



# Analysis and estimation of tallgrass prairie evapotranspiration in the central United States



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## ABSTRACT

Understanding the factors controlling evapotranspiration (ET) of spatially distributed tallgrass prairie is crucial to accurately upscale ET and to predict the response of tallgrass prairie ecosystems to current and future climate. The Moderate Resolution Imaging Spectroradiometer (MODIS)-derived enhanced vegetation index (EVI) and ground-based climate variables were integrated with eddy covariance tower-based ET ( $ET_{EC}$ ) at six AmeriFlux tallgrass prairie sites in the central United States to determine major climatic factors that control ET over multiple timescales and to develop a simple and robust statistical model for predicting ET. Variability in ET was nearly identical across sites over a range of timescales, and it was most strongly driven by photosynthetically active radiation (PAR) at hourly-to-weekly timescales, by vapor pressure deficit (VPD) at weekly-to-monthly timescales, and by temperature at seasonal-to-interannual timescales at all sites. Thus, the climatic drivers of ET change over multiple timescales. The EVI tracked the seasonal variation of  $ET_{EC}$  well at both individual sites ( $R^2 > 0.70$ ) and across six sites ( $R^2 = 0.76$ ). The inclusion of PAR further improved the ET-EVI relationship ( $R^2 = 0.86$ ). Based on this result, we used  $ET_{EC}$ , EVI, and PAR ( $MJ\ m^{-2}\ d^{-1}$ ) data from four sites (15 site-years) to develop a statistical model ( $ET = 0.11\ PAR + 5.49\ EVI - 1.43$ ,  $adj.\ R^2 = 0.86$ ,  $P < 0.0001$ ) for predicting daily ET at 8-day intervals. This predictive model was evaluated against additional two years of  $ET_{EC}$  data from one of the four model development sites and two independent sites. The predicted ET ( $ET_{EVI+PAR}$ ) captured the seasonal patterns and magnitudes of  $ET_{EC}$ , and correlated well with  $ET_{EC}$ , with  $R^2$  of 0.87–0.96 and RMSE of 0.35–0.49  $mm\ d^{-1}$ , and it was significantly improved compared to the standard MODIS ET product. This study demonstrated that tallgrass prairie ET can be accurately predicted using a multiple regression model that uses EVI and PAR which can be readily derived from remote sensing data.

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## 1. Introduction

Evapotranspiration (ET) is a key component of the hydrologic cycle as approximately two-thirds of the precipitation received by the land surface is returned to the atmosphere via ET (Baumgartner et al., 1975) and it links atmospheric, hydrological, and ecological processes (Pielke et al., 1998). Understanding of the biological and

climatic controls of ET has been a focus of climate change research for the past few decades (Brümmer et al., 2012). Currently, the eddy covariance (EC) technique is a widely used method for measuring ET at spatial scales of 100s of meters (Baldocchi et al., 1988; Rana and Katerji, 2000) across temporal scales of hours to years (Baldocchi et al., 2001a). The concurrent measurement of climate variables at EC sites allows the examination of the driving factors that govern the variability of ET. Several studies have shown that ET is controlled by a number of climate variables such as solar radiation, air temperature ( $T_a$ ), soil water content (SWC), vapor pressure deficit (VPD), and biological factors such as leaf emergence/development (Hu et al., 2009; Monteith, 1965; Priestley and Taylor, 1972; Stoy et al., 2006; Wagle et al., 2016a; Zha et al., 2010). Still, a lack of detailed information exists concerning cross-site vari-

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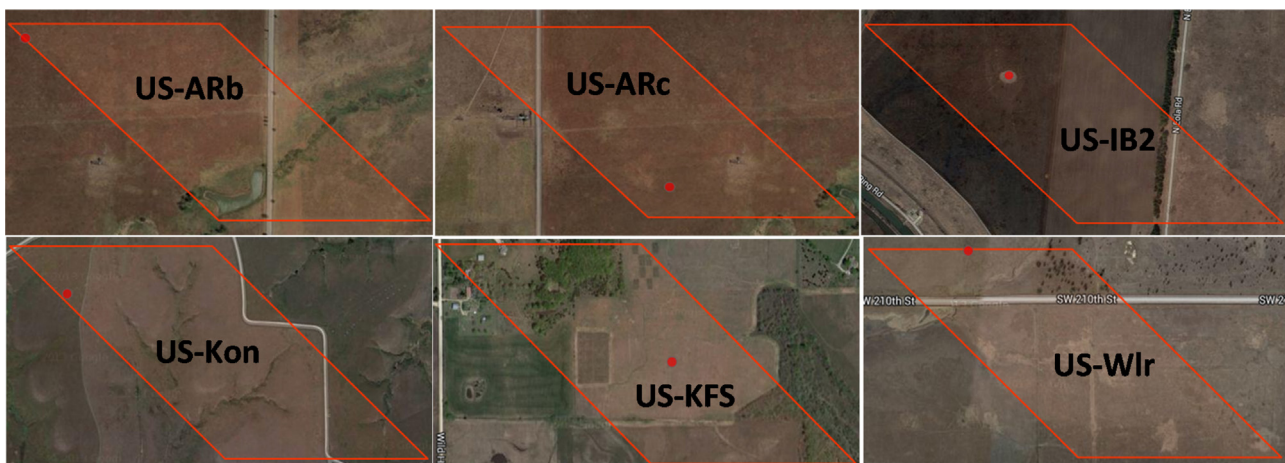
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ability in ET and its response to major climatic factors at multiple timescales within widely distributed tallgrass prairie, a native and largely distributed ecosystem across central North America and considered a unique grassland unmatched elsewhere in the world (Changnon et al., 2002). Currently, it is the most endangered ecosystem in North America, occupying less than 4% of its pre-settlement area (~60 million ha) (Samson and Knopf, 1996). However, tallgrass prairie grasslands still provide habitat to support numerous grassland birds and other sensitive species, and contribute heavily for livestock production in several states of the United States. Thus, quantifying the extent to which ET varies in its response to climatic factors at multiple timescales within widely distributed tallgrass prairie ecosystems is crucial to move beyond site-level ET measurements to realistic estimates of water budgets over large areas (i.e., regions or continents) and to predict the responses of tallgrass prairie ecosystems to current and future climate. To interpret EC time series data and assess the contributions of processes over multiple timescales, wavelet cross-correlation analysis is a suitable quantitative tool (Katul et al., 2001). This current study performs the wavelet cross-correlation analysis to draw new insights on the response of ET from six widely distributed tallgrass prairie ecosystems across the central United States to common climatic drivers over multiple (hour-to-interannual) timescales.

As EC sites and networks are limited in spatial scale and cover only a small portion of the tallgrass prairie areas, it is necessary to develop reliable methods to estimate ET over large tallgrass prairie areas. Satellite remote sensing can complement the restricted coverage of the global land surface by ground-based observation networks. Consequently, satellite remote sensing is generally considered as the most promising tool to estimate ET over large areas (Gowda et al., 2008). Predicting ET from remote sensing data falls broadly into two approaches: i) physical models based on the surface energy balance (SEB) concept (Gillies et al., 1997) and ii) empirical or statistical models based on the relationship between ET, vegetation indices, and climate variables (Choudhury et al., 1994; Nagler et al., 2005a), and most recently based on parameterized ecosystem water use efficiency (EWUE) using enhanced vegetation index (EVI) (Wagle et al., 2016a). These physical and empirical approaches have been extensively reviewed previously (Cleugh et al., 2007; Kustas and Norman, 1999; Mu et al., 2007; Mu et al., 2011). In past two decades, several SEB models have been developed to estimate large-scale ET (Allen et al., 2007; Bastiaanssen et al., 1998; Roerink et al., 2000; Senay et al., 2013; Su, 2002). A recent study (Bhattarai et al., 2016) that evaluated five SEB models in a humid subtropical climate suggests that the per-

formance of different SEB models could vary with varying climate, land cover types, and soil moisture conditions. In addition, relatively complex computation of several land surface variables and turbulent heat fluxes, and requirement of many parameters with detailed information can significantly affect the precise partitioning of energy components and consequently the reliability of ET estimates by SEB models when input data are not readily available (Liou and Kar, 2014). Thus, when ground-based data are available, the empirical approach is more robust and a suitable scaling tool rather than solving complex physical models (Glenn et al., 2008). In the empirical approach, vegetation indices are directly used in scaling ET rather than using them to compute several canopy properties to be used as parameters in physical models (Bonan, 1993; Glenn et al., 2007). Cleugh et al. (2007) used the Moderate Resolution Imaging Spectroradiometer (MODIS) remote sensing data and provided regional ET estimates for evergreen forest and tropical savanna in Australia using a Penman-Monteith approach (Monteith, 1965). Mu et al. (2007) revised the algorithm proposed by Cleugh et al. (2007) and produced the MOD16 global ET product ( $ET_{MOD16}$ ) at 1 km spatial resolution for 109 million km<sup>2</sup> of global vegetated land areas at 8-day intervals using ground-based global meteorology and MODIS data. The  $ET_{MOD16}$  algorithm was further improved (Mu et al., 2011).

