

Parallel independent evolution of pathogenicity within the genus *Yersinia*

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The genus *Yersinia* has been used as a model system to study pathogen evolution. Using whole-genome sequencing of all *Yersinia* species, we delineate the gene complement of the whole genus and define patterns of virulence evolution. Multiple distinct ecological specializations appear to have split pathogenic strains from environmental, nonpathogenic lineages. This split demonstrates that contrary to hypotheses that all pathogenic *Yersinia* species share a recent common pathogenic ancestor, they have evolved independently but followed parallel evolutionary paths in acquiring the same virulence determinants as well as becoming progressively more limited metabolically. Shared virulence determinants are limited to the virulence plasmid pYV and the attachment invasion locus *ail*. These acquisitions, together with genomic variations in metabolic pathways, have resulted in the parallel emergence of related pathogens displaying an increasingly specialized lifestyle with a spectrum of virulence potential, an emerging theme in the evolution of other important human pathogens.

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Bacterial species are defined on the basis of phenotypic characteristics, such as cellular morphology and biochemical characteristics, as well as DNA-DNA hybridization and 16S rRNA comparison. Using high-throughput whole-genome approaches we can now move beyond classic methods and develop population frameworks to reconstruct accurate inter- and intraspecies relationships and gain insights into the complex patterns of gene flux that define different taxonomic groups.

Bacterial whole-genome sequencing has revealed enormous heterogeneity in gene content, even between members of the same species. From a bacterial perspective the acquisition of new genes provides the flexibility to adapt and exploit novel niches and opportunities. From a human perspective, integration of genes by bacteria has been directly linked to the emergence of new pathogenic clones, often from formerly harmless lineages (1, 2). In addition to gene gain, gene loss is also strongly associated with host restriction in acutely pathogenic bacterial species, such as *Yersinia pestis* and *Salmonella enterica* serovars, including *Salmonella* Typhi (3–5), where gene loss can remove functions unnecessary in the new niche (6). These specialist pathogens show a much higher frequency of functional gene loss than closely

related host generalist pathogens, such as *Yersinia pseudotuberculosis* (7).

Previous *Yersinia* genome studies (8, 9) have examined the evolution of pathogenicity by comparing strains from a selection of species or species subtypes within the genus, limiting our understanding of the evolutionary context of individual species. The majority of the *Yersinia* species are found in the environment and do not cause disease in mammals. Three species are known as human pathogens: the plague bacillus *Y. pestis* and the enteropathogens *Yersinia enterocolitica* and *Y. pseudotuberculosis*.

Significance

Our past understanding of pathogen evolution has been fragmented because of tendencies to study human clinical isolates. To understand the evolutionary trends of pathogenic bacteria though, we need the context of their nonpathogenic relatives. Our unique and detailed dataset allows description of the parallel evolution of two key human pathogens: the causative agents of plague and *Yersinia* diarrhea. The analysis reveals an emerging pattern where few virulence-related functions are found in all pathogenic lineages, representing key “foothold” moments that mark the emergence of these pathogens. Functional gene loss and metabolic streamlining are equally complementing the evolution of *Yersinia* across the pathogenic spectrum.

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Data deposition: The sequences reported in this paper have been deposited in the European Nucleotide Archive (ENA study nos. PRJEB2116, PRJEB2117) GenBank and SRA numbers are given in Table S1.

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with the fish pathogen, *Yersinia ruckeri*, falling on a distinct divergent branch (SC2) (Fig. 1). This branch is also occupied by *Yersinia entomophaga* and *Yersinia nurmii*, two newly characterized species grouped within a single species cluster, SC3.

Plotting the Distribution of Known Virulence Functions Across the Genus. Numerous studies have defined important virulence determinants in *Y. pseudotuberculosis/pestis* and *Y. enterocolitica* (18–23). Analysis of these virulence genes has formed a central narrative in our understanding of the evolution of these pathogens. However, although these determinants have been well characterized in human pathogenic lineages, their origin and distribution across other members of the genus remain unclear or incomplete.

