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Using data mules for sensor network data recovery

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ABSTRACT

In this paper, we study the problem of efficient data recovery using the data mules approach, where a set of mobile sensors with advanced mobility capabilities re-acquire lost data by visiting the neighbors of failed sensors, thereby avoiding permanent data loss in the network. Our approach involves defining the optimal communication graph and mules' placements such that the overall traveling time and distance is minimized regardless to which sensors crashed. We explore this problem under different practical network topologies such as arbitrary graphs, grids and random linear networks and provide approximation algorithms based on multiple combinatorial techniques. Simulation experiments demonstrate that our algorithms outperform various competitive solutions for different network models, and that they are applicable for practical scenarios.

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1. Problem formulation

A data mule is a vehicle that physically carries a computer with storage between remote locations to effectively create a data communication link [21]. In ad-hoc networks, data mules are usually used for data collection [5] or monitoring purposes [11] when the network topology is sparse or when communication ability is limited. In this paper, we propose to extend the usage of data mules to the critical task of network reliability. That is, using the advantages of mobility capabilities to prevent losing crucial information while taking into consideration the additional operational costs. We propose to model the penalty of a sensor crash as the cost of restoring its information loss, and present several algorithms that minimize the total cost given any combination of failures. We use concepts from graph theory to model the deployment of the ad-hoc network and give special attention to linear and grid graph models, whose unique network characteristics makes them

well suited for many sensor applications such as monitoring of international borders, roads, rivers, as well as oil, gas, and water pipeline infrastructures [11,13].

Let T be a data gathering tree rooted at root r spanning n wireless sensors positioned in the Euclidean plane, where data propagates from leaf nodes to r . We model the environment as a complete directed graph $G = (V, E)$, where the node set represents the wireless sensors and the edge represents distance or time to travel between that sensors. We assume the sensors are deployed in rough geographic terrain with severe climatic conditions, which may cause sporadic failures of sensors. Clearly, if a sensor v fails, it is undesirable to lose the data it collected from its children in T , $\delta(v, T)$. Thus, a group of data gathering mules must travel through $\delta(v, T)$ and restore the lost information. We define this problem as (α, β) -Mule problem, where α is the number of simultaneous node failures and β is the number of traveling mules.

For $\alpha = 1, \beta = 1$, the mule visits the children of v over the shortest tour, $t(m, \delta(v, T))$, starting and ending at node $m \in V$, where the length of the tour is equal to the Euclidean length of distances; the goal is to find a data gathering tree T , the placement of the mule m , and the shortest tours, $t(m, \delta(v, T))$ for all $v \in V$, which minimize the total

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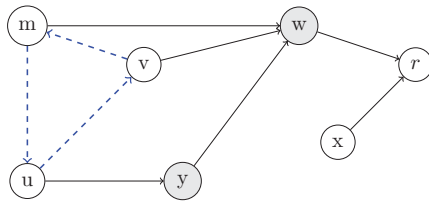


Fig. 1. Example for the mule tour when 2 nodes fail. The grey nodes represent sensors that experienced failure and the blue dashed lines represent the mule tour; the tour starts and ends at node m . (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Q2

43 traveling distance given **any** sensor can fail. Formally, find
 44 T and m such that $\sum_{v \in V} |t(m, \delta(v, T))|$ is minimized. In a
 45 similar way, we can define the problem for $\alpha > 1, \beta = 1$
 46 (see example for $\alpha = 2$ in Fig. 1, where the edges are di-
 47 rected towards the root). Formally, find T and m such that
 48 $\sum_{\{F \subset V: |F|=\alpha\}} |t(m, \bigcup_{v \in F} \delta(v, T))|$ is minimized. We can ex-
 49 tend this scenario to the case where instead of a single
 50 mule, we have β mules $\tilde{m} = \{m_1, m_2, \dots, m_\beta\}$ deployed at
 51 different coordinates of the graph. When a node fails, its
 52 children must be visited by **one** of the mules to restore
 53 the lost data, which can be viewed as a mule assignment
 54 per node for the single node failure, or per unique node
 55 failure combination for the multi-failures case. In addition
 56 to T , we must find the location of all mules \tilde{m} , and an as-
 57 signment of each node $v \in V$ to a mule $m_i \in \tilde{m}$ that mini-
 58 mizes the total travel cost of all mules. Formally, for $\beta >$
 59 1 , let $t(m_i, \delta(v, T))$ be the shortest path tour that includes
 60 mule m_i and the children of node v that mule m_i should
 61 visit. For $\alpha = 1$, the optimization problem is to find T and
 62 m such that $\sum_{v \in V} \sum_{m_i \in \tilde{m}} |t(m_i, \delta(v, T))|$ is minimized.

63 We consider two network models, *complete* graphs and
 64 *unit disc* graphs. In the complete graph model, there is a
 65 directed edge between any pair of nodes in the graphs
 66 while in the unit disc graph model, there is an edge if and
 67 only if $d(u, v) \leq 1$, where $d(u, v)$ is the Euclidean distance
 68 between nodes u and v .

69 A summary of symbols used throughout this papers are
 70 depicted in Table 1.

71 1.1. Our contribution

72 To the best of our knowledge, this is the first work ex-
 73 ploring the mule approach for avoiding data loss due to
 74 communication failures. Our results are summarized in the
 75 following table:

Table 1

Symbol table.

m	The mule placement in T
$\delta(v, T)$	The children of node v in tree T .
$ t(m, \delta(v, T)) $	The cost of the shortest tour visiting the children of node v in tree T starting from node m .
$c(m, r)$	Total cost of the data gathering tree when mule is placed at node m and root is placed at node r . The notation is used for topologies for which the cost of the solution solely depends on m and r .
$\pi(i, m, r)$	Number of times node i is visited by the mule for a given m and r .
$c(T)$	The cost of a tree solution T when the placement of m and r is given in advance.

