



Evolution of carbonic anhydrase in C₄ plants

Martha Ludwig

During the evolution of C₄ photosynthesis, the intracellular location with most carbonic anhydrase (CA) activity has changed. In *Flaveria*, the loss of the sequence encoding a chloroplast transit peptide from an ancestral C₃ CA ortholog confined the C₄ isoform to the mesophyll cell cytosol. Recent studies indicate that sequence elements and histone modifications controlling the expression of C₄-associated CAs were likely present in the C₃ ancestral chromatin, enabling the evolution of the C₄ pathway. Almost complete abolishment of maize CA activity yields no obvious phenotype at ambient CO₂ levels. This contrasts with results for *Flaveria* CA mutants, and has opened discussion on the role of CA in the C₄ carbon concentrating mechanism.

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Introduction

Multiple genes encoding distinct carbonic anhydrase (CA; EC 4.2.1.1) isoforms are found in all higher plants so far examined [1–3]. These proteins are divided into three diverse families, α , β , γ , with members of all the families shown to play roles in CO₂ uptake, fixation or recycling, or there is evidence implicating them in these functions [1–4]. The β -CAs are the most abundant CAs in higher plants, with cytosolic, membrane-associated, and organelle-specific isoforms identified. The evolution of β -CAs involved in the C₄ photosynthetic pathway will be the focus of this review.

In the leaves of C₄ plants, the highest β -CA activity is found in the cytosol of mesophyll cells [5,6]. Like all other known CAs, these C₄-associated isoforms catalyze the reversible conversion of carbon dioxide and bicarbonate (CO₂ + H₂O \rightleftharpoons HCO₃[−] + H⁺). In the C₄ mesophyll,

the enzyme converts atmospheric CO₂ to bicarbonate, which is then used to carboxylate phosphoenolpyruvate (PEP) by the primary carboxylase of C₄ plants, PEP carboxylase (PEPC). This reaction initiates the C₄ acid transfer cycle that is integral to the carbon concentrating mechanism (CCM) of C₄ plants, and leads to CO₂ concentrations surrounding ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) in neighboring bundle-sheath cells (BSC) that are at least 10-times that of the surrounding atmosphere [7].

C₃ plants do contain orthologs of the gene encoding the cytosolic C₄ CA isoform; however, in the leaves of C₃ plants, most β -CA activity localizes to the chloroplast stroma of the mesophyll cells [8,9]. This indicates that unlike what is seen for other enzymes in C₄ photosynthesis, the intracellular location with the highest CA activity changed during the evolution of the C₄ pathway from the ancestral C₃ biochemistry.

Interestingly, a significant role for β -CAs in C₃ photosynthesis remains unsettled. It has been suggested that in C₃ plants, a stromal CA would facilitate CO₂ diffusion across the chloroplast envelope and ensure adequate supply of CO₂ to Rubisco [10]. However, mature tobacco [11–13] and *Arabidopsis thaliana* [14] plants with reduced levels of the major stromal β -CA, generated through antisense or knockout technologies, showed no obvious phenotype, or changes in photosynthetic characteristics [11–14]. Instead, C₃ stromal β -CAs have been shown to be involved in pathogen resistance [15–17], seedling survival [14], and lipid biosynthesis [18]. In *A. thaliana*, stromal (*At* β CA1) and membrane-associated (*At* β CA4) β -CA isoforms function in stomatal development through a CO₂-controlled signaling pathway [19,20^{*}]. Overexpression of the mitochondrial β -CA (*At* β CA6; [21]) in *Arabidopsis* resulted in an increase in plant biomass, but the transformants demonstrated no significant change in photosynthetic rates compared to wild type plants [22^{*}]. The *At* β CA6 overexpression lines, however, did show a decrease in respiration rates. These results support the idea of a basal CCM in C₃ plants whereby CO₂ released from respiration (or photorespiration) is converted to bicarbonate by a mitochondrial β -CA, and then transported to the chloroplast for re-fixation [4].