From the phylogenetic distribution of known pathogenicity determinants (Fig. 2 and Dataset S1) it is evident that the distribution of virulence-related genes falls into three broad categories: (group I) genes represented in all lineages of the genus, (group II) genes selectively gained or lost, wholly or partially, by entire lineages, and (group III) those peculiar to single isolates.

Virulence-related genes present in all lineages (group I) include the Flag-1 flagella biosynthesis cluster and the global virulence regulator RovA (Fig. 2), which controls the expression of multiple key virulence factors (23, 24). RovA has been suggested as a promiscuous ancestral regulon into which related as well as unique functions were incorporated multiple times in different lineages, as indicated by the little overlap of regulatory networks between *Y. enterocolitica* and *Y. pseudotuberculosis* (23).

Selectively acquired or lost genes in group II include a chromosomal type 3 secretion system (T3SS) recently described in *Y. enterocolitica* (25–27). This system is most similar to the ancestral *Salmonella* pathogenicity island 2 (SPI-2) encoded T3SS and we propose to call it *Yersinia* genus T3SS (*Ygt*). T3SS have been associated with important roles in bacterial disease because they allow direct injection of T3SS effector proteins into eukaryotic cells. Although there is clear evidence for the presence of *Ygt* in all *Yersinia* lineages, it appears to be in the process of being lost in the highly pathogenic species (Fig. 2). It is also clear that the loss of *Ygt* is always coincident with the acquisition of an alternative T3SS: either chromosomal *Ysa* or the virulence plasmid, pYV, bearing the *Yop* T3SS (see below).

Group II also includes metabolic pathways including the cobalamin (vitamin B₁₂) biosynthetic operon (*cob*), 1,2-propanediol

utilization pathway (*pdu*), tetrathionate respiration genes (*trr*), hydrogenase complexes (*hyd4* and *hyd2*), and cellulose biosynthesis pathway (*cel*). Across the genus, these dispersed operons are absent from a distinct branch of the *Yersinia* phylogenetic tree encompassing SC1–3 (*Y. pestis*, *Y. pseudotuberculosis*, *Y. similis*, *Y. ruckeri*, *Y. nurmii*, and *Y. entomophaga*), except for *trr*, which has been independently deleted in SC1 and -2, and is only maintained by SC3 (Figs. 1 and 2). The distinct distribution of these functions across the genus is likely to be evidence of early ecological specialization in *Yersinia* lineages. *Salmonella*, like *Yersinia*, synthesizes cobalamin only under anaerobiosis (28, 29) and is able to degrade 1,2-propanediol or ethanolamine by cobalamin-dependent enzymes using tetrathionate as a terminal electron acceptor, making the endogenous production of cobalamin essential for growth (30). Tetrathionate was previously thought to be important for environmental survival, but has now been shown to be produced in the vertebrate inflamed gut following the host's response to *Salmonella* Typhimurium infection, whereby the SPI-1 and -2 encoded T3SS are essential for stimulating the inflammatory response (31, 32). This widely distributed metabolic capability of *Yersinia* SCs 4–14 could provide a competitive growth advantage similar to that observed in *S. Typhimurium* over the largely fermentative gut microbiota by using naturally occurring carbon sources that are not readily fermented.

Only two acquisitions were evident on the tree as delimiters between pathogenic lineages and their most closely related “environmental” or nonpathogenic sister taxa (Fig. 2, group III, and Dataset S1). These “foothold moments” are the acquisition of the chromosomal attachment and invasion locus *ail* (19) (Fig. 2) and the plasmid pYV. Plasmid pYV encodes the *Ysc-Yop* T3SS apparatus, Yop-secreted protein effectors and chaperones (33), and is required for optimal virulence in all human pathogenic *Yersinia*. Reconstructing the phylogenies of pYV (Fig. 1) showed far fewer mutation events than would be predicted from the genus tree, but that it segregated with the host lineages. Also, unlike any other pYV lineage, pYV from BT 1B strains (SC6) possess a distinct origin of replication (34, 35). The most parsimonious explanation for the isolated presence of highly similar plasmids at extremes of the core phylogenetic tree is that recent independent acquisition of distinct versions of pYV has occurred in both the *Y. enterocolitica* lineages SC6 and -7, as well as the *Y. pseudotuberculosis/pestis* lineage SC1, contrary to existing hypotheses (11–13).