1.2. Paper outline

76

The paper is organized as follows. In the next section
 77 we discuss the previous related work to our problem. We
 78 analyze different variations of the mule problem under the
 79 complete graph model and the unit disc graph model in
 80 Sections 3 and 4, respectively. Section 5 outlines a possible
 81 distributed implementation of our algorithms. In Section 6
 82 we present simulations of our algorithms under different
 83 network settings and conclude in Section 7. 84

2. Related work

85

Exploiting mobile data carriers (mules) in ad-hoc net-
 86 works has received significant attention recently. The sub-
 87 ject of major interest in most works is using the mules
 88 to relay and collect messages in sparse network settings,
 89 where adjacent sensors are far from each other, in or-
 90 der to substantially reduce the cost of indeterminate sen-
 91 sors communication and data aggregation. For example,
 92 Wu et al. [22], investigate how to use the mule archi-
 93 tecture to minimize data collection latency in wireless
 94 sensor networks. They reduce this problem to the well-
 95 known k -traveling salesperson with neighborhood and pro-
 96 vide a constant approximation algorithm and two heuristic
 97 for it. In a related paper by Ciullo et al. [8], the
 98 collector is responsible for gathering data messages by
 99 choosing the optimal path that minimizes the total trans-
 100 mitted energy of all sensors subject to a maximum travel
 101 delay constraint. In their model, each sensor sends differ-
 102 ent amount of data. The authors also use the k -traveling
 103 salesperson with neighborhood problem in their solution
 104 technique and prove both analytically and through simula-
 105 tion that letting the mobile collector come closer to sen-
 106 sors with more data to transmit leads to significant re-
 107 duction in energy consumption. Cheong et al. [6] investi-
 108 gate how to find a data collection path for a mobile base
 109 station moving along a fixed track in a wireless sensor
 110 network to minimize the latency of data collection. Levin
 111 et al. [17] considered the problem where the goal was to
 112 minimize the mules' traveling distance while minimizing
 113 the amount of information uncertainty caused by not vis-
 114 ited a subset of nodes by the mule. A supplementary pa-
 115 per by Jea et al. [14] studies the practical advantages of
 116 offloading the collection using multiple data mules. A sur-
 117 vey by Di Francesco et al. [9] covers the different aspects,
 118 methodologies and challenges for data collection in wire-
 119 less sensor networks (Table 2). 120

Another key aspect we discuss is using mules as backup
 121 mechanism for data loss resiliency in case of sensor 122

Table 2
Summary of results.

Underlying graph	Problem	Topology	Approximation ratio
Complete	(1, 1)-Mule	Arbitrary	$1 + 1/c, c > 1$ $\min(3, 1 + s^*)$, $s^* = \min_{v \in V} \frac{\max d(v,u)}{\min d(v,w)}$
	$(\alpha, 1)$ -Mule		
UDG	$(1, \beta)$ -Mule	Line	2
	(1, 1)-Mule		OPT
	$(\alpha, 1)$ -Mule		OPT
	(1, 1)-Mule		Random Line
	(1, 1)-Mule	Grid	$1 + (2 + \sqrt{2})/\sqrt{n}$

failures. In [18], the authors propose a mechanism for backing up cell phone data using mobile sensor nodes. The goal of their protocol and infrastructure is to prevent losing data when the cell phone is lost, malfunction or stolen. Another approach for handling data loss in sensor networks is to construct a topology with path redundancy, where multiple paths connect each pair of nodes and serve as a backup mechanism in the case of node failure. In [15], Kim et al. propose a new algorithm based on results from algebraic graph theory, which can find the critical points in the network for single and multiple failure cases. They present simulations that examine the correlation between the number of critical points and sensor density. In [23], the authors proposed to build a biconnected communication graph where each pair of nodes in the network has at least two node disjoint paths between them, and thus, failure at any single node does not partition the network.

Multiple works in ad-hoc network examine the performance of graph related communication algorithms under linear or grid network topologies. The justification to explore such topologies is that multiple algorithms have been tested under realistic network conditions. In [11], Fraser et al. explore the usage of sensor networks for bridge monitoring. They build a continuous monitoring system, capable of handling a large number of sensor data channels and three video signals and deployed on a four-span, 90-m long, reinforced concrete highway bridge. In [13], Jawhar et al. consider a protocol for linearly structured wireless sensors to decrease installation, maintenance cost, and energy requirements, in addition to increasing reliability and improving communication efficiency. Their protocol takes advantage of the unique characteristics of linear networks and is well suited to be used in many sensor applications such as monitoring of international borders, roads, rivers, as well as oil, gas, and water pipeline infrastructures.

3. Complete graphs

In this section, we study the (α, β) -Mule problem under the complete graph model, where the underlying graph structure is complete (i.e., there is an edge between any pair of nodes) and the network topology is arbitrary.

3.1. (1, 1)-Mule problem in complete graphs

Let S be a star over V and r be its root. We claim the following:

Lemma 1. The optimal structure for the data gathering tree for the (1, 1)-Mule problem on complete graphs is a star rooted in one of the nodes of V .

Proof. For any data gathering tree each node in $V \setminus \{r\}$ must be traversed at least once. The proof follows since the travel distance of the mule for a star is:

$$|t(m, \{v\})| = \begin{cases} 0 & v = r \\ \text{Length of shortest tour} & v \neq r \\ \text{over } V \setminus \{r\} & \text{otherwise} \end{cases}$$

is optimal. \square

Lemma 1 implies that the (1, 1)-Mule problem is equivalent to the problem of finding a node $r \in V$ and a tour over $V \setminus r$, such that the cost of the tour is minimized. We use this fact to prove the \mathcal{NP} -completeness of the (1, 1)-Mule problem. Consider the standard decision TSP problem: Given a set S of n points, and length K , we need to find whether exist a cycle that goes through all points in S whose length is at most K ? The decision version for the (1, 1)-Mule problem is as follows: given a set P of n points, and parameter L , we need to find whether we can remove one of the points so the cycle for the remained points will be of length at most L ?

Claim 2. The (1, 1)-Mule problem is \mathcal{NP} -complete.

Proof. It is easy to see that the problem is in NP. We only show $\text{TSP} \leq_p (1, 1)$ -Mule. Given n points and parameter K from TSP instance, we construct the instance for our problem as follows. We set P to contain S and one more point x . The parameter L will be equal to K . We put point x far away from all other points of P so that the distance from x to any of them will be more than K . Clearly, there is a solution to (1, 1)-Mule problem for P and L if and only if there is solution to TSP problem. \square

Next, we present an approximation algorithm for the problem.

Lemma 3. For any fixed $c > 1$, there is an $1 + \frac{1}{c}$ -approximation algorithm for (1, 1)-Mule problem.

Proof. Using the $1 + \frac{1}{c}$ -approximation algorithm for TSP [1], we can search for $r \in V$ that minimizes $|t(m, \delta(r))|$, where m is picked arbitrarily from $V \setminus \{r\}$. The running time is $O(n(\log n)^{O(c)})$. \square

We remark that alternative implementation can use Christofides's $\frac{3}{2}$ -approximation algorithm [7] for finding the tour. The running time is $O(n^3)$.

3.2. $(\alpha, 1)$ -Mule problem in complete graphs

By similar argument as in Lemma 1, it is easy to see that the optimal topology for $(\alpha, 1)$ -Mule is a star rooted as some node r . We introduce Algorithm 1. Let t_{opt} be the optimal tour, r_{opt} be the root of the optimal tour, t be the tour produced by Algorithm 1, and P_α be a permutation of α nodes.¹

¹ This step in the algorithm can be accomplished by any approximation algorithm for TSP, e.g., [7].

Algorithm 1: BUILD TREE 1.