In the past decade, several studies have established the potential of using empirical/statistical methods derived from remotely sensed vegetation indices and EC data to extrapolate EC measurements of carbon and water vapor fluxes over large spatial scales (Cleugh et al., 2007; Jung et al., 2010; Nagler et al., 2005a; Nagler et al., 2005b; Papale and Valentini, 2003; Wylie et al., 2003; Yang et al., 2007; Yang et al., 2006; Zhou et al., 2008). Wylie et al. (2003) developed a statistical algorithm by combining normalized difference vegetation index (NDVI) and EC data over a sagebrush-steppe ecosystem to map regional carbon fluxes. Following the same approach, Nagler et al. (2005a,b) predicted ET using EVI and  $T_a$  for riparian vegetation of western rivers in the U.S., and thus, suggesting the potential of integrating EVI with ground-based climate variables for better estimates of ET. Based on these results, along with the results of our previous study (Wagle et al., 2014) that showed a strong correlation between tower-based gross primary production ( $GPP_{EC}$ ) and EVI in three AmeriFlux tallgrass prairie sites, we hypothesized that a robust relationship could be established by integrating EVI and major climate variables with  $ET_{EC}$  of tallgrass prairie across the central United States to accurately estimate ET. The approach of developing statistical algorithms by combining remote sensing and ground-based climate data to estimate ET has not been applied to the tallgrass prairie. It is essential



**Fig 1.** Landscapes of six AmeriFlux tallgrass prairie sites. The red boarder lines represent the size of one Moderate Resolution Imaging Spectroradiometer pixel (500 m spatial resolution) and the red dots represent the location of the flux towers (for interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).

**Table 1**  
Site characteristics of six tallgrass prairie sites in this study.

Site (symbol)	Elevation (m)	Latitude	Longitude	Study period	Vegetation	Soil type	References
Model development sites							
El Reno burn, OK (US-ARb)	424	35.5497	−98.0402	2005–2006	C <sub>4</sub> dominated tallgrass prairie	Norge silt loam	Fischer et al. (2012)
El Reno control, OK (US-ARc)	424	35.5465	−98.0401	2005–2006	C <sub>4</sub> dominated tallgrass prairie	Norge silt loam	Fischer et al. (2012)
Fermi prairie, IL (US-IB2)	226	41.8406	−88.2410	2005–2009	C <sub>4</sub> dominated tallgrass prairie	Silt clay loam	Matamala et al. (2008)
Konza prairie, KS (US-Kon)	443	39.0824	−96.5603	2007–2012	C <sub>4</sub> dominated tallgrass prairie	Silt clay loam	Brunsell et al. (2008)
Model testing sites							
Fermi prairie, IL (US-IB2)	226	41.8406	−88.2410	2010–2011	C <sub>4</sub> dominated tallgrass prairie	Silt clay loam	Matamala et al. (2008)
Kansas Field Station, KS (US-KFS)	333	39.0561	−95.1907	2008–2012	C <sub>3</sub> dominated tallgrass prairie	Silt clay loam	Brunsell et al. (2014)
Walnut River, KS (US-Wlr)	408	37.5208	−96.8550	2002–2004	Tallgrass prairie (C <sub>3</sub> /C <sub>4</sub> mixed)	Silt clay loam	Coulter et al. (2006)

**Table 2**

The coefficient of determination ( $R^2$ ) for the relationships of tower-based evapotranspiration ( $ET_{EC}$ ) with enhanced vegetation index (EVI), photosynthetically active radiation (PAR), and air temperature ( $T_a$ ) at six tallgrass prairie sites. The highest  $R^2$  value is highlighted in bold.

Site	EVI	PAR	$T_a$	EVI + PAR	EVI + $T_a$
US-ARb	0.84	0.72	0.74	0.91	0.88
US-ARc	0.84	0.61	0.76	0.90	0.89
US-IB2 (2005–2009)	0.78	0.81	0.79	<b>0.90</b>	0.83
US-Kon	0.71	0.70	0.72	<b>0.84</b>	0.79
Cross-site (model development dataset)	0.75	0.71	0.71	<b>0.86</b>	0.81
US-IB2 (2010–2011)	0.89	0.85	0.93	<b>0.96</b>	0.92
US-KFS	0.73	0.85	0.75	<b>0.90</b>	0.83
US-Wlr	0.86	0.77	0.77	<b>0.93</b>	0.90
Cross-site (model testing dataset)	0.80	0.76	0.70	<b>0.91</b>	0.84

**Table 3**

A comparison of performance of regression and artificial neural network (ANN) models for predicting evapotranspiration (ET) for four model development tallgrass prairie sites.

Model	Equation	$R^2$	AIC	SBC
PR	$-0.23 + 2.72 \text{ EVI} - 7.34 \text{ EVI}^2 + 0.30 \text{ EVI} \times \text{PAR} + 0.1 \text{ EVI} \times T_a$	0.88	−918.68	−896.29
MR1	$-1.28 + 5.01 \text{ EVI} + 0.09 \text{ PAR} + 0.01 T_a$	0.86	−811.78	−793.87
MR2	$-1.43 + 5.49 \text{ EVI} + 0.11 \text{ PAR}$	0.86	−799.78	−786.34
MR3	$-0.57 + 5.55 \text{ EVI} + 0.05 T_a$	0.81	−611.14	−597.70
LR	$-0.67 + 8.34 \text{ EVI}$	0.75	−437.93	−428.96
ANN			−915.34	−857.06

PR: polynomial regression; MR1: multiple regression with EVI, PAR, and  $T_a$  as predictors; MR2: multiple regression with EVI and PAR as predictors; LR: linear regression with EVI as a predictor; ANN: artificial neural network;  $R^2$  = the coefficient of determination; AIC: Akaike's information criterion; and SBC: Schwarz's Bayesian criterion.

to develop reliable ET estimates over all land cover types for spatial water management and regional hydrological applications as ET estimates are used to derive several indices such as the crop water stress index (Jackson et al., 1981) or soil moisture index (Senay, 2008).

The objectives of this study were to (1) compare the magnitudes and seasonal patterns of  $ET_{EC}$  among six tallgrass prairie ecosystems, (2) investigate the properties of the power spectra of tallgrass prairie ET and its climatic forcing over multiple (hour-to-interannual) timescales across sites using wavelet cross-correlation analysis, and (3) develop a simple and robust statistical model for accurately predicting ET over large tallgrass prairie areas. Further, this study validates the standard MOD16 global ET product using  $ET_{EC}$  measured over tallgrass prairie ecosystems in the central United States.

## 2. Materials and methods

### 2.1. Site description

This study used six AmeriFlux tallgrass prairie sites located in the central United States that account for the majority of the available tallgrass prairie sites in the network. All six sites are situated in the same climate zone (i.e., temperate continental climate; warm summer and long, cold, and snowy winter). An overview of the site characteristics is provided in Table 1, the landscape features of the

study sites are shown in Fig. 1, detailed descriptions of the study sites can be found on the AmeriFlux website (<http://ameriflux.ornl.gov/>) or site-specific publications (Table 1).

### 2.2. Eddy covariance and ground-based climate data

Evapotranspiration at all study sites was measured using the EC technique. We acquired gap-filled Level-4 EC data and site-specific climate data such as  $T_a$ , SWC, VPD, photosynthetically active radiation (PAR), precipitation, and soil temperature ( $T_s$ ) from the AmeriFlux data archive (<http://ameriflux.ornl.gov/>). Marginal Distribution Sampling (MDS) (Reichstein et al., 2005) and the Artificial Neural Network (ANN) (Papale and Valentini, 2003) methods are used to fill gaps in the AmeriFlux Level-4 data. The Level-4 data consists of ET with four different time steps: half-hourly, daily, 8-day, and monthly. The 8-day average values of ET and climate variables were used to match the temporal resolution of the MODIS-derived EVI. Further, we averaged  $ET_{EC}$ ,  $T_a$ , and PAR data for the study period into a single composite year for each site to determine their mean seasonal patterns.