In addition to the cytosolic β -CA that catalyzes the first step in the C₄ pathway, C₄ plants contain other cytosolic and organellar CA isoforms [3,23]. Although little direct work has been done on the functions of these non-C₄-associated forms of β -CA, it is likely that they carry out at least some of the ancestral C₃ roles described above.

This review will summarize our current knowledge of the molecular changes that occurred during the evolution of C₄ β -CAs from their C₃ ancestors. Recent work on the regulation of CA expression in C₄ plants, and the significance of the enzyme for the C₄ CCM will also be considered.

Molecular evolution of C₄ β -carbonic anhydrases

To date, insights into the evolution of a β -CA involved in C₄ photosynthesis, and dissection of the alterations that occurred at the molecular level to give rise to this cytosolic isoform have been obtained from only a single C₄ lineage. This work was done using the genus *Flaveria*, which contains congeners representing the evolutionary continuum from C₃ to C₄, including proto-Kranz, C₃–C₄ intermediates, and C₄-like species [24,25,26*].

In the C₄ species *Flaveria bidentis* and C₃ congener *Flaveria pringlei*, cDNAs encoding three distinct β -CAs (CA1, CA2, CA3) have been isolated from leaf tissue and characterized [23,27]. Transcript analyses showed CA3 mRNA was at least 50-times more abundant than CA1 or CA2 transcripts in mature leaves of *F. bidentis*, while transcripts encoding CA1 were the most abundant in the leaves of the C₃ *F. pringlei*. Localization experiments using isolated pea chloroplasts and radiolabelled CA precursor proteins showed that CA1 from both *F. bidentis* and *F. pringlei* were imported into chloroplasts [23,27], whereas the CA2 isoforms from both species were not [23,27], and consequently appear to be cytosolic CAs in both species. The localization results for the CA3 isoforms were enlightening with respect to the evolution of the C₄ form of CA3: *F. pringlei* CA3 was imported into isolated pea chloroplasts, whereas *F. bidentis* CA3 was not [23,27]. Comparison of the predicted amino acid sequences of the two CA3 isoforms showed that *F. bidentis* CA3 lacks the first 71 residues relative to the CA3 of *F. pringlei*; however, the predicted polypeptides show 95% amino acid identity over the region they do share [27]. A high proportion of Ser and Thr residues and a low number of charged amino acids are predicted in the *F. pringlei* CA3 N-terminus — properties consistent with the region encoding a chloroplast transit peptide. These results as well as those of the localization experiments were supported by *in silico* protein localization analyses [3]. Taken together, these results indicate that the highly abundant CA3 transcripts in *F. bidentis* code for the cytosolic CA that catalyzes the first committed step of C₄ photosynthesis, and that during evolution of the C₄ pathway, the ancestral C₃ CA3 gene lost the sequence encoding the chloroplast transit peptide, essentially trapping the protein in the cytosol of C₄ mesophyll cells [27].

In subsequent work, the predicted amino acid sequences of the cDNAs encoding CA3 from two other C₃ *Flaveria* species, *F. cronquistii* [3] and *F. robusta* (Figure 1), were