- 1 For each node $v \in V$, calculates $\rho(v) = \frac{\max_{u \in V \setminus \{v\}} d(v, u)}{\min_{u \in V \setminus \{v\}} d(v, u)}$, the ratio between the maximum to the minimum edge with respect to v . Set r to be the node that minimizes this ratio and let $s^* = s(r)$ (ties are broken arbitrarily).
- 2 Set T to be a star rooted at r .
- 3 Pick an arbitrary node $v \neq r$ and set $m = v$.
- 4 Find tour C on $V \setminus \{r\}$ using the algorithm from [1].
- 5 Emit T, m, C .

213 **Lemma 4.** *Algorithm 1 is a $(1 + s^*)$ -approximation algo-*
 214 *rithm for $(\alpha, 1)$ -Mule on complete graphs.*

215 **Proof.** We prove the claim by mapping, showing that for
 216 each combination of node failures P_α , either the mule
 217 travel costs of t_{opt} and t are the same, or that there ex-
 218 ists a bijection from a permutation in t_{opt} to a permu-
 219 tation in t such that the solution's cost increases by at
 220 most $(1 + s^*)$, where s^* is defined in Algorithm 1. Let $V(P_\alpha)$
 221 be the nodes that are traversed when the nodes in P_α
 222 fail. Clearly the solutions costs are the same if $r \notin P_\alpha$ and
 223 $r_{opt} \notin P_\alpha$ or $r \in P_\alpha$ and $r_{opt} \in P_\alpha$. For $r_{opt} \in P_\alpha$ and $r \notin P_\alpha$
 224 the cost of t is 0 (since the tree has a form of star), while
 225 the cost of t_{opt} is the optimal tour over $V(P_\alpha)$; the oppo-
 226 site stands for $r_{opt} \notin P_\alpha$ and $r \in P_\alpha$. We show that for this
 227 case, for each combination P_α in t there is a combination
 228 P'_α formed by adding twice (forward and back) the edge
 229 $e(r, r_{opt})$ to the solution that the new cost is at most $1 + s^*$
 230 times the cost of t_{opt} . Clearly, each edge that connects r
 231 to the tour costs at least $\min_{u \in V \setminus \{r\}} d(r, u)$ and the new
 232 edge costs at most $\max_{u \in V \setminus \{r\}} d(r, u)$. Therefore, the cost
 233 of the new tour is at most $|t_{opt}| + 2 \max_{u \in V \setminus \{r\}} d(r, u) =$
 234 $|t_{opt}| + 2s^* \min_{u \in V \setminus \{r\}} d(r, u) \leq |t_{opt}|(1 + s^*)$. Last equality
 235 holds since $|t_{opt}| \geq 2 \min_{u \in V \setminus \{r\}} d(r, u)$. \square

236 An alternative approach to this solution, is to se-
 237 lect r that minimizes the length of minimum edge
 238 $e(r, w), \forall w \in V \setminus \{r\}$ with r as one of the endpoints. Simi-
 239 lar analysis to the above yields $(1 + \frac{2s(r)}{n-\alpha})$ -approximation
 240 ratio. This is because $t_{opt} \geq (n - \alpha) \min_{w \in V \setminus \{r\}} d(r, w)$ and
 241 the cost of new tour is $|t_{opt}| + 2 \max_{u \in V \setminus \{r\}} d(r, u) = |t_{opt}| +$
 242 $2s(r) \min_{w \in V \setminus \{r\}} d(r, w) \leq |t_{opt}| + 2s(r) \frac{|t_{opt}|}{n-\alpha} = (1 + \frac{2s(r)}{n-\alpha}) |t_{opt}|$.
 243 Note that $s(r)$ does not necessary minimize maximum
 244 edge to minimum edge ratio.

245 Next, by carefully choosing r , we explain how to ob-
 246 tain a 3-factor approximation to our problem for a fixed
 247 value of α . Select r that maximizes the average cost of
 248 minimal edge (u, v) for each combination of $\alpha - 2$ failures.
 249 That is, per each node u and every edge (u, v) we calcu-
 250 late the number of times $t(u, v)$ (per each combination of
 251 $\alpha - 2$ failures) the edge (u, v) will be minimum edge from
 252 u . Next, we compute $ct(u) = \sum_{v \in V} d(u, v) \cdot t(u, v)$. Take r
 253 to be the node that maximizes $ct(r)$. If we consider the
 254 optimal solution OPT (which, according to the definition,
 255 contains many tours), then we notice that the sum of all
 256 edges' lengths that connect r in all tours is larger than
 257 $ct(r)$, since it must be equal at least the sum of all mini-
 258 mums. Thus, the total traveling distance in OPT is $|OPT| \geq$
 259 $2ct(r)$. On the other side, by definition $ct(r_{opt}) \leq ct(r)$. The

cost of new solution when adding r_{opt} is $|OPT| + 2c(r_{opt}) \leq$ 260
 $|OPT| + 2ct(r) \leq 3|OPT|$. 261

3.3. $(1, \beta)$ -Mule problem in complete graphs 262

In this section, we show how to solve the $(1, \beta)$ -Mule 263
 problem on the complete Euclidean graph. 264

Lemma 5. *Algorithm 2 produces a 2-approximated solu-* 265
tion for the $(1, \beta)$ -Mule problem. 266

Algorithm 2: BUILD TREE 2.

- 1 **foreach** $v \in V$ **do**
- 2 Find optimal spanning tree T_v on $V \setminus \{v\}$
- 3 Let $T_v^1, T_v^2, \dots, T_v^\beta$ be the set of trees created by removing the
 $\beta - 1$ heaviest edges from T_v ,
- 4 Assign the nodes in T_v^i to mule m_i .
- 5 **end**
- 6 Let v be the node that minimizes $\sum_{i=1}^\beta |T_v^i|$.
- 7 Set T to be a star rooted at v .
- 8 Emit $T, \bar{m} = \{m_1^1, \dots, m_v^\beta\}$.