### 2.3. MODIS vegetation indices and the MODIS ET product (MOD16)

The 8-day composite MODIS land surface reflectance (MOD09A1) data for one MODIS pixel (500 m × 500 m) each

containing the coordinates of selected flux towers were downloaded from the MODIS data portal at the Earth Observation and Modeling Facility (EOMF), University of Oklahoma (<http://eomf.ou.edu/visualization/>). The MODIS pixels cover near uniform grassland conditions at most of the study sites (Fig. 1). However, due to the marginal fetch to the east at the US-IB2 site, the MODIS pixel included parcels of adjacent cropland (a strip of corn (*Zea mays* L.)/soybean (*Glycine max* L.) rotation) and native grassland. The MODIS pixel included some forest parcels at the US-KFS site and a small portion of shrubs at the US-Wlr site. We used surface reflectance ( $\rho$ ) from blue, red, and near infrared (NIR) bands to compute EVI (Huete et al., 2002).

The global  $ET_{MOD16}$  data for the MODIS pixels surrounding each flux site were downloaded from the Oak Ridge National Laboratory Distributed Active Archive Center (ORNL DAAC) website (<http://daac.ornl.gov/MODIS/modis.shtm1>).

#### 2.4. Statistical analysis

To characterize the energetic frequencies/timescales of tallgrass prairie ET and also to relate them with the timescales of variability of major climate variables ( $T_a$ ,  $T_s$ , PAR, VPD, and SWC), we relied on an orthogonal wavelet transformation with the Haar basis function. Non-gap filled ET and meteorological half-hourly time series data were normalized to have zero mean and unit variance, and were zero-padded to a length equal to the power of  $2^{18}$  (2,62,144), greater than the length of the data record (up to 1,22,676 half-hourly data points) for any site in this study. We then determined and summed the wavelet coefficients within dyadic timescales ranging from  $2^1$  (hourly) to  $2^{16}$  (~4 years) only as in previous studies (Novick et al., 2014; Stoy et al., 2005; Wagle et al., 2016c) since the wavelet coefficients associated with longer timescales ( $2^{17}$  or ~8 years) may not be reliable. A detailed description on applica-

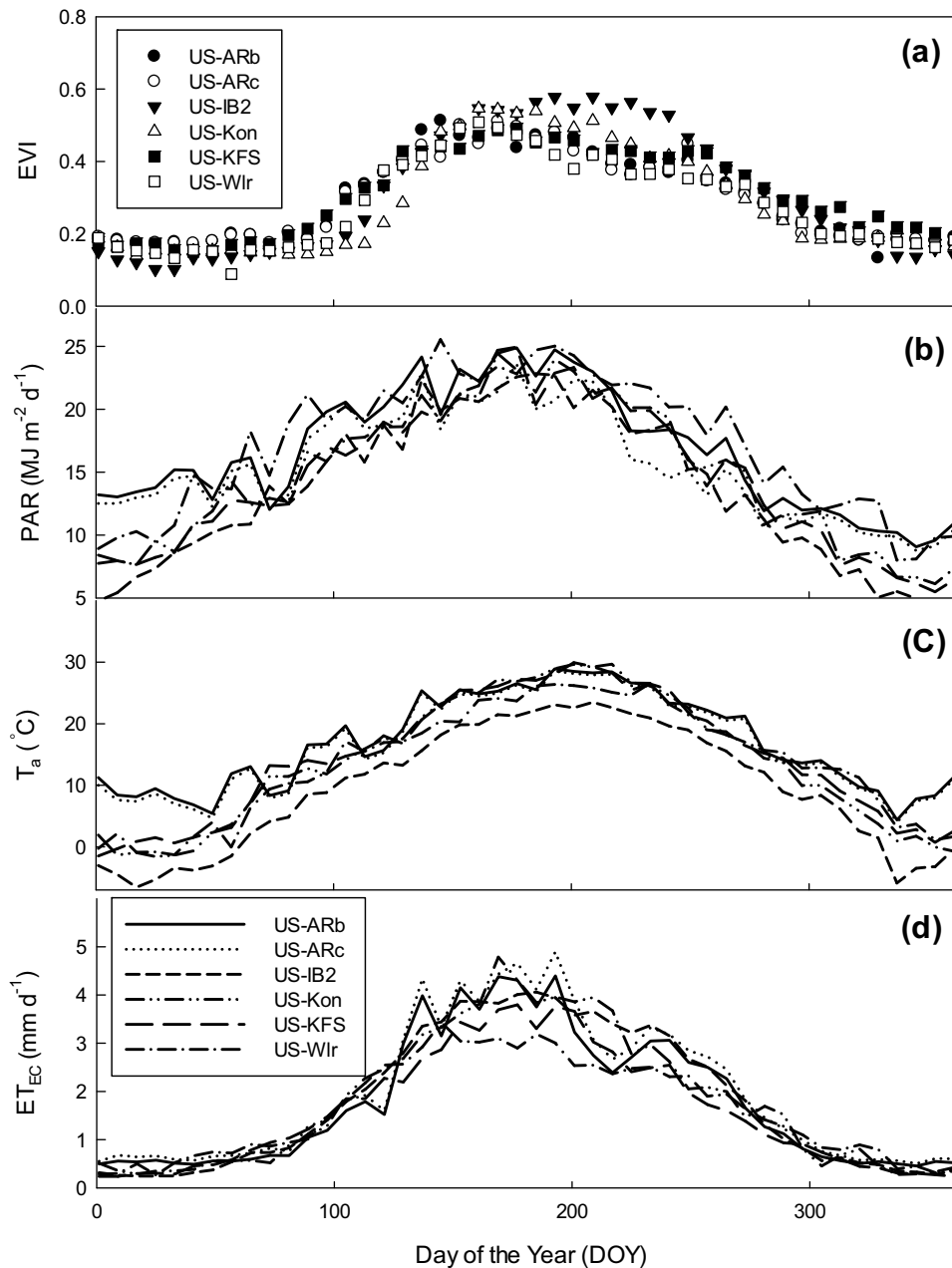
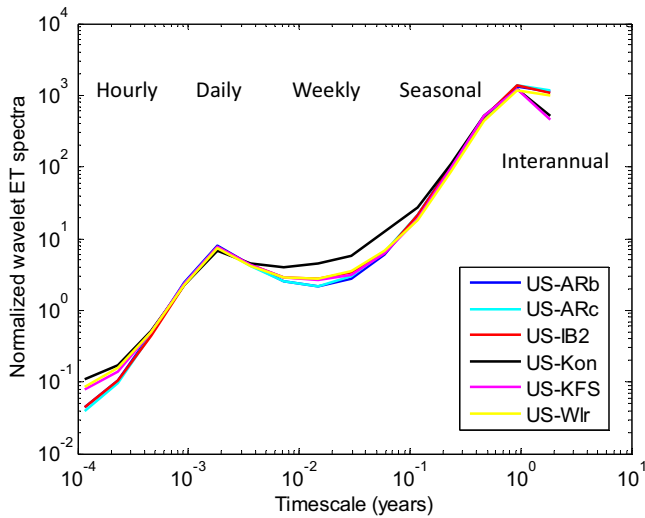


Fig. 2. Mean seasonal patterns (8-day composite) of enhanced vegetation index (EVI), photosynthetically active radiation (PAR), air temperature ( $T_a$ ), and tower-based evapotranspiration ( $ET_{EC}$ ) at six tallgrass prairie sites.



**Fig. 3.** Normalized wavelet spectra of evapotranspiration (ET, mm 30-min<sup>-1</sup>) at six tallgrass prairie sites. Half-hourly data were used in the analysis.

tions of spectral analysis in fluxes can be found in previous studies (Baldocchi et al., 2001b; Katul et al., 2001; Torrence and Compo, 1998).

The ET<sub>EC</sub> with EVI and climate variables (T<sub>a</sub>, PAR, SWC, VPD, and precipitation) across all six tower sites were analyzed to determine if there was a significant correlation of ET<sub>EC</sub> with EVI and climate variables. As such, various regression (simple, multiple, and polynomial) models were employed between ET<sub>EC</sub> and major controlling variables at the four model development sites (15 site-years) to obtain the best predictive ET equation as follows:

$$ET = f(EVI, PAR, T_a, \dots)(1)$$

The stepwise selection method was used to select most significant variables. We examined correlations between most significant predictor variables (EVI, T<sub>a</sub>, and PAR) to assess an issue of multicollinearity. If predictor variables show multicollinearity, the estimates of coefficients may vary from model to model, and correlations between pairs of predictor variables only may fail to provide detailed information on the linear dependence among predictor variables. Thus, we performed the variance inflation factor (VIF) test to detect the multicollinearity (Neter et al., 1996). The VIF value of 1 indicates no correlation among predictor variables, while the VIF value > 10 indicates a severe problem of multicollinearity. If the VIF value exceeds 4 then further investigation is required for the multicollinearity since multicollinearity may influence the least square estimations.