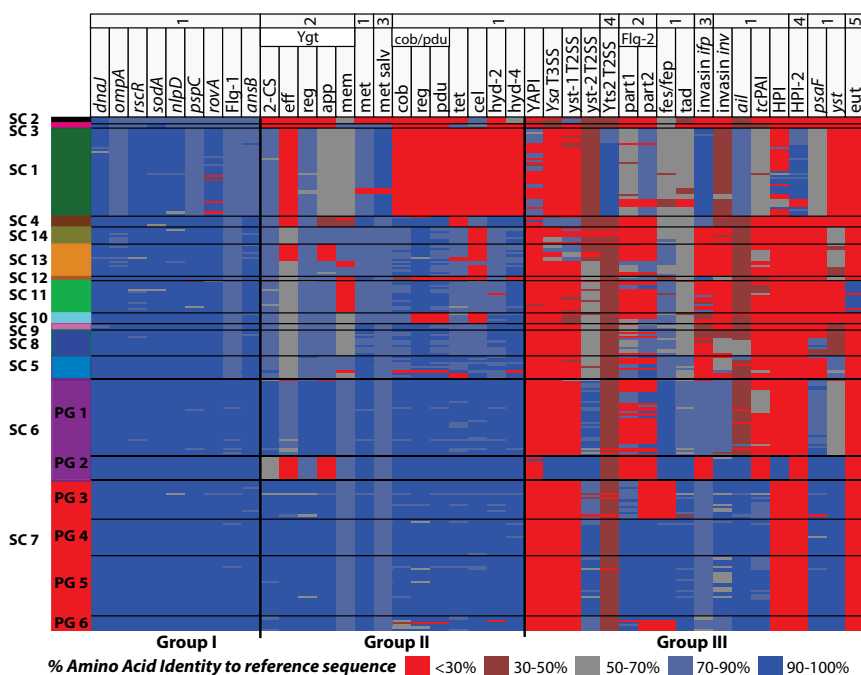


Fig. 2. Distribution of pathogenicity determinants across the genus. Three broad groups of pathogenicity determinants present in the genus are highlighted (see text and Dataset S1). Heatmap colors are based upon average amino acid identity either of single genes or as an average of the amino acid identity across the genes in the operon, as indicated. The percent identities were identified using BLAST searches of the assembled genomes. The corresponding species complexes (Fig. 1) and PGs (Fig. 3) are highlighted. Comparator sequences used: 1, *Y. enterocolitica* (YE8081); 2, *Y. enterocolitica* (YE212/02); 3, *Y. pseudotuberculosis* (IP32953); 4, *Y. pestis* (CO92); 5, *Y. intermedia* (ATCC29909). Gene names are given for pathogenicity determinants, operons are labeled with their names. Abbreviations: flag, flagella cluster; Ygt, *Yersinia* genus T3SS; 2-CS, two component system; eff, effectors; reg, regulator; app, apparatus; mem, membrane proteins; met (salv), methionine (salvage); YAPI, *Yersinia* adhesion pathogenicity island; T2SS, type 2 secretion system (general secretion pathway); fes/fep, siderophore operon; tcPAI, toxin complex pathogenicity island; HPI, high-pathogenicity island.

Like the phylogenetic analyses, the phenotypic results emphasize the parallelism between the two pathogenic species clusters. It has been shown that combined plasmid gain and chromosomal gene loss was a predominant driving mechanism in the evolution of the highly specialized, lifestyle-restricted clone *Y. pestis*, which lacks the metabolic repertoire of the wider *Y. pseudotuberculosis* species cluster. The reduction of metabolic flexibility through gene loss from the ubiquitous plasmid-negative PG 1 strains to the lifestyle-restricted PG 6 is particularly striking, and appears to show a similar pattern to that observed in the gradient from *Y. similis* to *Y. pseudotuberculosis* and *Y. pestis*. This appears to be a case of parallel evolution of virulence within a bacterial genus.