Proof. Let C_{OPT}^i be the optimal tour traveled by mule m_i . 267
 By the construction of the algorithm and by the definition 268
 of minimum spanning tree: $\sum_{i=1}^\beta |T^i| \leq \sum_{i=1}^\beta |C_{OPT}^i| = OPT$. Let 269
 C^i be the tour constructed by traversing the nodes T^i us- 270
 ing a depth-first-traversal. We have $\sum_{i=1}^\beta |C^i| \leq \sum_{i=1}^\beta 2|T^i| \leq$ 271
 $2OPT$. \square 272

4. Unit disc graphs 273

In this section, we study the different variation of the 274
 $(1, 1)$ -Mule problem under the unit disc graph model, 275
 where any two nodes $u, v \in V$, can communicate if and 276
 only if $d(u, v) \leq 1$. 277

4.1. $(1, 1)$ -Mule problem in line topology 278

Here, n nodes, with unit distance between them, are 279
 placed in the Euclidean plane. The construction ensures 280
 that a node can communicate only with its adjacent neigh- 281
 bors. For the line topology under those communication 282
 constraints, only the placement of the root r is required to 283
 define the structure and orientation of the tree. Thus, the 284
 cost of a solution is solely determined by the placement 285
 of r and m . For clarity, we number the nodes from 1 to n 286
 and use m and r as the indices of the mule and the root 287
 in the solution. From symmetry, we assume $r \geq m$, since 288
 $c(m, r) = c(n - m + 1, n - r + 1)$, where $c(m, r)$ is the cost 289
 of the optimal solution when the mule is located at m and 290
 the root is placed at r when the topology is entirely deter- 291
 mined by the location of r (e.g., line). A sample instance of 292
 the problem is depicted in Fig. 2. 293

Lemma 6. *For the line topology, the optimal placement for* 294
the root r is $n - 1$. 295

Proof. Assume $m < r$, if a node $i \in V$ fails, we have four 296
 cases: 297

1. $i < m$, the cost is $2(m - i + 1)$ regardless to the location 298
 of r . 299

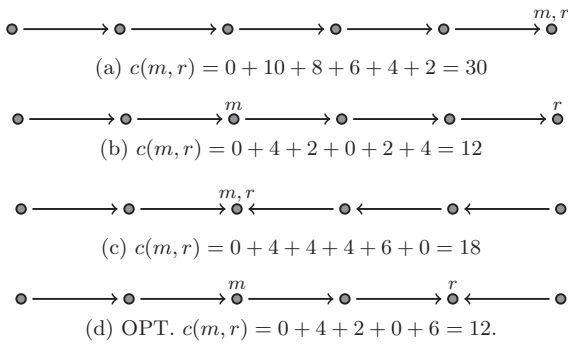


Fig. 2. Line topology illustration. The figure contains 6 nodes with two different solutions for T and two different choices for locating the mule. Each value in sum represents the cost of failing node i , $i \in \{1, \dots, 6\}$.

- 300 2. $i < m < r$, the cost is $2(r - m - 1)$.
- 301 3. $r < i$, $i \neq n$, the cost is $2(r - m + 1)$.
- 302 4. $r < i$, $i = n$, the cost is 0 (since i has no children).

303 The claim follows since we want to minimize the number of nodes that are placed after r , but can use the fact that the cost is zero for $r < i = n$. \square

306 **Lemma 7.** For line topology, the optimal placement for the mule is $\lceil \frac{n}{2} \rceil$.

308 **Proof.** For optimality $r = n - 1$. Then $c(m, r) = 2(\sum_{i=1}^{m-1} i + \sum_{i=1}^{n-m-1} i + 2)$ is maximized for $\lceil \frac{n}{2} \rceil$. \square

310 4.2. $(\alpha, 1)$ -Mule in the line topology

311 In this section, we show how to handle α simultaneous failures on the line topology. We show a formula for calculating $c(m, r)$ and prove that the values that minimize $c(m, r)$ are $m = \frac{n}{2}$ and $r = n - 1$. The highlights of the proof are as follow: we show that for $r = n$, $c(m, n)$ is monotonically decreasing for $m < \frac{n}{2}$ and monotonically increasing for $m > \frac{n}{2}$, which implies a global minimum for $m = \frac{n}{2}$. Next, we extend the proof and show that this global minimum for $r = n - 1$ is still $m = \frac{n}{2}$. To illustrate the concepts behind the proof, the costs of $c(m, n)$ and $c(m, n - 1)$ for varying values of m are given in Fig. 3.

322 First, we introduce some basic definitions. We define a direct visit when the mule visits node i where i is the leftmost node if $i < m$ or the rightmost node if $i > m$. Let $\pi(i, m, r)$ be the number of times the mule at placement m directly visits node i for root placement r . We separate between left and right movement and define $\pi_l(m, r) = \sum_{i=1}^{m-1} \pi(i, m, r)$ and $\pi_r(m, r) = \sum_{i=m+1}^n \pi(i, m, r)$ to be the number of times that the mule must travel left or right when placed at location $m \in [1, n]$.

331 We begin by showing an optimal but inefficient algorithm for the problem: 332

333 **Lemma 8.** For $m \in [1, n - 2]$, $c(m, n - 1)$ has a closed formula, which can be calculated in polynomial time. 334

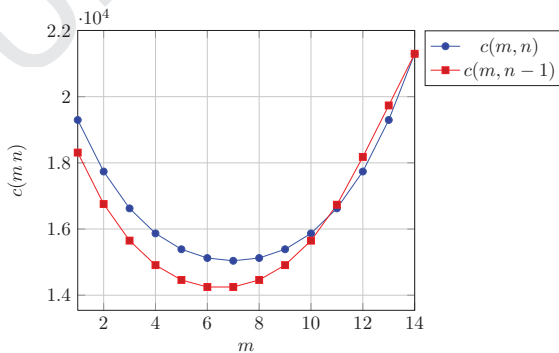
335 **Proof.** First note that we only visit node at i , when node at $i + 1$ fail. For $m < i < n - 2$ we have $\pi(i, m, n - 1) = \sum_{j=1}^{n-i-2} \binom{i-1}{\alpha-j} + \sum_{j=1}^{n-i-1} \binom{i-1}{\alpha-j-1}$. The left expression represents the case where node at placement n did not fail and the right expression represents the case where node at placement n did fail. For $i = n - 2$ we have $\pi(n - 2, m, n - 1) = \binom{n-3}{\alpha-2} + \binom{n-3}{\alpha-1}$. For $i = n$: $\pi(n, m, n - 1) = \binom{n-2}{\alpha-1}$. The expression $\pi(i, m, n - 1)$ for $i < m$ represents the case where j consecutive nodes from the right side of i failed and equals $\sum_{j=1}^{\min(\alpha-1, i-1)} \binom{n-(i+1)}{j}$. Let $d(m, i)$ be the Euclidean distance between m and i , the cost is $c(m, n - 1) = \sum_{i=1}^n \pi(i, m, n - 1) \cdot d(m, i)$. which we can calculate in polynomial time. \square 347

348 From Lemma 6 we know that the optimal placement for the root is $n - 1$. Therefore, to find the optimal solution, we can search for the value of m that minimizes $c(m, n - 1)$. Using dynamic programming and the memoization table, in $O(n^2)$ time we can compute the values of $c(i, j)$, and calculate the total cost. Thus, the running time of the algorithm is $O(n^2)$. 354

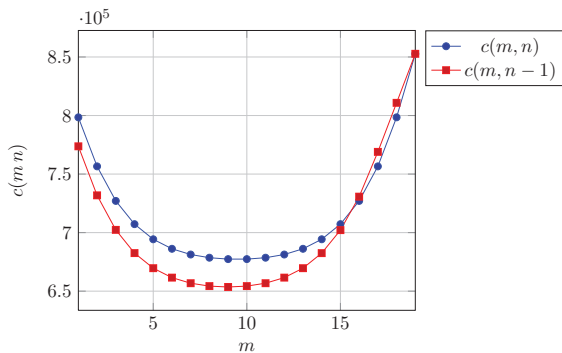
355 Now we show that the optimal cost is obtained for $m = \frac{n}{2}$ and $r = n - 1$. First we claim the following: 356

357 **Lemma 9.** For $m < i$, $\pi(i, m, r) = \pi(i, m + 1, r)$ and for $m > i$, $\pi(i, m, r) = \pi(i, m - 1, r)$. 358

359 **Proof.** As long as $m \neq i$ the orientation of the mule with respect to i does not change. \square 360



(a) $c(m, n)$ for $n = 14$.