The predictive modeling technique, namely artificial neural network (ANN), was also applied to assess if it provides a better performance for predicting ET. Only those predictor variables identified as the most significant in the regression analysis were considered in ANN models. In this study, EVI and PAR were used as predictor variables to estimate ET in ANN. Several fit statistics such as regression slopes, the coefficient of determination (R<sup>2</sup>), root mean squared error (RMSE), Akaike's information criterion (AIC), and Schwarz's Bayesian criterion (SBC) were used to evaluate the model performance and bias. We performed regression and ANN models in SAS Enterprise Miner 12.3 software (SAS Institute Inc., Cary, NC, USA).

Once the statistical model was developed, ET was predicted and evaluated against ET<sub>EC</sub> data from two independent sites (US-KFS and US-Wlr) and additional two years (2010–2011) of data from the US-IB2 site. We also compared 8-day values of ET<sub>EVI+PAR</sub> and ET<sub>MOD16</sub> with ET<sub>EC</sub> to assess their relative performances.

**Table 4**

Annually or seasonally (DOY 97–297, in parentheses) integrated sums of tower-based (ET<sub>EC</sub>) and modeled (ET<sub>EVI+PAR</sub>) evapotranspiration at six tallgrass prairie sites. Over- or under-estimations by the model are presented in percentage (%).

Site	Year	ET <sub>EC</sub> (mm)	ET <sub>EVI+PAR</sub> (mm)	%
US-ARb	2005	716 (615)	822 (640)	-14.89 (-4.08)
	2006	588 (510)	672 (539)	-14.39 (-5.78)
US-ARc	2005	789 (669)	768 (594)	2.65 (11.22)
	2006	647 (552)	618 (488)	4.49 (11.55)
US-IB2	2005 <sup>a</sup>	607 (519)	575 (530)	5.26 (-2.24)
	2006 <sup>a</sup>	495 (415)	548 (493)	-10.76 (-18.87)
	2007 <sup>a</sup>	586 (535)	597 (555)	-1.87 (-3.86)
	2008 <sup>a</sup>	675 (609)	583 (558)	13.66 (8.41)
	2009 <sup>a</sup>	611 (538)	606 (548)	0.87 (-1.89)
US-Kon	2010	713 (632)	691 (634)	3.07 (-0.35)
	2011	742 (665)	669 (617)	9.84 (7.20)
	2007	661 (581)	613 (533)	7.17 (8.37)
	2008	684 (620)	610 (531)	10.79 (14.5)
	2009 <sup>a</sup>	496 (433)	516 (428)	-4.0 (1.24)
US-KFS	2010 <sup>a</sup>	573 (492)	453 (380)	20.9 (22.78)
	2011 <sup>a</sup>	485 (395)	546 (456)	-12.56 (-15.41)
	2012	598 (515)	567 (486)	5.13 (5.63)
	2008	597 (515)	691 (581)	-15.72 (-12.86)
	2009	580 (512)	741 (618)	-27.87 (-20.71)
US-Wlr	2010	671 (578)	712 (610)	-6.15 (-5.44)
	2011	558 (486)	666 (566)	-19.21 (-16.40)
	2012	521 (429)	640 (537)	-22.82 (-25)
	2002	591 (506)	705 (570)	-19.25 (-12.65)
	2003	612 (519)	739 (613)	-20.73 (-18.12)
2004 <sup>a</sup>	590 (520)	677 (588)	-14.85 (-12.98)	

DOY represents Day of the Year. 8-day ET<sub>EC</sub> and ET<sub>EVI+PAR</sub> values were summed and multiplied by 8 to get annual or seasonal sums.

<sup>a</sup> ET measurements for some days were not available.

### 3. Results

#### 3.1. Seasonal dynamics and magnitudes of EVI, PAR, T<sub>a</sub>, and ET<sub>EC</sub>

Similar seasonal trends and magnitudes of EVI, PAR, T<sub>a</sub>, and ET<sub>EC</sub> were observed across all six sites (Fig. 2); the maximum values of 8-day composite EVI ranged from 0.49 to 0.58, PAR ranged from 22.8 to 25.5 MJ m<sup>-2</sup> d<sup>-1</sup>, T<sub>a</sub> ranged from 23.5 to 29.7 °C, and ET<sub>EC</sub> ranged from 3.4 to 4.9 mm d<sup>-1</sup>. Further, a close correspondence among EVI, PAR, T<sub>a</sub>, and ET<sub>EC</sub> patterns was observed across sites whereby (1) EVI and ET<sub>EC</sub> increased rapidly at approximately DOY 100 with the greening up of the prairie vegetation, (2) reached a maximum during the plant maturity stage, and (3) declined with the beginning of vegetation senescence at ~DOY 300. Thus, the growing season for these prairie sites spanned approximately DOY 100 to DOY 300. Further, Fig. 1 shows that EVI was generally ≥0.20, PAR was ≥10 MJ m<sup>-2</sup> d<sup>-1</sup>, T<sub>a</sub> was ≥5 °C, and ET<sub>EC</sub> was ≥1 mm d<sup>-1</sup> during the growing season.

#### 3.2. Spectral characteristics of ET across sites

The normalized wavelet ET spectra were nearly identical at most timescales across sites, except at the US-Kon site at weekly-to-monthly timescales (Fig. 3), while the ET spectra slightly differed at hourly and interannual timescales among sites. The variability in ET was slightly larger at hourly and smaller at interannual timescales for the sites in Kansas. The wavelet cospectra of ET to major governing climate variables showed that variation in ET most resonated with PAR at hourly-to-weekly timescales, with VPD at weekly-to-monthly timescales, and with T<sub>a</sub> and T<sub>s</sub> at seasonal-to-interannual timescales at all sites (Fig. 4).

#### 3.3. Controlling factors of ET<sub>EC</sub>

We examined relationships of 8-day composite values of ET<sub>EC</sub> with EVI and climate variables (PAR, T<sub>a</sub>, SWC, VPD, and precipi-

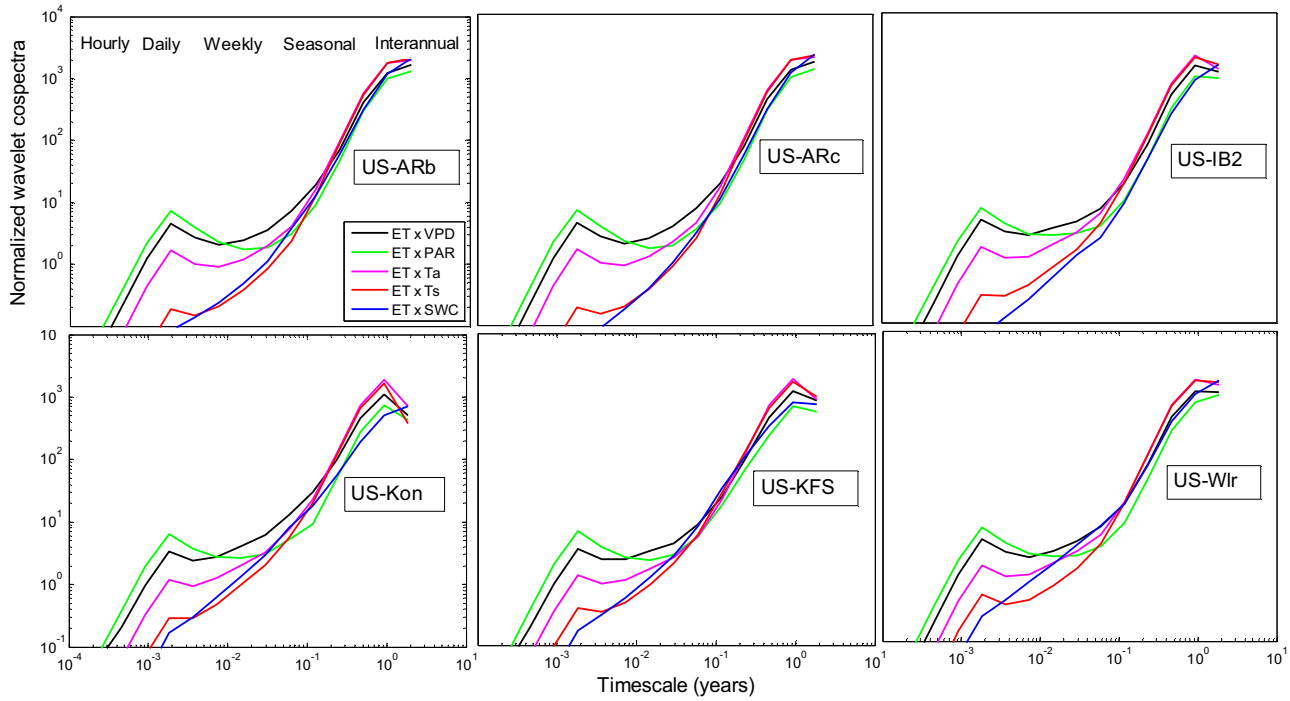


Fig. 4. Normalized wavelet cospectra of evapotranspiration (ET) with vapor pressure deficit (VPD), photosynthetically active radiation (PAR), air temperature ( $T_a$ ), soil temperature ( $T_s$ ), and soil water content (SWC) at six tallgrass prairie sites. Half-hourly data were used in the analysis.