Discussion

The data presented here bring together our often fragmented view of bacterial pathogen evolution. Through whole-genome sequencing of over 200 genomes we have provided a robust framework to redefine the species clusters of this genus, mapping genetic traits across its full diversity and scoring the significance of pathogenicity genes based on their presence or absence. By looking at functions gained and lost from specific lineages it is clear that there are metabolic functions, such as the ability to make endogenous vitamin B₁₂ or anaerobically respire tetrathionate that differentiate SC1 and -2 from all other *Yersinia* lineages (Fig. 1). The wide phylogenetic distribution of these functions suggests they are ancestral, important for growth in a range of niches, and have been lost rather than gained in specific lineages.

It has been previously subject to debate whether human pathogenic *Yersinia* shared a common pathogenic ancestor (11–13). In fact, the pathogenic lineages occupy positions at diametrically opposite ends of the *Yersinia* genus tree. From studies looking at *Y. enterocolitica* pathophysiology we know that infections are characterized by inflammation of the gut and sometimes of the mesenteric lymph nodes. It is also clear that inflammation requires pYV, as without it there is no inflammation and pYV-cured *Y. enterocolitica* are rapidly eliminated from the gut (40). In *Y. pestis*, pYV has been shown to have an early anti-inflammatory effect preceding the inflammatory response of the host. Although not demonstrated in *Y. enterocolitica*, it may be speculated that a similar early anti-inflammatory effect is necessary for *Y. enterocolitica* to establish itself at the site of infection before the onset of the host inflammatory response (41). Either way, it is clear that pYV is a key factor allowing *Y. enterocolitica* to persist in the mammalian gut lumen long enough for the host to mount an inflammatory response. In the course of such an inflammatory response tetrathionate is produced, and can be used to gain a metabolic advantage over the resident gut microbiota (32). This finding may partly explain why the acquisition of pYV represented an apparent foothold moment in the evolution of these pathogens, allowing them to exploit a new niche.

The dispensability of metabolic functions in *Y. pestis* can be explained by adoption of a lifestyle bypassing the gut infection phase, and for *Y. pseudotuberculosis* similar losses are likely to be explained by its greater invasiveness and occupation of alternative niches away from the mammalian gut. Because even the nonpathogenic members of SC 1–3, such as *Y. similis*, have lost these functions, this is evidence of an early change in niche before the evolution of the other human pathogenic *Yersinia* species in SC1. This finding may also explain why the evolution of pathogens in SC 1 is markedly different from *Y. enterocolitica* SC 6–7 in terms of gene gain. *Y. pestis* is characterized by the acquisition of an array of mostly plasmid borne virulence functions, which apart from pYV are found nowhere else in the genus.

Despite the differences in metabolic pathways there is considerable symmetry between the two branches leading to the pathogenic *Yersinia*; both have closely related nonpathogenic relatives and have independently acquired pYV. In addition, species clusters within these lineages show signs of having passed through an evolutionary bottleneck. Although this process has been well described for *Y. pestis*, it is evident from these data that

much of the diversity has been similarly removed in *Y. enterocolitica* PG 3–6 populations. Large-scale functional gene loss through pseudogene formation and insertion sequence element expansion is evident in both lineages generally, but especially in the most extreme host-restricted PG 6 strains and *Y. pestis* (3). Looking outside of the *Yersinia*, these signatures have also been shown to occur in recently emerged pathogens, such as *S. Typhi* (4), where they too are considered to be indicative of a recent evolutionary bottleneck and a change in lifestyle or niche (42). The patterns of niche adaptation and metabolic streamlining seen in PG 3–6 strains, unlike *Y. pestis*, are likely to be the consequence of transition from environmental ubiquity to specialization in enteric infection of animals rather than adapting to a new lifestyle including an insect vector.

