concession is up to 2.15, the highest of the concessions,
 455 which reflects the phenomenon of fabric fracture caused by the large-scale urban
 456 renewal in recent years.

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450 Figure 8. The entropy of block units in all concessions
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We have projected the five major block types on the map in order to analyze their spatial distribution in the Hankou riverside area. In general, the “core blocks” constitute the basic fabric structure in this area (the continuous zone). The continuous zone starts at the original eight blocks initially established in the British concession along the Yangtze River. The continuous zone deeply develops into Russian concession at the junction of British and Russian concessions and further extends eastward along the blocks north of Shengli Street in the French and German concessions, forming a continuous backbone of the Hankou riverside area (Fig. 9), until it gradually disappears in the very mixed blocks of the Japanese concession area. For the Hankou riverside area, this continuous zone could potentially perform as the “featured axis” if it is carefully protected and organically renewed. The formation of the Hankou concession area changes the spatial trend along the Han River and gradually forms the development pattern along the Yangtze River. Therefore, for the selected area, extensive urban renewal adopting massive demolition of the original urban fabric should be prohibited, and transitional transformation should be carried out instead. The strategy of protection and utilization shall be taken to optimize the infrastructure, clean up illegal construction of temporary structures, and restore the original urban fabric. Based on the premise of protecting the unity of style, new elements shall be introduced into the city through a series of “fine city weaving” methods and by fully excavating the real value of the old buildings. New vitality shall be injected into the city through the functional replacement to meet the needs of modern life.

Except for the British concession, the continuity of the fabric of other blocks along the river gradually decreases, which undermines the historical unity of this area. In particular, the emergence of a number of fragmented modern blocks causes great differences to the original compact urban fabric. The huge high-rise

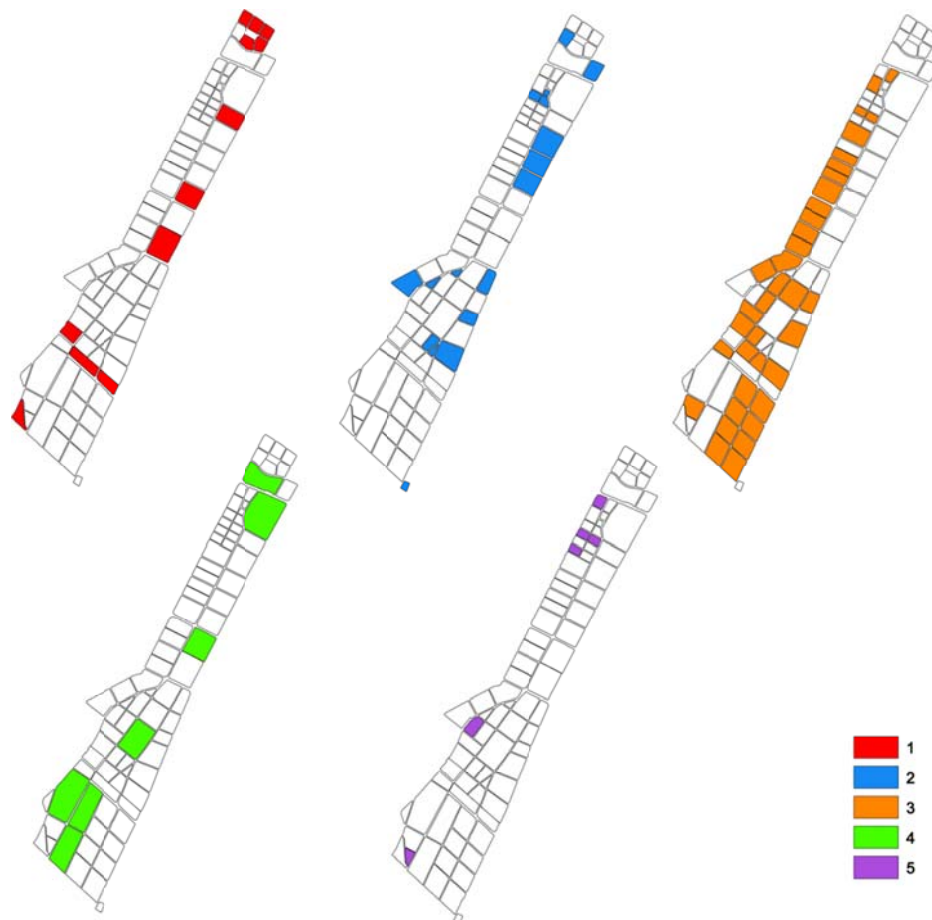
477 buildings require large spaces for fire protection and lighting requirement, which
478 compromises the continuity of the urban fabric. The modern architectural layout
479 also reduces the interface density of the blocks and breaks the block boundary
480 defined by the building. In addition to the favorable fabric continuity, a large
481 number of important and outstanding historical buildings are preserved in these
482 eight blocks. Additionally, there are many private residences and separate houses.
483 These buildings have a high level of artistic and construction quality, and most of
484 them are still in use.