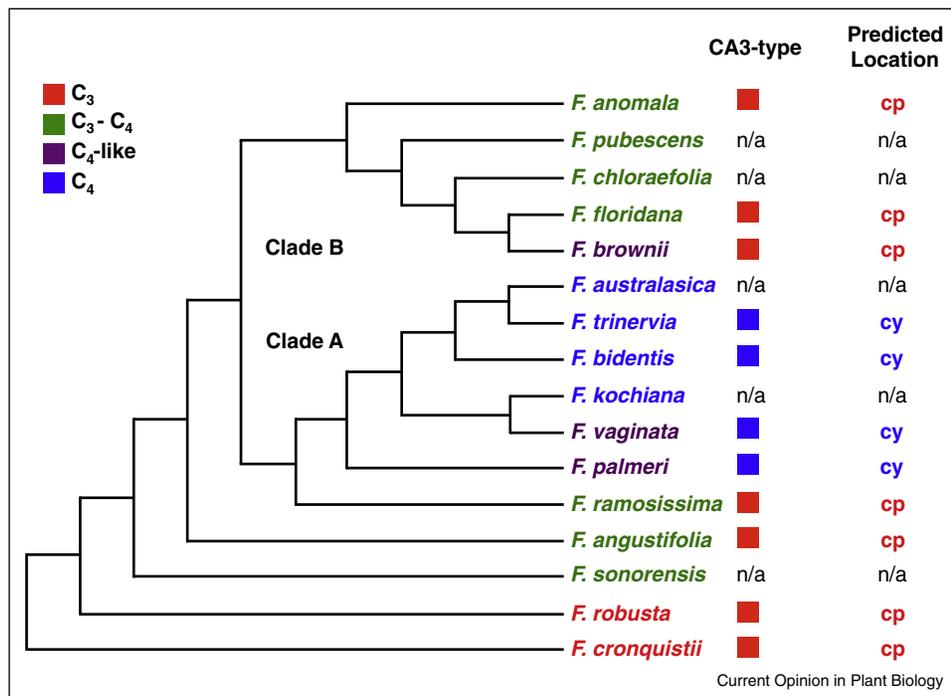
found to be homologous with that of *F. pringlei*, suggesting a chloroplast location for these proteins. The putative amino acid sequence of CA3 in the C₄ *Flaveria trinervia* contains an N-terminus homologous to that of *F. bidentis* CA3, with no evidence of a chloroplast transit peptide, and consequently a cytosolic location is predicted (Figure 1). The predicted N-terminal sequences of the CA3 isoforms from all *Flaveria* C₃–C₄ intermediate species sequenced to date appear to encode chloroplast transit peptides, and therefore are likely to be chloroplast isoforms ([3]; Figure 1). In the C₄-like species, *Flaveria palmeri* (Figure 1) and *Flaveria vaginata* [3], the putative CA3 polypeptide sequences have N-termini that are homologous to those of C₄ *Flaveria* congeners, and are expected to be cytosolic proteins. In contrast, the CA3 cDNA from *Flaveria brownii*, also considered to be a C₄-like species, encodes a C₃-type N-terminus, suggesting that *F. brownii* may represent an earlier step in the *Flaveria* C₃ to C₄ evolutionary continuum [3]. These results are consistent with the more C₄-like leaf anatomy [28] and gas exchange properties [29] demonstrated by *F. vaginata* than *F. brownii*.

Other groups containing closely related species demonstrating different photosynthetic biochemistries do exist [25]. However somewhat surprisingly, comparative characterization of the β -CA orthologs, the mRNAs and isoforms they encode has not been done. Consequently, there is no information as to whether the mechanism for the evolution of the C₄-associated CA in *Flaveria* is common to other lineages, or if alternative processes were used. Currently no information exists regarding the mechanism of C₄ CA evolution in monocots, which to some extent is due to the lack of lineages containing congeners using different photosynthetic pathways. In this regard, the Australian grass tribe Neurachninae, which contains C₃, C₄ and C₃–C₄ species, holds great promise [30].

Insights into the evolution of C₄ β -CA gene expression

A comparison of the leaf transcriptomes of the Cleomeaceae C₄ species *Gynandropsis gynandra* and the closely related C₃ *Tarenaya hassleriana* found transcripts encoding the homolog of the *Arabidopsis* membrane-associated β -CA (*At* β CA4; [21]) exhibited an increase in abundance of the same level as transcripts of genes encoding C₄ pathway proteins [31]. Analysis of the *G. gynandra* 5'-untranslated and 3'-untranslated regions (UTRs) using β -glucuronidase (GUS) fusion constructs showed elements in these regions contained information for the mesophyll-specific accumulation of GUS in *G. gynandra* [32]. Information in either UTR was sufficient for this activity. Interestingly, *cis*-elements in the homologous 5'-UTR and 3'-UTR of *At* β CA4 were also able to independently direct the accumulation of GUS in the mesophyll of *G. gynandra*. These results suggest that, for at least some lineages, and some genes encoding C₄-associated