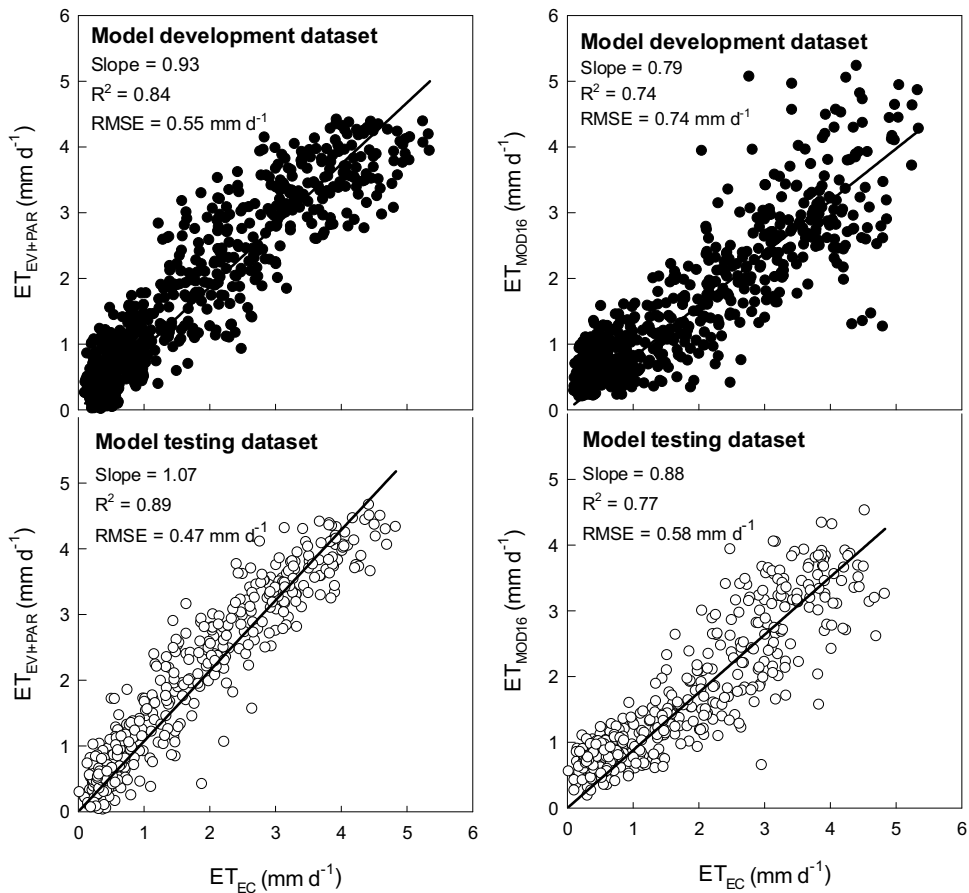
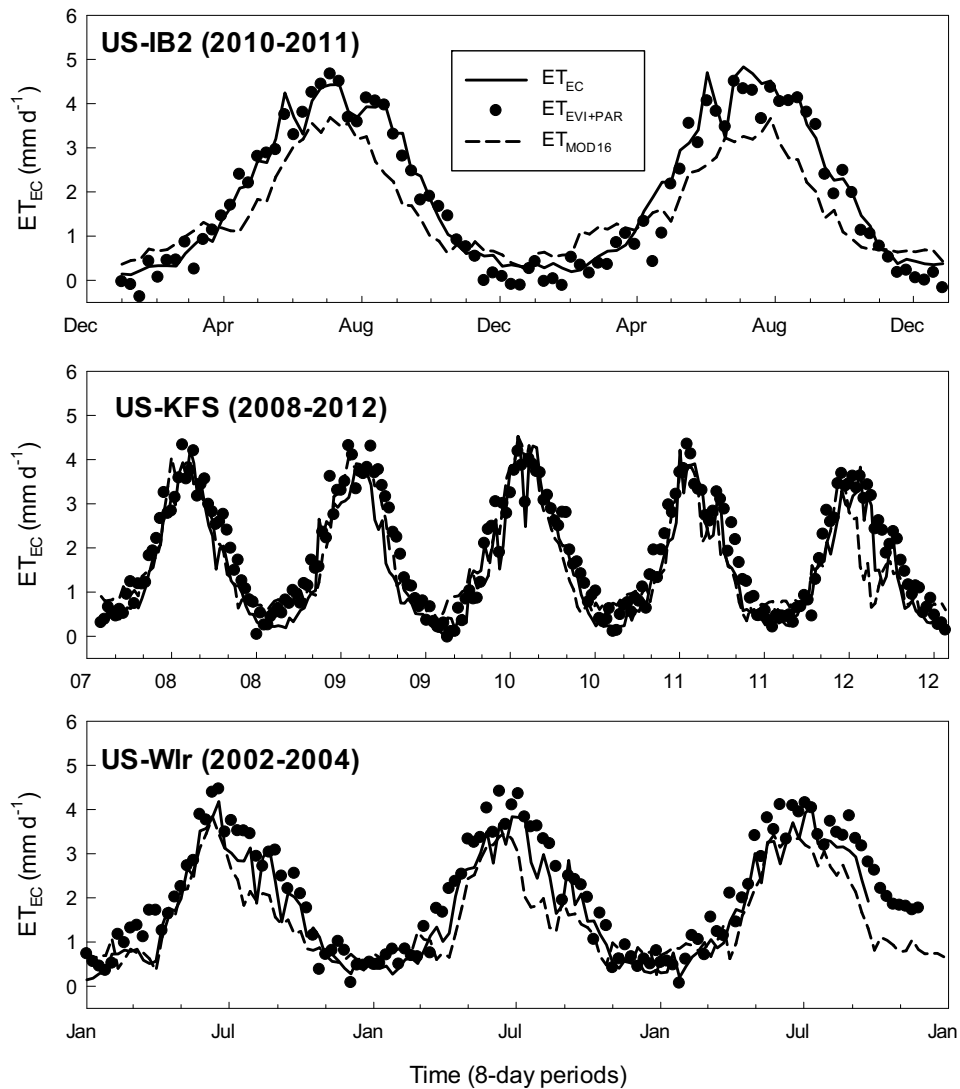


Fig. 5. A linear comparison of predicted ( $ET_{EV+PAR}$ ) and Moderate Resolution Imaging Spectroradiometer ( $ET_{MOD16}$ ) evapotranspiration with tower-based evapotranspiration ( $ET_{EC}$ ) across four model development and three model testing sites.



**Fig. 6.** A comparison of the seasonality and interannual dynamics (8-day composite) of predicted ( $ET_{EVI+PAR}$ ), Moderate Resolution Imaging Spectroradiometer ( $ET_{MOD16}$ ), and tower-based ( $ET_{EC}$ ) evapotranspiration at three model testing sites.