(b) $c(m, n)$ for $n = 20$.

Fig. 3. $c(m, r)$ for varying values of m .

Lemma 10. $c(m + 1, r) = c(m, r) + \pi_l(m, r) + \pi(m, m + 1, r) - \pi_r(m, r)$ and $c(m - 1, r) = c(m, r) - \pi_l(m, r) + \pi(m, m - 1, r) + \pi_r(m, r)$.

Proof. Let $d(m, i)$ be the distance between m to i . Thus, $c(m, r) = \sum_{i=1}^m \pi(i, m, r)d(m, i) + \sum_{i=m+1}^n \pi(i, m, r)d(m, i)$. Assume we place the mule at location $m + 1$. From Lemma 9 we have $c(m + 1, r) = \sum_{i=1}^{m-1} \pi(i, m, r)(d(m, i) + 1) + \pi(m, m + 1, r) + \sum_{i=m+1}^n \pi(i, m, r)(d(m, i) - 1)$. Since $d(m, m) = 0$ we get $c(m + 1, r) - c(m, r) = \pi_l(m, r) + \pi(m, m + 1, r) - \pi_r(m, r)$. And when placing the mule in $m - 1$ we obtain $c(m - 1, r) - c(m, r) = -\pi_l(m, r) + \pi(m, m - 1, r) + \pi_r(m, r)$, and the claim follows. \square

Next, we show that:

Lemma 11. For $r = n$, $\pi_l(\frac{n}{2}, n) = \pi_r(\frac{n}{2}, n)$.

Proof. When $r = n$, we show that for each node on the right side r , there is a bijection to a node on the left side l , such that $\pi(r, m, n) = \pi(j, m, l)$. This means that the number of times the mule travels specifically to l is equal to the number of times it travels to r (note that this does not necessarily imply that the distances of l and i from m are the same or that they equally contribute to $c(m, r)$). To see this, we look at the number of permutations when some node $r > \frac{n}{2}$ fails. We travel directly to r when a set of j consecutive nodes with respect to r fail (i.e., $r + 1, r + 2, \dots, \min(r + j, \alpha)$) and $\alpha - j$ nodes that are on the left hand side of r fail. Formally, this is equal to $\pi(r, m) = \sum_{j=1}^{n-r} \binom{r-1}{\alpha-j}$. For some node $l < \frac{n}{2}$, we travel to l when a set of j consecutive nodes from the leftmost node fail (i.e., $1, 2, \dots, \min(j, \alpha)$), and another j node that are on the right hand side of l fail. Formally, this is equal to $\pi(l, m) = \sum_{j=1}^{l-1} \binom{n-(l+1)}{\alpha-j}$. We have the expressions equal for $l = n - i + 1$ and the claim follows. \square

Lemma 12. For increasing m $\pi_l(m, r)$ is monotonically increasing and $\pi_r(m, r)$ is monotonically decreasing.

Proof. Regardless of the mule placement, from Lemma 9 and as long as $i > m$, the number of times the mule travel to a specific node is constant. Since increasing m means less nodes are on the right hand side, with no change in orientation with respect to m , $\pi_l(m, r)$ is increasing. Since more nodes are added from the left side of m , $\pi_r(m, r)$ is decreasing. \square

Lemma 13. For $r = n$, the function $c(m, n)$ has global minimum at $\lceil \frac{n}{2} \rceil$.

Proof. Follows from Lemmas 11 and 12. \square

We have shown that for $c(m, n)$ yields optimal value for $m = \frac{n}{2}$. To complete the proof, we turn to handle the case of $r = n - 1$.

Lemma 14. For $r = n - 1$, the function $c(m, n - 1)$ has global minimum at $\lceil \frac{n}{2} \rceil$.

Proof. For $l < m$, $\pi(m, l)$ is not impacted by this change. However, for each node $r < n - 2$ on the right of m , we separate to two cases: directly visiting r when node n fails or nodes n and $n - 1$ do not fail. Formally, $\pi(r, m, n - 1) = \sum_{j=1}^{n-r-2} \binom{r-1}{\alpha-j} + \sum_{j=1}^{n-r-1} \binom{r-1}{\alpha-j-1} =$

$\sum_{j=1}^{n-r-2} \binom{r-1}{\alpha-j} + \sum_{j=2}^{n-r} \binom{r-1}{\alpha-j} = \sum_{j=1}^{n-r-2} \binom{r-1}{\alpha-j} + \sum_{j=n-r-1}^{n-r} \binom{r-1}{\alpha-j} + \sum_{j=2}^{n-r-2} \binom{r-1}{\alpha-j} = \sum_{j=1}^{n-r} \binom{r-1}{\alpha-j} + \sum_{j=2}^{n-r-2} \binom{r-1}{\alpha-j}$. For $r = n - 2$, we have $\pi(n - 2, m, n - 1) = \binom{n-3}{\alpha-2}$. Finally, for $r = n$, $\pi(m, m, n - 1) = \binom{n-2}{\alpha-1}$. Thus, we obtain $\pi_r(m, n) - \pi_r(m, n - 1) = \binom{n-3}{\alpha-1} - \sum_{r=m+1}^{n-3} \sum_{j=2}^{n-r-2} \binom{r-1}{\alpha-j} = \Delta$. To complete this proof, all we have to show is that the function $c(m, n - 1)$ is monotonicity increasing when $m > \frac{n}{2}$ and monotonicity decreasing when $m < \frac{n}{2}$, which means that the minimum is achieved at $m = \frac{n}{2}$.