Figure 1



The types of CA3 and their predicted intracellular locations mapped to the *Flaveria* phylogeny. Based on the results of CA3 targeting experiments, the enzymes from all C_4 species are predicted to localize to the mesophyll cytosol (cy) [23], whereas CA3 isoforms from all C_3 species are mesophyll chloroplast (cp) proteins [27]. Sequence analyses indicate all *Flaveria* C_3 - C_4 intermediate species contain a C_3 -type CA3 and are predicted to have a chloroplast location. For the three C_4 -like *Flaveria* species examined, the CA3 homolog from *F. brownii* is also a C_3 -type CA, with most likely a chloroplast location; however, *F. vaginata* and *F. palmeri* have C_4 -type CA3s that are predicted to be cytosolic proteins. *Flaveria* phylogeny modified from Lyu *et al.* [26*].

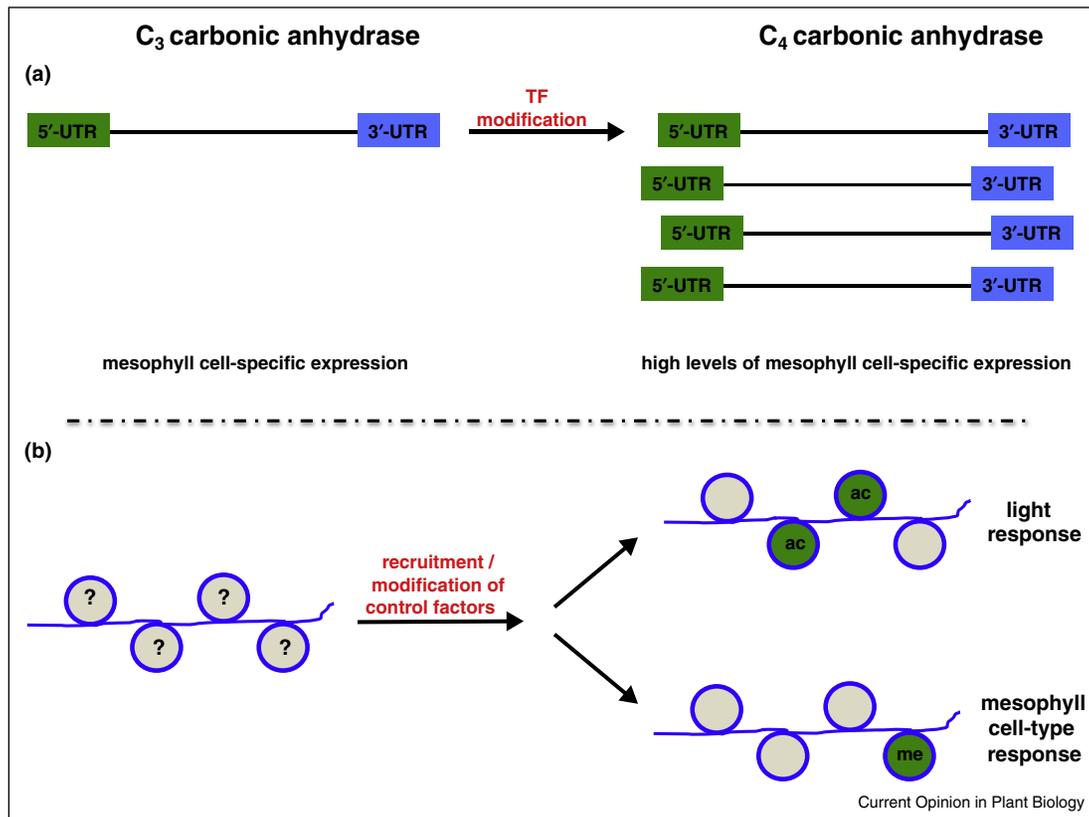
CAs, the information for cell-specific expression is present in the orthologous genes of close C_3 relatives (Figure 2), and this may have expedited the evolution of the C_4 syndrome [32].