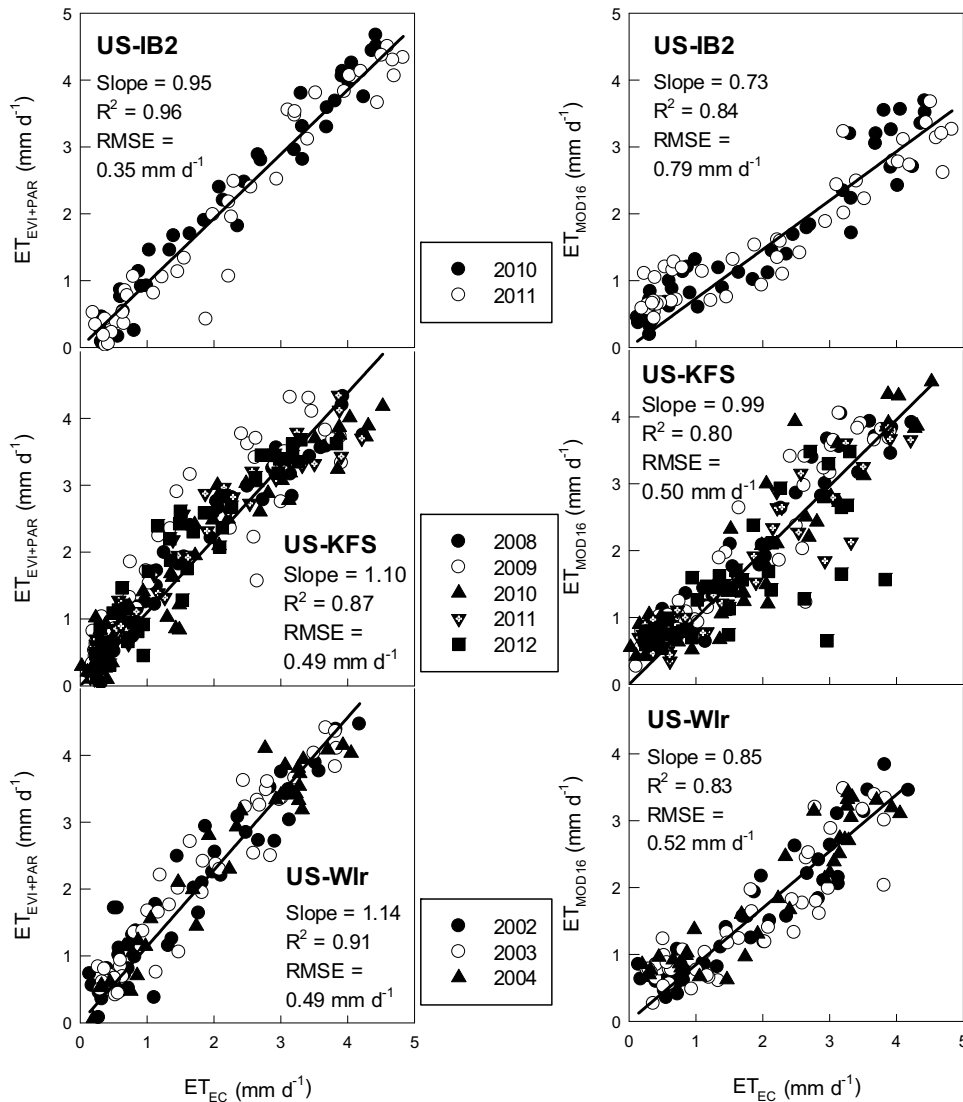
tation). No meaningful relationships between ET and daily SWC or precipitation could be found (data not shown). The cross-site relationship showed that VPD explained only 48% of the variability in ET. Two most governing climate variables of ET were PAR and  $T_a$  at all six sites (Table 2). The results show that  $ET_{EC}$  was strongly correlated with EVI ( $0.71 \leq R^2 \leq 0.89$ ), PAR ( $0.61 \leq R^2 \leq 0.85$ ), and  $T_a$  ( $0.72 \leq R^2 \leq 0.93$ ) at site levels. Integration of EVI with PAR or  $T_a$  further improved the ET-EVI relationship at all sites relative to EVI alone. In addition, EVI+PAR explained more variability in  $ET_{EC}$  than did EVI+ $T_a$  at all sites. Although the Pearson correlation coefficient ( $r$ ) between EVI and PAR was 0.69 and between EVI and  $T_a$  was 0.77, VIF values were 2.45, 2.94, and 3.79 for EVI, PAR, and  $T_a$ , respectively. The results (VIF values  $< 4$ ) suggested that multicollinearity between EVI and PAR, and between EVI and  $T_a$  was not an issue.

The cross-site analysis at the four model development sites showed that the proportion of the variability in  $ET_{EC}$  explained by EVI+PAR was 0.86, which was higher than that explained by either EVI+ $T_a$  (0.81) or EVI alone (0.75) (Table 3). The proportion of the variability in  $ET_{EC}$  explained by a polynomial regression (PR) using EVI, PAR, and  $T_a$  with interaction effects was 0.88, which was only 0.02 higher than that explained by a multiple

regression (MR2) using EVI and PAR. The ANN model also did not improve the performance of predicting ET since the PR model had smaller AIC and SBC values than ANN (Table 3). Thus, for simplicity and parsimony, we selected a multiple regression (MR2) with EVI and PAR as predictors for predicting ET in this study.

### 3.4. Comparison between $ET_{EC}$ and $ET_{EVI+PAR}$

Fig. 5 shows that predicted ET ( $ET_{EVI+PAR}$ ) agreed well with  $ET_{EC}$  across the four model development sites (slope = 0.93,  $R^2 = 0.84$ , and  $RMSE = 0.55 \text{ mm d}^{-1}$ ) and three model testing sites (slope = 1.07,  $R^2 = 0.89$ , and  $RMSE = 0.47 \text{ mm d}^{-1}$ ). The seasonal trends and magnitudes of  $ET_{EVI+PAR}$  also closely followed those of  $ET_{EC}$  at the three model testing sites (Fig. 6). A simple linear regression yielded high correlations between  $ET_{EVI+PAR}$  and  $ET_{EC}$  at all three model testing sites (slopes = 0.95–1.14,  $R^2 = 0.87$ –0.96, and  $RMSE = 0.35$ –0.49  $\text{mm d}^{-1}$ , Fig. 7). A comparison of annually or seasonally integrated  $ET_{EC}$  and  $ET_{EVI+PAR}$  values also showed good agreement (Table 4). Integrated  $ET_{EVI+PAR}$  values were within  $\pm 10$ –15% in most site-years. Slightly larger discrepancies between  $ET_{EC}$  and  $ET_{EVI+PAR}$  were observed at US-KFS and US-Wlr sites where MODIS pixels included some forest/shrub parcels.



**Fig. 7.** A linear comparison of predicted ( $ET_{EVI+PAR}$ ) and Moderate Resolution Imaging Spectroradiometer ( $ET_{MOD16}$ ) evapotranspiration with tower-based evapotranspiration ( $ET_{EC}$ ) at each of the three model development sites (different symbols for each year).

### 3.5. Comparison between $ET_{EC}$ and $ET_{MOD16}$

Fig. 5 shows that  $ET_{MOD16}$  agreed well with  $ET_{EC}$  across the four model development sites (slope = 0.79,  $R^2 = 0.74$ , and  $RMSE = 0.74 \text{ mm d}^{-1}$ ) and three model testing sites (slope = 0.88,  $R^2 = 0.77$ , and  $RMSE = 0.58 \text{ mm d}^{-1}$ ). In general, the seasonal trends and magnitudes showed good correspondence between  $ET_{EC}$  and  $ET_{MOD16}$  at the three model testing sites (Fig. 6). A simple linear regression model showed high correlation between  $ET_{MOD16}$  and  $ET_{EC}$  at all three model testing sites (slopes = 0.73–0.99,  $R^2 = 0.80$ –0.84, and  $RMSE = 0.50$ –0.79  $\text{mm d}^{-1}$ , Fig. 7). However, Figs. 5 and 7 show that  $R^2$  values were relatively smaller and RMSE values were relatively larger for  $ET_{MOD16}$  than for  $ET_{EVI+PAR}$ .