485 There has been a large number of high quality public buildings in the
486 Russian concession adjacent to the British concession. Because the main policy of
487 the old city after 1949 was “full utilization and gradual transformation”, the
488 historic blocks in Hankou were filled and complemented. As a result, quite a few
489 low-quality buildings were constructed in the old city. At the same time, as the
490 ownerships of most buildings were changed, so were the function and space. For
491 instance, the former Russian consulate was converted into a hotel, and the former
492 U.S. Navy youth club was utilized as a residence. In this way, the surrounding
493 building environment was substantially changed. After the 1980s, the pace of
494 urban construction in Wuhan began to speed up, and many Lifen and historical
495 buildings were demolished. Modern high-rise buildings have been built up. These
496 large-scale buildings with primitive structures destroyed the original urban fabric
497 and damaged the integrity and unity of the Russian concession to a certain extent.
498 Even so, the unity of urban fabric in this area is still the highest among the five
499 concessions, and its entropy value is as low as 1.19. The Russian concession is
500 likely to be neglected for its small scale and its location between the British and
501 French concessions, which have buildings with more distinct characteristics.
502 However, the “core fabric zone” occurs to turn in this area. Therefore, the Russian
503 concession can play a key role as a link that will optimize the “continuous zone”
504 to enhance the overall unity of Hankou riverside area.

505 The fabric type of the French concession is relatively uniform, and the
506 entropy is 1.56. The French concession is comprised of gridiron roads and a few
507 diagonal streets. Among the five concessions in Hankou, the French concession
508 lasted for the longest time before being reclaimed by the Chinese government. A
509 number of outstanding historical buildings have been preserved in this area, and
510 the architectural style is mainly the classicism of the Roman Revival,
511 characterized by rounded arches and pointed arches. The details reflect the rich
512 characteristics of French culture. Today, many Lifen buildings are still well-
513 preserved in the French concession, forming an urban fabric morphology with
514 distinct characteristics. These Lifen buildings have good spatial order and layouts.
515 The paths in Lifen are mainly pedestrian spaces with appropriate scales, which
516 effectively avoid external interference. A large number of local residents live here,
517 forming strong cultural characteristics of local residential blocks.

518 The fabrics of the former German and Japanese concessions became more
519 mixed, and the uniformity has decreased. Although a few old-style Lifen streets

529 are preserved in the blocks in the north of Shengli Road within the German
 530 concession, the area along river has been interrupted by a variety of modern
 531 blocks. In the Japanese concession, except for the uniform residential blocks at
 532 the north end of Wuhan Tiandi, large and small blocks of different types mix with
 533 each other, which results in the area being caught in the chaos of fabric. In
 534 particular, a variety of large-scale real estate and commercial complex
 535 development projects have been constructed in recent years, and the original
 536 urban street system cannot adapt to the layout of these huge blocks along the river,
 537 which has intensified the fracture phenomenon of the urban fabric.
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Figure 9. Mapping of the major block types and distribution pattern

534 8. DISCUSSION AND CONCLUSION

539 The notion of SCs is facilitating the transformation of traditional architectural
 540 research to a more precise and smarter stage as required by the information-
 541 society life paradigm . To understand the urban fabric, this paper presents a
 542 comprehensive method for conducting urban typomorphological analysis of urban
 543 blocks. The richness of the urban fabric is valuable for a city and is an important

539 condition for healthy city life. Since the 1980s, many Chinese cities have suffered
540 from the facture of environmental contexts and the lack of a sense of belonging
541 due to the negligence of coordinated development of regionalism, culture and
542 contemporaneity. Sustainable development will need to focus on the protection
543 and continuation of the urban fabric with continuous and unified characteristics.
544 In view of this phenomenon, this paper analyzed the typical fabric characteristics
545 of the Hankou riverside area based on intelligent computation methods. A series
546 of morphological indexes of urban blocks were established to measure their
547 overall features and subtle differences. Factor analysis and clustering analysis
548 were involved to automatically classify major block types and their spatial
549 distribution. The described methods precisely position the important continuous
550 zone and fracture within the study area, effectively revealing the potential
551 morphological order of different block types in the urban fabric. We found that
552 there still exists an important core fabric continuous zone in this area. For the area
553 along the Yangtze River in Hankou, the fabric of different streets has similarities
554 and differences to a certain degree. This contradictory unity is an important factor
555 that allows the region to retain its distinct characteristics and strong flavor of life.
556 The large scale of reconstruction in the city, especially in the historic area, is
557 undoubtedly worthy of reflection. In particular, in the current incremental
558 planning tends to be saturated, so the urban fabric morphology must be further
559 interpreted and studied in order to carry out reasonable and effective artificial
560 interventions and lay good foundations for the further development of positive
561 and healthy lives in the area. The study provides a scientific and accurate basis
562 and technical support for the optimization of urban planning. This research has
563 important and practical significance for promoting the scientific and reasonable
564 implementation of this new type of urbanization. For further improvement, we
565 should consider urban fabric with three dimensional features. More social and
566 economic factors would be involved as complementary support to interpret the
567 formation process of urban fabric.

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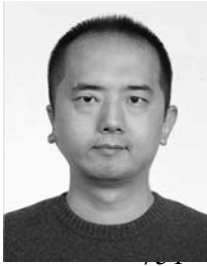
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769 1. A series of morphological indexes of urban blocks are established to measure
770 their form features and subtle differences.

771 2. Multiple statistical methods with computation techniques are used to fulfill
772 factor analysis and clustering analysis to classify major block types and their
773 spatial distribution, and precisely position the important continuous zone and
774 fracture within the study area based on GIS platform, effectively revealing
775 the potential morphological order of different block type in urban fabric.

776 3. The study provides scientific and accurate basis and technical support for the
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