A recent study looked at the levels of histone H3 with K9ac and K4me3 modifications, and their positioning relative to the transcription initiation site (TIS) of genes encoding several C_4 -associated proteins, including *CA1* (GRMZM2G121878), in maize leaves [33**]. These modified histones, along with H4K5ac, had been shown previously to be associated with the activation of the gene encoding the maize C_4 PEPC [34–36]. Like the C_4 PEPC gene, *CA1* showed enrichment of H3K9ac and H3K4me3 and comparable positioning of the nucleosomes containing them. In addition, *CA1* demonstrated H3K9ac and H4K5ac enhancement both at and upstream of the TIS in response to light, and the distribution patterns were similar to those of five other genes encoding C_4 -associated proteins. In chromatin from mesophyll cells, enrichment of trimethylated H3K4 relative to the dimethylated protein was found at sites downstream of the *CA1* gene TIS, which correlated with the findings for genes encoding other C_4 -associated proteins showing mesophyll-specific expression. These are intriguing

results regarding the evolution of maize genes coding for C_4 -associated enzymes, including *CA1*, as they suggest that the chromatin containing these genes share a common histone code (Figure 2), and therefore some common mechanism controlling gene expression with respect to environmental signals and cell specificity [33**].

Although Heimann *et al.* [33**] did not examine the *CA1* orthologs of sorghum or *Setaria*, the finding that the orthologs encoding C_4 PEPC and NADP-malic enzyme (NADP-ME) in the distinct maize/sorghum and *Setaria* lineages have similar histone modification patterns led to the suggestion that the modifications were present in ancestral C_3 grasses and were co-opted during the evolution of the C_4 pathway [33**]. This idea of predisposition of ancestral C_3 gene regulatory components for adoption into an evolving C_4 pathway is consistent with the results of the Cleomaceae CA study described above [31], as well as the findings of other work focused on the control of genes encoding C_4 -associated pyruvate, orthophosphate dikinase, NAD-ME, and glycine decarboxylase [32,37,38*]. Changes in *trans*-acting or other regulatory factors would enable the cell compartmentation and levels of expression seen in present day C_4 plants (Figure 2).

Figure 2



Control elements and histone modifications in C₃ CA genes were adopted for C₄ CA gene expression. **(a)** Sequences in the 5'-untranslated and 3'-untranslated regions (UTRs) of CA genes control mesophyll cell expression in C₃ and C₄ plants [32]. The modification of *trans*-acting factors (TF) is thought to have enabled expression in C₄ species, for example, high levels of expression. **(b)** Common histone modifications are found in several maize genes coding for C₄-associated proteins, including CA, as a result of exposure to light and cell type expression [33^{**}]. Similar modifications were found in different C₄ lineages, suggesting that the marks were present in the ancestral C₃ genes. However, the histones of the C₃ orthologs have not been examined, which is indicated in the figure by the question marks. Additional or modified control factors were likely recruited to yield the expression pattern and levels seen for present day C₄ CA genes.