Combining Lemmas 10 and 11, we have to show that: $0 \leq c(m + 1, n - 1) - c(m, n - 1) = \pi_l(m, n - 1) - \pi_r(m, n - 1) + \pi(m + 1, m, n - 1) - \pi_r(m, n - 1) - \Delta + \pi(m + 1, m, n - 1) = \pi(m + 1, m, n - 1) + \Delta$ and that: $0 \leq c(m - 1, n - 1) - c(m, n - 1) = -\pi_l(m, n - 1) + \pi_r(m, n - 1) + \pi(m - 1, m, n - 1) - \Delta$.

Clearly the first expression is true since $\pi(m + 1, m, n - 1) + \Delta$ is positive. To complete the proof, we show that $\Delta \leq \pi(m - 1, m, n - 1)$. Reversing the order of summation yields $\Delta = \binom{n-3}{\alpha-1} - \sum_{j=2}^{n-m-3} \sum_{r=m+1}^{n-3-(j-1)} \binom{r-1}{\alpha-j}$. Using the binomial coefficient identity: $\sum_{i=0}^n \binom{i}{c} = \binom{n+1}{c+1}$ we get $\Delta = \binom{n-3}{\alpha-1} - \sum_{j=2}^{n-m-3} \left(\binom{n-3-(j-1)}{\alpha-j+1} - \binom{m}{\alpha-j+1} \right) = \binom{n-3}{\alpha-1} - \sum_{j=0}^{n-m-5} \left(\binom{n-4-j}{\alpha-j-1} - \binom{m-2}{\alpha-j-1} \right)$. Using the binomial coefficient identity $\sum_{i=0}^c \binom{n-i}{c-i} = \binom{n+1}{c}$ and assuming $n - m - 5 \geq \alpha$ we obtain $\Delta = \binom{n-3}{\alpha-1} - \binom{n-3}{\alpha-1} + \sum_{j=0}^{n-m-5} \binom{m-2}{\alpha-1-j}$. Setting $m = \frac{n}{2}$, we have $\Delta = \sum_{j=0}^{\frac{n}{2}-5} \binom{\frac{n}{2}-2}{\alpha-1-j}$. Finally, by setting $m = \frac{n}{2}$ in $\pi(m - 1, m, n - 1)$ it results in: $\pi(m - 1, m, n - 1) = \pi(\frac{n}{2} - 1, \frac{n}{2}, n - 1) = \sum_{j=1}^{\frac{n}{2}-1} \binom{n-(\frac{n}{2}-1+1)}{\alpha-j} = \sum_{j=0}^{\frac{n}{2}} \binom{\frac{n}{2}}{\alpha-1-j} \geq \Delta$ and the proof is complete. \square

We conclude with the following:

Theorem 15. The optimal placement for $(\alpha, 1)$ -Mule on the line topology is $r = n - 1$ and $m = \frac{n}{2}$.

4.3. (1, 1)-Mule problem in the random line topology

In this section, we solve the (1, 1)-Mule problem on the random line, where n nodes are placed on a line with length $n \gg L$ such that the distances between adjacent nodes are sampled from a predefined distribution function, i.e., the maximum distance is 1. The communication model is unit disc graph, which means that an edge is formed between two nodes u, v if and only if $d(u, v) \leq 1$. Note that this implies that the graph is connected. In what follows, we use the simplified assumption that the mule m and root r are positioned in the leftmost node of the line and that $L \in \mathbb{N}$.

Let T be the tree produced by Algorithm 3, T_{opt} be the optimal tree and $c(T)$ and $c(T_{opt})$ be their costs, respectively. We define T_L as the tree over exactly L nodes such that the distance between adjacent nodes is exactly one; let $c(T_L)$ be its cost. Observe that in the algorithm, the set B represents the “backbone” nodes in T that are not leaves. We claim:

Lemma 16. $c(T_L) \leq c(T_{opt})$.

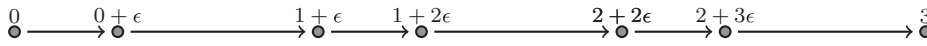


Fig. 4. Placement where approximately $2L$ nodes are required to cover an area with length L .

Algorithm 3: BUILD TREE 3.

```

1  $V' = B = C = \{r\}$ 
2  $E' = \emptyset$ 
3 while  $|C| \neq n$  do
4   Let  $C$  be all nodes reachable by nodes in  $B$ .
5   Find furthest node  $v$  that is reachable by nodes in  $B$ .
6   Find node  $u \in B$  that minimizes  $d(u, v)$ .
7   Add  $v$  to  $B$ .
8   Add the edge  $e(v, u)$  to  $E'$ .
9   For each  $w \in C \setminus \{v\}$ , add a directed edge  $e(w, v)$  to  $E'$ .
10   $V' = V' \cup C \cup \{v\}$ .
11 end
12 Emit  $T = (V', E')$ .
    
```

468 **Proof.** Note that at least L nodes are required to cover an
 469 area of length L and that each unit interval on the line
 470 must contain at least one node. Therefore, we can convert
 471 any tree to T_L by mapping one of the nodes in interval
 472 $[i, i + 1]$ to the node at location i in T , and drop all other
 473 nodes in that interval. Since $m = 0$, this conversion reduces
 474 the overall cost of the solution. This implies, a fortiori, that
 475 $c(T_L) \leq c(T_{opt})$. \square

476 **Lemma 17.** $|V(T)| \leq 2L$.

477 **Proof.** Let v and l be two non-leaf nodes that are selected
 478 in two consecutive iterations of Algorithm 3, and v_x and l_x
 479 be their x coordinates on the line, respectively. The algo-
 480 rithm will converge in most slowest rate when l_x is closest
 481 as possible to v_x , but since l is the furthest node in the
 482 range $[v_x, v_x + 1]$ it means the non-leaf node that will be
 483 selected after l must be in $[v_x + 1, v_x + 1 + \epsilon]$. Thus, in the
 484 worst case, the algorithm covers a unit distance in two it-
 485 erations, which means that it completes after at most $2L$
 486 steps. See the illustration in Fig. 4. \square

487 **Lemma 18.** $c(T) \leq 4c(T_L)$.

488 **Proof.** By definition $c(T_L) = 2 \sum_{i=1}^L i = L(L + 1)$. Let i_x be
 489 the coordinate of non-leaf node selected in iteration i in
 490 Algorithm 3, we have: $c(T) \leq 2 \sum_{i=1}^{2L} i_x \leq 2 \sum_{i=1}^{2L} i = 2L(2L +$
 491 $1)$. The last inequality follows since we stretch a line of
 492 length L to a line of length $2L$. \square

493 Therefore, we have:

494 **Lemma 19.** Algorithm 3 yields a 4-approximation for the (1,
 495 1)-Mule problem.

496 4.4. (1, 1)-Mule problem in grid topology

497 Next, we assume that the nodes of the graph are de-
 498 ployed on a $\sqrt{n} \times \sqrt{n}$ grid and have unit transmission
 499 radius.