What is significant about *Yersinia* is that until now we have associated widespread functional gene loss with acutely pathogenic lineages. Although this is true of *Y. pestis*, it is not completely true for *Y. enterocolitica*, where the degraded genomes are found in PG 3–6 strains. These latter strains show limited pathogenicity in mouse models and, although not the most acute pathogens, are the most successful lineages in terms of disease causation. These series of events that appear as common evolutionary paths in the most distant *Yersinia* lineages, and across the *Enterobacteriaceae*, are markers of the emergence of lineages with an increasingly restricted lifestyle. These highly adapted organisms can cause a spectrum of disease and have emerged independently, on multiple occasions, out of an environmental background to become successful human and animal pathogens. Thus, these dramatic genetic changes we have demonstrated in the genus *Yersinia* form a paradigm rather than a unique combination of chance events, and appear to underlie the emergence of pathogenic enterobacteria.

Materials and Methods

Sequencing and Assembly. The genomes of six *Y. enterocolitica* strains (YE12/03, YE56/03, YE53/03, YE212/02, YE149/02, YE3094/96) were sequenced and completed to an Improved High Quality Draft (43) standard using multiple sequencing technologies (ABI3730 automated sequencers 2× coverage, 454/Roche GS20/FLX 30× coverage, and Illumina GAI 60× coverage). End-sequenced PCR products were used to close gaps and scaffold large repeat regions. The assembly was corrected using ICORN (44).

Sequence for the worldwide collection was generated to a minimum of 20-fold coverage using the Illumina sequencing platform (GAI instruments; 6–12 samples per lane of sequencing, 200- to 300-bp fragments, 76-bp paired-end reads). This collection included 27 *Y. pseudotuberculosis* and 118 *Y. enterocolitica* strains, as well as further 78 isolates of environmental species, plus previously published genomes (Table S1). The genome accession numbers can be found in Table S1.

Phylogenetic Analysis. To determine the phylogenetic relationship of the genus *Yersinia* housekeeping genes present, all *Yersinia* sequenced were identified based on maximum of 25% SNP divergence between *Y. pestis* and *Y. enterocolitica*. The genes were extracted from de novo velvet assemblies (45) and a concatenated sequence of the chosen 84 genes encompassing 99,724 bases (Table S3) was used to reconstruct the phylogeny of the chromosome. The plasmid alignment was generated by mapping sequenced reads against pYV8081 (46). See Dataset S3 for single copy core genes used for reconstruction of pangenome genus phylogeny of 50 representative isolates (Fig. S1).

The concatenated sequences for the chromosome and pYV plasmid were both used to construct an unrooted phylogeny using RAXML with a general time-reversible evolutionary model and γ -correction for among site rate variation. Species complexes were defined using the BAPS program (14) run for three independent iterations with upper population sizes of 25, 30, and 35, to obtain the optimal clustering of the population (47).

The *Y. enterocolitica* SNP analysis was based on whole-genome alignment produced by mapping using the YE8081 genome as reference as described previously (46). Mobile genetic elements were removed, and the resulting SNP alignment was analyzed using RAXML (see above). Hierarchical BAPS clustering was performed to define the population structure.

Search for Genes and Operons Related to Virulence and Pathogenicity. Virulence genes were identified using BLAST searches of the assembled genomes

(Dataset S1). Heatmap colors are based upon average amino acid identity either of a gene, where a single gene is examined, or as average of the amino acid identity across the genes in the operon, as indicated.

Phenotypic Microarray Experiment and Analysis. Bacterial strains were cultured on Luria Bertani agar plates at 25 °C. Inoculation and preparation was as manufacturer instructions (Biolog). PM1, 2A (both carbon), PM3B (nitrogen), and PM4A (phosphorus, sulfur) were chosen. L-Cystine (homo-dimer of L-cysteine, 12.5 μM) was added as a reduced sulfur source to all plates, and sodium pyruvate (2M) was added to PM3B and 4A. Plates were incubated under aerobic conditions for 48 h at 28 °C in the OmniLog Incubator/Reader, taking readings every 15 min. Three biological replicates were conducted for each experiment. Data were exported and analyzed in R with datapoints being transformed in to signal values, as described previously (Dataset S2) (48). Each plate showed a clear bimodal distribution of signal values, and normal distributions were fitted to the two peaks to characterize metabolic activity

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