Significance of β -CA in the C₄ carbon concentrating mechanism

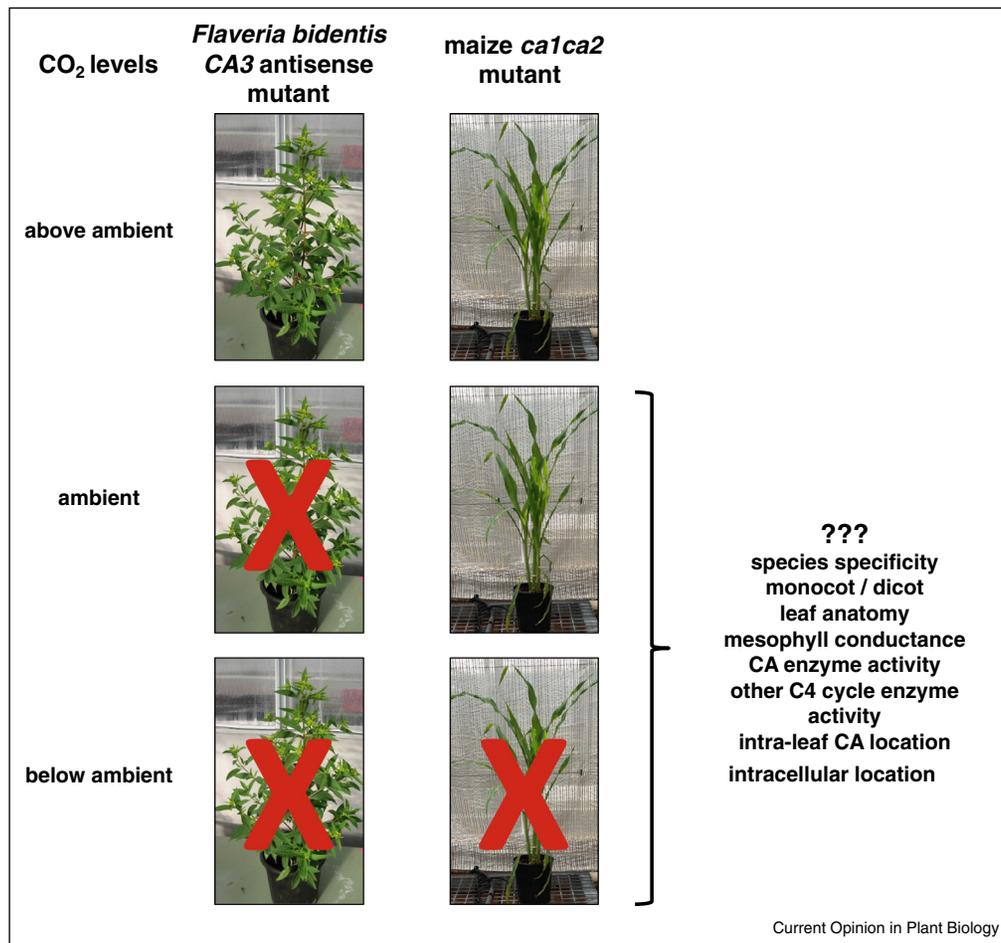
To determine the importance of CA in C₄ photosynthesis, *F. bidentis* plants were transformed with a CA3 antisense construct [39]. Transformants with 20% of wild type *F. bidentis* CA activity had decreased rates of steady-state CO₂ assimilation at ambient levels of CO₂, and those with 10% or less wild type CA activity required high CO₂ for growth (Figure 3). These results indicated that while CA activity is not limiting in wild type *F. bidentis*, it is a definite requirement for the C₄ pathway to function as a CCM in this dicotyledonous species.

Recently maize lines carrying single and double mutations in two highly expressed genes encoding distinct β -CAs, *CA1* (GRMZM2G121878) and *CA2* (GRMZM2G348512), were generated through insertional mutagenesis [40^{**}]. Homozygous *ca1* mutants showed about 10% of wild type maize CA activity, while the *ca1ca2* double mutant contained just 3% of wild type activity. These mutant lines

demonstrated no change in CO₂ assimilation rates at ambient (or higher) CO₂ levels; however, at low intercellular CO₂ concentrations, assimilation rates of both mutants were decreased relative to those of wild type plants (Figure 3). A corresponding decrease in dry mass was also detected in the single and double mutants compared to wild type maize plants when grown under sub-ambient CO₂ conditions [40^{**}].