500 Let d_v be the degree of node $v \in V$ and d_{max} be the
 501 maximum degree of any node in the input graph G and
 502 $v_{i,j}$ be the location of node at coordinates i, j , we claim:

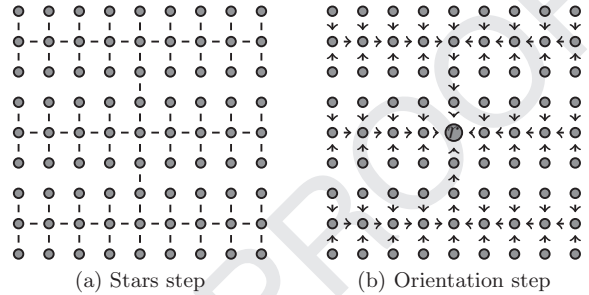


Fig. 5. Illustration of Algorithm 4.

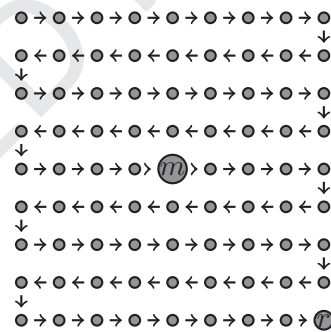


Fig. 6. Zig-zag tree with cost $2 \sum_{i=1}^{\sqrt{n}} \sum_{j=1}^{\sqrt{n}} d(v_{i,j}, m)$.

503 **Lemma 20.** For a specific mule placement m , the approxima-
 504 tion ratio of any tree to the (1, 1)-Mule problem is at most
 505 d_{max} .

506 **Proof.** Clearly, for any algorithm all non root nodes must
 507 be visited by the mule. In the worst case, that incurs the
 508 least value is when a node v has a single child in T . Then,
 509 the mule's tour only covers one node. In the best case,
 510 each tour includes all children of v in G , which is obli-
 511 viously bounded by its degree. The claim follows since the
 512 ratio between the cost node v incurs in the worst solution
 513 and the optimal solution is at most d_v and since all chil-
 514 dren of v must be visited by the mule in the algorithm
 515 (Fig. 5). \square

516 Next, we show a lower bound on OPT.

517 **Lemma 21.** $OPT \geq \frac{2 \sum_{i=1}^{\sqrt{n}} \sum_{j=1}^{\sqrt{n}} d(v_{i,j}, v_{\frac{\sqrt{n}}{2}, \frac{\sqrt{n}}{2}})}{3}$.

518 **Proof.** Let $m_{x,y}$ be the location of the root, and assume
 519 that we use a zig-zag tree as a solution (see Fig. 6). Clearly,
 520 the cost is $2 \sum_{i=1}^{\sqrt{n}} \sum_{j=1}^{\sqrt{n}} d(v_{i,j}, m_{x,y})$, which is optimized by
 521 $x = \frac{\sqrt{n}}{2}$ and $y = \frac{\sqrt{n}}{2}$. The proof follows by combining the
 522 fact that except from the root, for any tree in the grid
 523 $d_{max} = 3$, and from Lemma 20. \square

524 Next, we present Algorithm 4 that constructs a tree
 525 with almost optimal cost. To maximize the number of
 526 nodes visited per failure we try to produce a tree with

Algorithm 4: BUILD TREE 4.

- 1 **Stars** Build adjusted stars for all nodes with coordinates (x, y) such that $1 \equiv y \pmod 3$ (Fig. 7a).
- 2 **Orientation** Connect stars with grid orientation (Fig. 5b).

527 maximum number of leaves. We use the principals presented at [3] and build the tree on the top of multiple consecutive stars.

530 Let c be the cost of Algorithm 4 and s be the cost of the zig-zag tree. We show:

532 **Lemma 22.** *Algorithm 4 is a $1 + \frac{2+\sqrt{2}}{\sqrt{n}}$ -approximation algorithm.*

534 **Proof.** On the one side $c = 2 \sum_{i=1}^{\sqrt{n}} \sum_{j=1 | 1 \equiv j \pmod 3}^{\sqrt{n}} (d(v_{i,j}, m) + (1 + \sqrt{2})) + 2 \sum_{j=1 | 1 \equiv j \pmod 3}^{\sqrt{n}} \frac{j \leq 2 \sum_{i=1}^{\sqrt{n}} \sum_{j=1}^{\sqrt{n}} d(v_{i,j}, m)}{3} + 2 \sum_{i=1}^{\sqrt{n}} \sum_{j=1 | 1 \equiv j \pmod 3}^{\sqrt{n}} (1 + \sqrt{2}) + \frac{2}{3}n = OPT + \frac{2}{3}n(2 + \sqrt{2})$.
 537 On the other side, since we can project all nodes in the zig-zag tree solution to the x -plane and place m at $(\frac{\sqrt{n}}{2}, 0)$
 538 we have $s = 2 \sum_{i=1}^n (i - \frac{\sqrt{n}}{2}) \geq n^2 - n\sqrt{n} \geq 2n\sqrt{n}$. The last inequality holds for $n > 9$. Since the projection reduces the travel cost of the solution, together with Lemma 20
 541 we have $OPT \geq \frac{s}{3} \geq \frac{2n\sqrt{n}}{3}$. Hence, $c \leq (1 + \frac{2+\sqrt{2}}{\sqrt{n}})OPT$. \square

5. Distributed implementation

544 In order to make our solutions feasible, i.e. to allow them to work in real life node deployments, we outline how it is possible to implement them in a decentralized (distributed) (without the need for coordination by a central unit) and local, based on neighbor knowledge manner. In the proposed distributed implementations we make a use of the work [2]. The paper [2] shows how to find a leader in a distributed fashion (and also minimum spanning tree) in a network with n nodes in $O(n)$ time using $O(n \log n)$ messages. To establish connectivity, can follow two different approaches as described in [19]. The first, described in Dolev et al. [10] forms a temporary underlying topology in $O(n)$ time using $O(n^3)$ message. The second (better) approach is given by Halldórsson and Mitra [12] that shows how to do this in $O(\text{poly}(\log \gamma, \log n))$, where γ is the ratio between the longest and shortest distances among nodes. After the topology is established, we can use leader-election algorithm by Awerbuch [2] that can compute all other relevant information in the network, i.e. choose an appropriate root r or find the tour. Given each sensor knows the total number of nodes in the network, the distributed implementation of BUILD TREE 4 algorithm only requires the local GPS coordinates of each sensor. To retrieve this information, we can apply Peleg et al. [20] distributed algorithm for finding the graph's diameter and propagate it to all sensors.

6. Simulations

571 In what follows, we describe the simulation results of the various algorithms and network models proposed

573 in this paper. We have implemented all algorithms described throughout the paper using standard simulation software written in C# and conducted multiple experiments on different topologies. For each experiment, we have calculated the mean solution cost after conducting 50 iterations. For large networks, for which calculating the shortest TSP is not computationally feasible, we have used a TSP heuristic framework based on a genetic algorithm [16].