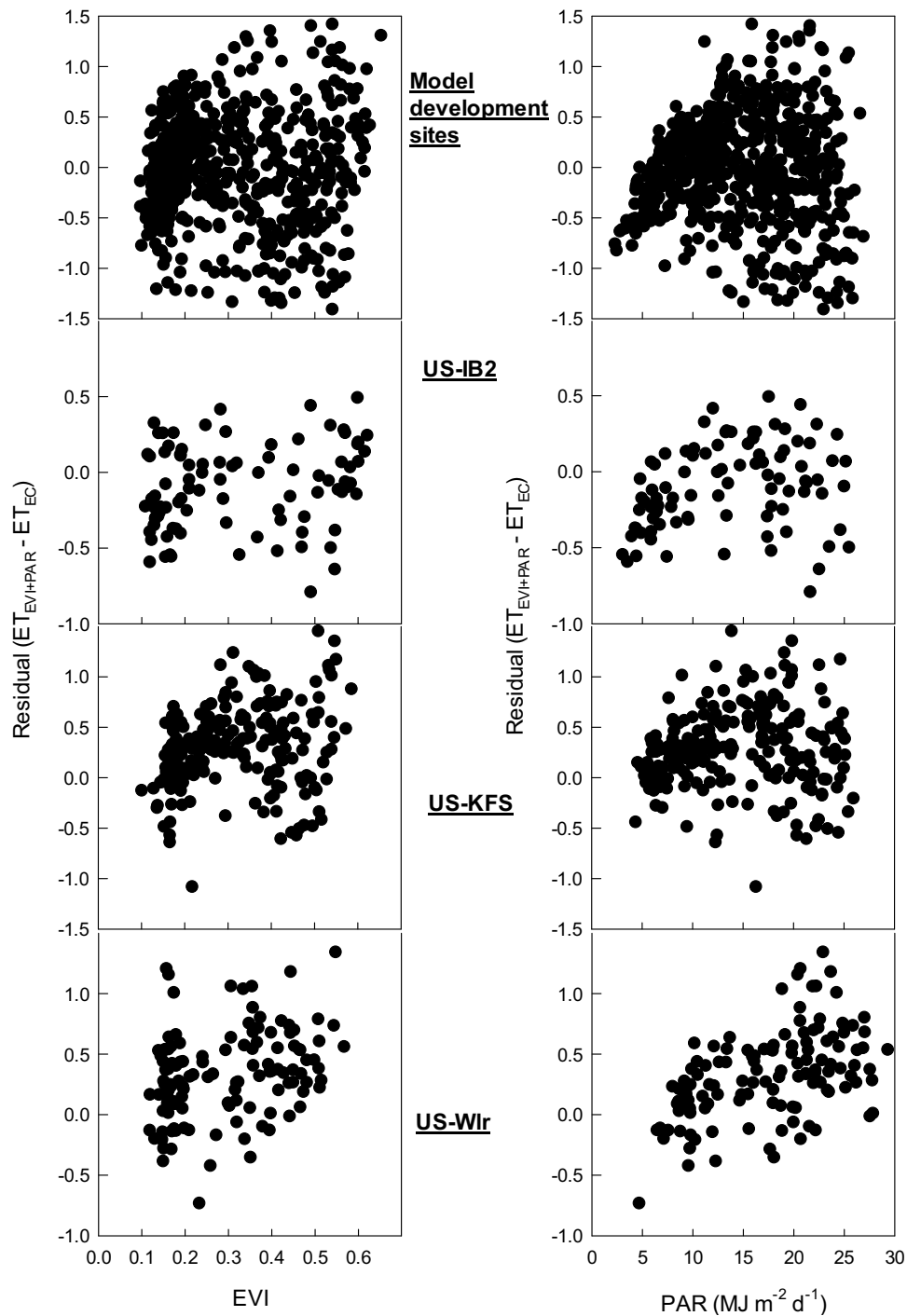
## 4. Discussion

A strong relationship between seasonal patterns of EVI and  $ET_{EC}$  at both individual and across site levels indicated a strong linkage between vegetation canopy, as measured by EVI, and water use by tallgrass prairie. The cross-site  $ET_{EC}$ -EVI relationship on an eight-day timescale was further improved by coupling EVI with PAR ( $R^2 = 0.86$ ) compared to coupling EVI with  $T_a$  ( $R^2 = 0.81$ ) and EVI

alone ( $R^2 = 0.75$ ), indicating that EVI and PAR were main controlling factors of tallgrass prairie ET on that timescale. This result is further supported by the results of the wavelet cross-correlation analysis that PAR was the most important climatic driver of ET at hourly-to-weekly timescales (Fig. 4). Similar to our results, previous studies have also shown that ET is controlled by interaction between climatic and biological variables (Brümmer et al., 2012; Wilson and Baldocchi, 2000). Our results suggest that the observed day-to-day variation in ET was correlated more to variations in  $T_a$  and PAR than variations in SWC, VPD, and precipitation, which was consistent with findings for the day-to-day variation in net ecosystem  $\text{CO}_2$  exchange (NEE) for a high-elevation, subalpine forest (Monson et al., 2002). Although NEE and ET processes are complex, and often controlled by phenological variability and variations in temperature, light intensity, and moisture availability, the diurnal cycles of irradiance and temperature dominate variations in NEE and ET on the diurnal timescale. For example, ET is lower during cloudy and cool periods than during sunny and warm periods.

A similar ET spectra (Fig. 3) and cospectra between ET and major climate variables (Fig. 4) indicated that variability in ET and climatic forcing of ET were nearly identical across sites over a range of timescales. The wavelet analysis provided some insights into how

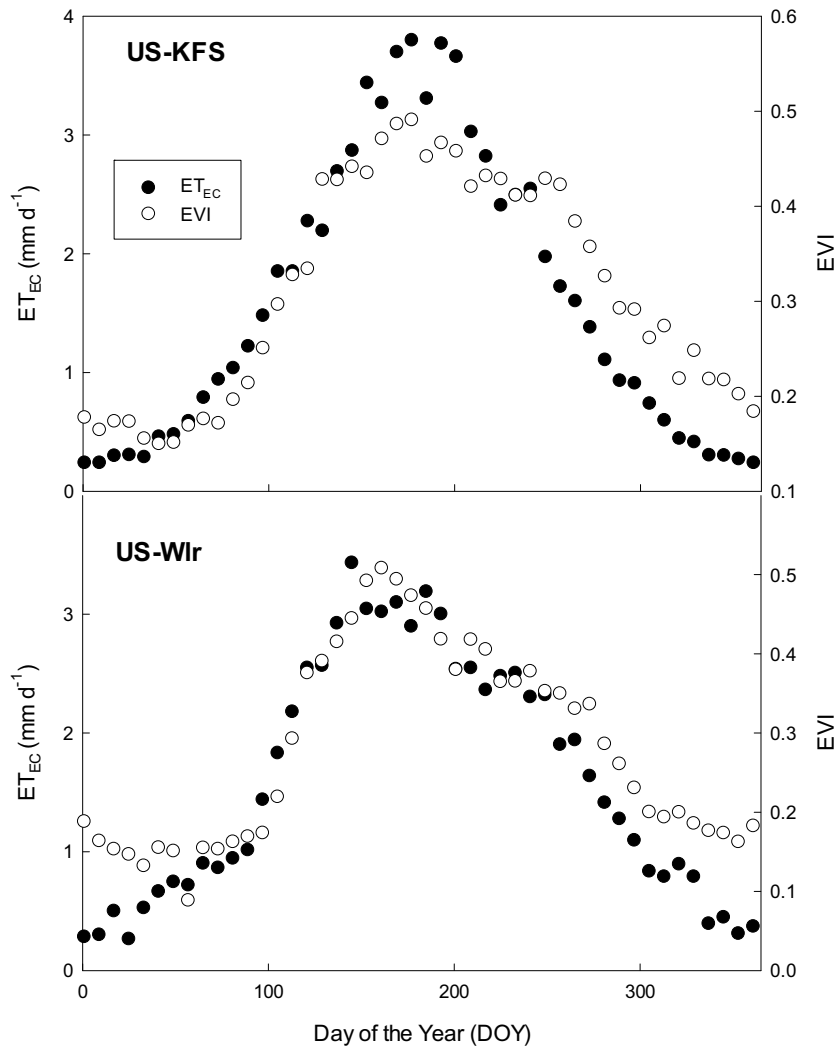




**Fig. 8.** Dependence of discrepancy in predicted ( $ET_{EVI+PAR}$ ) and tower-based ( $ET_{EC}$ ) evapotranspiration on enhanced vegetation index (EVI) and photosynthetically active radiation (PAR) across model development sites and at each of the three model testing sites.

the response of tallgrass prairie ET to environmental perturbations changes across various timescales. Our results show that no single climate variable was the most important driver of ET across a variety of timescales. Over shorter (hourly-to-weekly) timescales, PAR was the most important climatic driver of ET. This result is consistent with the findings of our previous studies (Wagle and Kakani, 2014; Wagle et al., 2016b) that not only reported a similar diurnal pattern of PAR and ET but also used strong linear relationships ( $R^2 > 0.80$  in most cases) between half-hourly values of PAR and ET to fill gaps in ET at the weekly timescale in switchgrass (*Panicum virgatum* L.) and high biomass sorghum (*Sorghum bicolor* L. Moench).