Clearly, the results of the maize work contrast with those of the *F. bidentis* study. While greatly reduced levels of CA activity led to severe impairment of photosynthesis and growth in *F. bidentis* at ambient CO₂ levels [39], it was only at sub-ambient CO₂ concentrations that CA activity appeared to be required for the maize C₄ pathway to operate as a CCM [40^{**}]. All C₄ plants are predicted to have evolved within the last 30 million years, under comparable low atmospheric CO₂ conditions [41]. In this low-CO₂ world, a CA, working in tandem with PEPC in the mesophyll cytosol, would have been advantageous to

Figure 3



The importance of CA in the C₄ carbon concentrating mechanism differs between *Flaveria bidentis* and maize. *F. bidentis* plants containing reduced amounts of the cytosolic C₄-associated CA show impairment of photosynthesis and growth at ambient levels of CO₂, indicating CA activity is required for the proper functioning of the C₄ pathway. In contrast, maize knockout mutants demonstrate reduced photosynthetic rates and growth only when CO₂ concentrations levels are below ambient levels. The mechanism underlying this difference is not clear, indicated by the question marks in the figure. Some avenues of investigation that might resolve the discrepancy are listed. Note: The images are representative of the species, not the mutants or the phenotypes resulting from the different CO₂ levels.

maintain efficient and high rates of photosynthesis. However, the actual molecular mechanisms and influence of local environmental factors underlying the evolution of the C₄ CA isoforms in maize and *Flaveria* are likely to have differed. Future work should consider whether the difference in CA contribution to the C₄ CCM seen between the species is species specific; or a difference between C₄ monocots and dicots; or is related to inherent CA activity, which is quite variable among C₄ monocots and dicots ([42] and references therein), other C₄ cycle enzyme activities, leaf structure, specific CA location, mesophyll conductance; or a combination of one or more of these factors (Figure 3).

Conclusions

The intracellular location of the majority of CA activity has changed during the evolution of C₄ plants from their

C₃ ancestors. This has facilitated the provision of bicarbonate for the primary carboxylase of C₄ plants in the mesophyll cell cytosol, and the evolution of the C₄ CCM. Changes in coding and non-coding regions of CA genes responsible for converting a C₃ CA into a C₄ enzyme are only now being identified, as are differences in contributions of CA to the CCMs of diverse C₄ lineages. Work thus far allows the evolution of the CA isoform important in the C₄ pathway to be mapped on the recently described five-stage model of C₄ evolution [25]. Orthologs encoding this CA can be identified in ancestral C₃ and proto-Kranz species and code for proteins with chloroplast transit peptides (stages a and b [25]). C₃-C₄ intermediate species that carry out C₂ photosynthesis, with its photorespiratory pump (stage c [25]), also express a chloroplast-located CA homolog. Stages d and e [25] of the model are characterized by complete C₄ acid transfer cycle activity, limitation

of Rubisco activity to the BSC, and overall optimization of the C₄ pathway. Advanced C₄-like and full C₄ species represent these stages, and contain orthologs encoding C₄-associated CAs that do not have chloroplast transit peptides and functions in the mesophyll cytosol, providing bicarbonate to PEPC. Multiple lineages with closely related species that use different photosynthetic biochemistries representing the continuum from C₃ to C₄ offer excellent opportunities to further pinpoint mechanisms that account for the evolution of cytosolic C₄ CAs and their involvement in the C₄ CCM, as well as distinguish elements that control expression of these enzymes at the transcriptional and post transcriptional levels. These studies will build on the knowledge of processes already recognized in *Flaveria*, Cleomaceae, and maize, and will determine whether common mechanisms governed the evolution of C₄ CAs. This will inform us of the extent of parallelism and convergence in C₄ pathway evolution, and contribute to efforts directed at identifying the essential components with which to augment C₃ plants for sustainable crop and biofuel production.

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