Synoptic weather changes that are associated with the passage of high and low pressure systems and fronts can impose weekly fluctuations in ET due to change in sky conditions, pressures, and humidity deficits (Baldocchi et al., 2001b). The wavelet analysis showed that VPD was the most important climate variable affecting tallgrass prairie ET at weekly-to-monthly timescales. Due to the seasonal change in sun's position, ecosystems experience changes in surface temperature and the amount of sun light received on seasonal scales (Baldocchi et al., 2001b). For this reason,  $T_a$  and  $T_s$  were most important climatic drivers of tallgrass prairie ET at longer (seasonal-to-interannual) timescales. It is important to note that



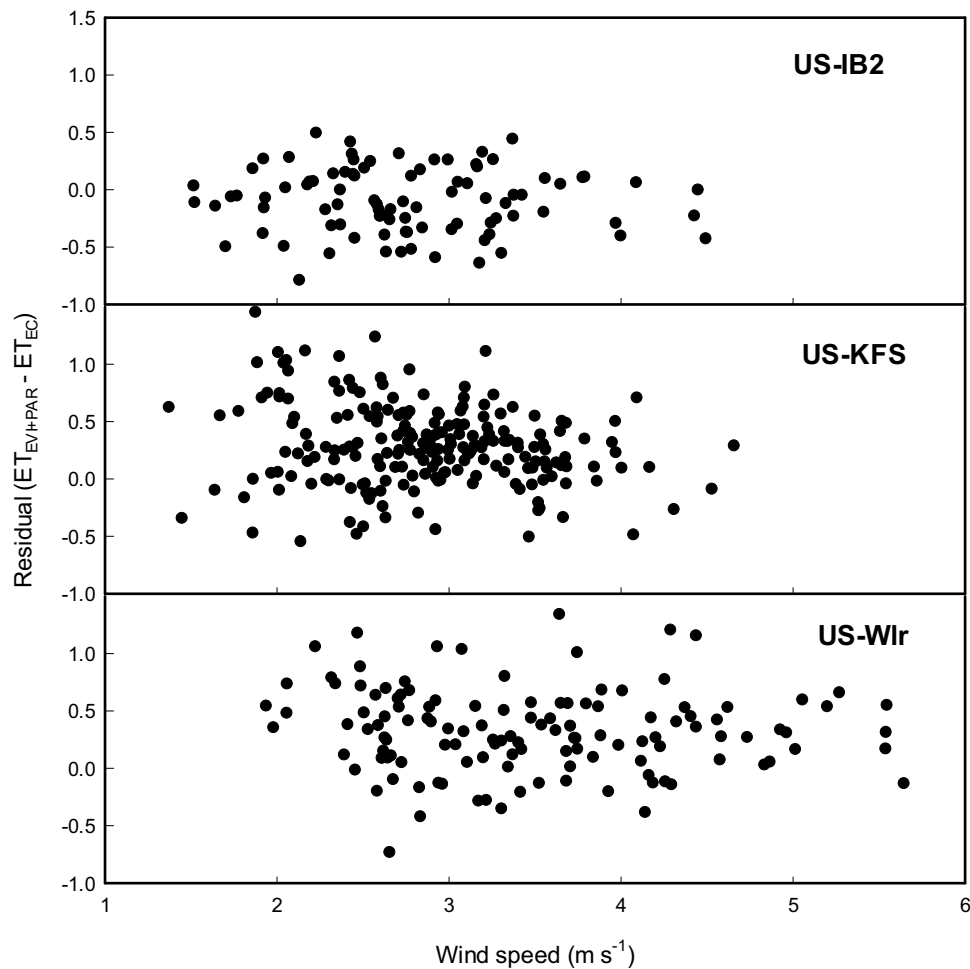
**Fig. 9.** A comparison of mean seasonal patterns (8-day composite) of tower-based evapotranspiration ( $ET_{EC}$ ) and enhanced vegetation index (EVI) at US-KFS and US-Wlr sites.

different models operate at different (hour-to-annual) timescales. Our results illustrate that one should use different climatic drivers for different timescales for modeling purposes depending on the temporal resolution of models.

Multi-year and multi-site simulations of ET using EVI and PAR performed reasonably well for predicting the dynamics of tallgrass prairie ET. Our predicted ET was significantly improved compared to  $ET_{MOD16}$ . Previous studies have found mixed results such as over- or under-estimation and no or good relationships from comparison between  $ET_{MOD16}$  and  $ET_{EC}$  in a wide range of ecosystems (Kim et al., 2012; Mu et al., 2011; Ramoelo et al., 2014; Velpuri et al., 2013; Wagle et al., 2016a). Our results showed that the MODIS ET algorithm, in most cases, slightly underestimated ET at tallgrass prairie sites, but the  $ET_{MOD16}$  product successfully depicted the seasonal trends and magnitudes of  $ET_{EC}$  (Fig. 6), indicating the potential of the global  $ET_{MOD16}$  product to track tallgrass prairie ET.

Despite great correspondence between  $ET_{EC}$  and  $ET_{EVI+PAR}$ , large discrepancies still exist between them in a few 8-day periods (Fig. 6). These discrepancies can be partly attributed to multiple sources of errors or uncertainties. The first error source is the sensitivity of the predicted ET to its input parameters: EVI and PAR. To investigate the sensitivity of ET to EVI and PAR, ET residuals ( $ET_{EVI+PAR} - ET_{EC}$ ) are plotted against EVI and PAR in Fig. 8. Results show that ET was slightly overestimated beyond PAR of  $10 \text{ MJ m}^{-2}$

$\text{d}^{-1}$  at US-KFS and US-Wlr, while it was systematically underestimated at lower PAR levels ( $< 10 \text{ MJ m}^{-2} \text{ d}^{-1}$ ) across the model development sites. The residuals did not show any systematic pattern against EVI across the model development sites, but ET was slightly overestimated at US-KFS and US-Wlr using EVI. This overestimation of ET at US-KFS and US-Wlr can be attributed to the earlier decline of  $ET_{EC}$  than EVI during the late growing season (Fig. 9), most likely because the MODIS pixel covers some forest parcels at US-KFS and a portion of shrubs at US-Wlr (Fig. 1); EVI is influenced by green forest/shrub canopies. In contrast, the flux tower footprint covered mostly grassland, and  $ET_{EC}$  declined rapidly after the senescence of grasses. When EC data are used for validation of remote sensing models, it is assumed that the EVI value for a MODIS pixel is representative for the EVI value for the flux tower footprint (especially short towers over croplands and grasslands). Our results demonstrate that the mismatch between the flux tower footprint and the MODIS pixel can contribute to some uncertainties in ET estimates, especially in case of surface heterogeneity within the MODIS pixel. Fig. 2 further supports that  $ET_{EC}$  was the lowest and EVI was the highest at US-KFS during the late growing season. When compared to other sites, clearly higher levels of PAR in spring and fall, and lower magnitudes of  $ET_{EC}$  in summer (Fig. 2) due to grazing could be attributed to overestimation of ET at US-Wlr. We further investigated the sensitivity of ET to wind speed at each of the three model



**Fig. 10.** Dependence of discrepancy in predicted ( $ET_{EVI+PAR}$ ) and tower-based ( $ET_{EC}$ ) evapotranspiration on wind speed at each of the three model testing sites.

testing sites (Fig. 10). Lack of any discernible trends of ET residuals to wind speed indicated that there were no systematic errors associated with wind speed. The second error source is the simplified assumptions that were made in estimating ET using only EVI and PAR, whereas EVI and PAR together explained 86% of the variations in  $ET_{EC}$  across the model development sites (Table 2). The representativeness of the limited AmeriFlux tallgrass prairie sites might have also presumably affected our ET estimation model. It is also important to note that the modeled and observed values cannot be identical even if all the modeling or observational errors or uncertainties could be reduced to zero (Taylor, 2001). The uncertainties in the large scale ET estimates from remote sensing data have been up to 50% of the average annual ET values (Mueller et al., 2011; Vinukollu et al., 2011). A reasonable upper limit of accuracy for the remote sensing based models to determine ET is approximately 20% (Jiang et al., 2004), indicating that our modeled ET results are within the reasonable agreement.

## 5. Conclusions

For a better understanding of the controlling factors of ET in tallgrass prairie ecosystems, we integrated  $ET_{EC}$  measurements with ground-based major climatic variables and the MODIS-derived EVI at six AmeriFlux tallgrass prairie sites. Variability in  $ET_{EC}$  was nearly identical across sites over a range of timescales. No single climate variable was the most important driver of ET across a variety of timescales, indicating that climatic forcing of ET changes over multiple timescales. At weekly timescale, EVI and PAR were two most

important factors that control ET. Based on this result, we developed and evaluated a simple model that uses EVI and PAR as input data to predict ET at 8-day intervals. Our results demonstrate that the simple  $ET_{EVI+PAR}$  model has the potential to provide estimates of tallgrass prairie ET with reasonable accuracy. This predictive model could be improved as more ET data becomes available from additional tallgrass prairie sites, and ultimately EVI and PAR could be sufficient to monitor tallgrass prairie ET over large areas. Our results can be further validated using independent tallgrass prairie flux sites. We accept that our specific parameterization for tallgrass prairie cannot be transferred to other ecosystems or regions, but the approach could be applied to develop a statistical model for predicting large-scale ET for other land cover types or regions.

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