582 To show the clear advantages of using this paper algorithms we introduce the notation of lower bound OPT, [OPT], which is calculated based on the different bounds we provide under the different network settings. In all simulations we compare the ratio of the proposed algorithm to the lower bound on OPT. In the first simulation (Fig. 7), we investigated the variance of initiating different input trees in step 1 of Algorithm 2. To produce the mule's tours we used the heuristic genetic algorithm from [4]. We compared our results to the following variations: TOUR, finding the optimal approximated tour over $n - 1$ nodes using the heuristic algorithm from [4] and then taking the minimum spanning tree over those nodes, RANDOM, building a random tree, and [OPT], using the minimal spanning tree instead of tours (thereby making its cost a lower bound on OPT). We provide results for 5 and 10 sensor failures, correspondingly. From the simulations, we can see that the rival algorithms substantially suffer from the increase in failures, which means higher cost of traveling tours with respect to Algorithm 2. The results show that the bound proved in Lemma 5 holds and that in practice, might be even better. In the second simulation (Fig. 8), we explored how different leader selection in step 4 of Algorithm 3 impacts the total cost of the algorithm. We compared the results of our algorithm against three competitive algorithms: GREEDY1, randomly selecting one of the nodes as leader, GREEDY2, selecting the node closest to the rightmost leader and [OPT], changing the distances between the adjacent nodes to L/n . In the simulations, we tested how the distribution function of the adjacent distance between nodes impacts the performance of the algorithm. In Fig. 8a, we used the exponential distribution with mean 0.1 and in Fig. 8b, the uniform distribution with mean 0.5. Reviewing the experiment data, we noticed that the burstness of the exponential distribution causes increases the travel distance of the mule, thereby increasing the overall cost of the solutions. Finally, note that the actual approximation ratio of Algorithm 3 is much lower than the one proved in Lemma 18, which may indicate that we can theoretically tighten the approximation ratio. In the final simulation (Fig. 9), we compared the results of Algorithm 4 against the following competitive algorithms: ZIG-ZAG, using the zig-zag tree (see Fig. 6), GREEDY, using the minimum spanning tree and [OPT], using the zig-zag tree but diving the cost by 3 (see Lemma 20). We study two variations, placing the mule at the leftmost corner coordinate and placing the mule at the center. Its interesting to note that although the ratio between algorithms in both simulations remains the same, the actual cost was much higher when placing the mule at the corner.

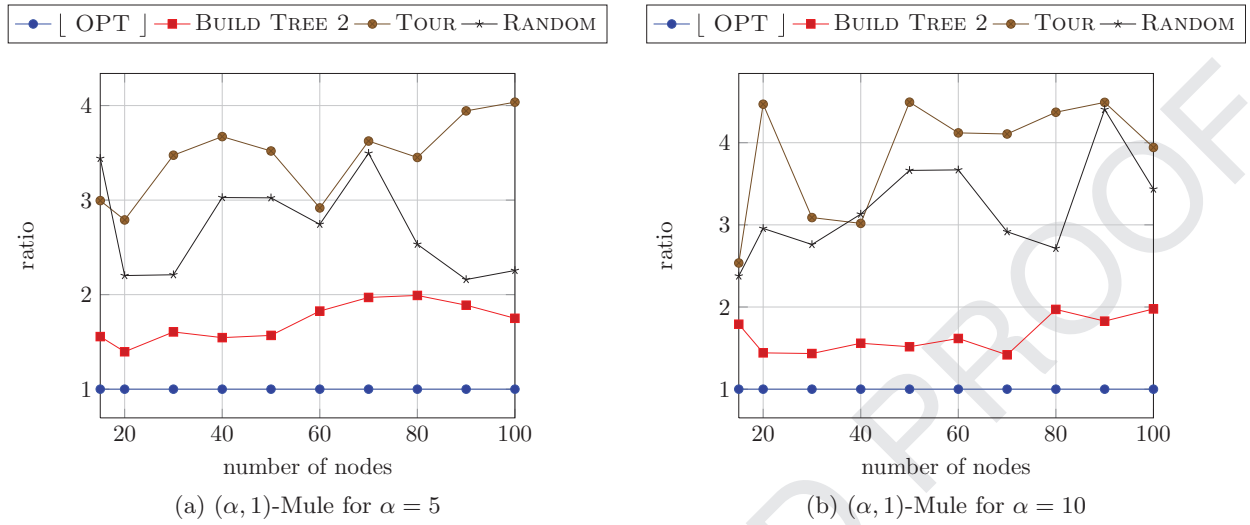


Fig. 7. Comparison between the cost of Algorithm 2 and the cost of alternative efficient tree construction solutions.

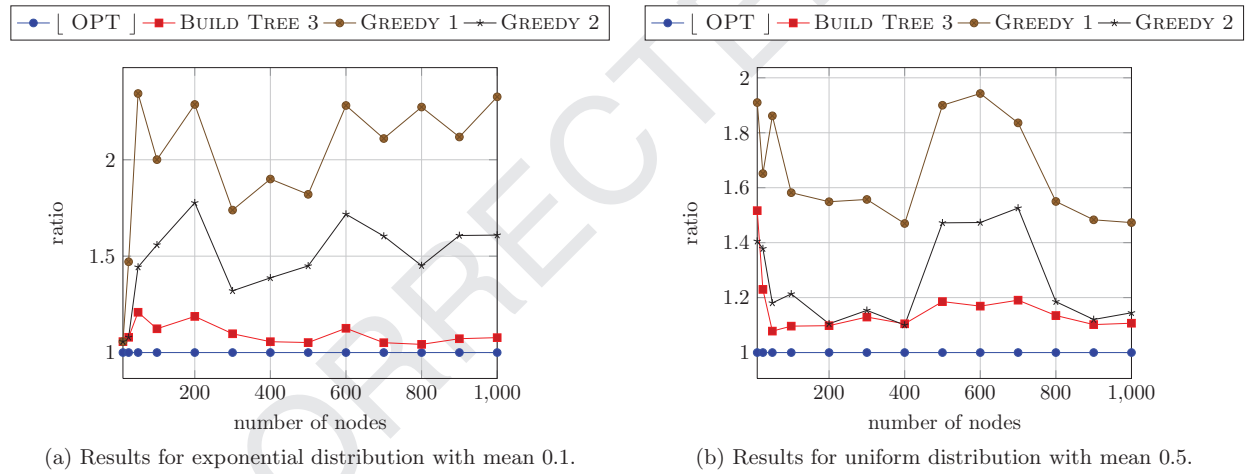


Fig. 8. Ratio between the cost of Algorithm 3 against competitive algorithms.

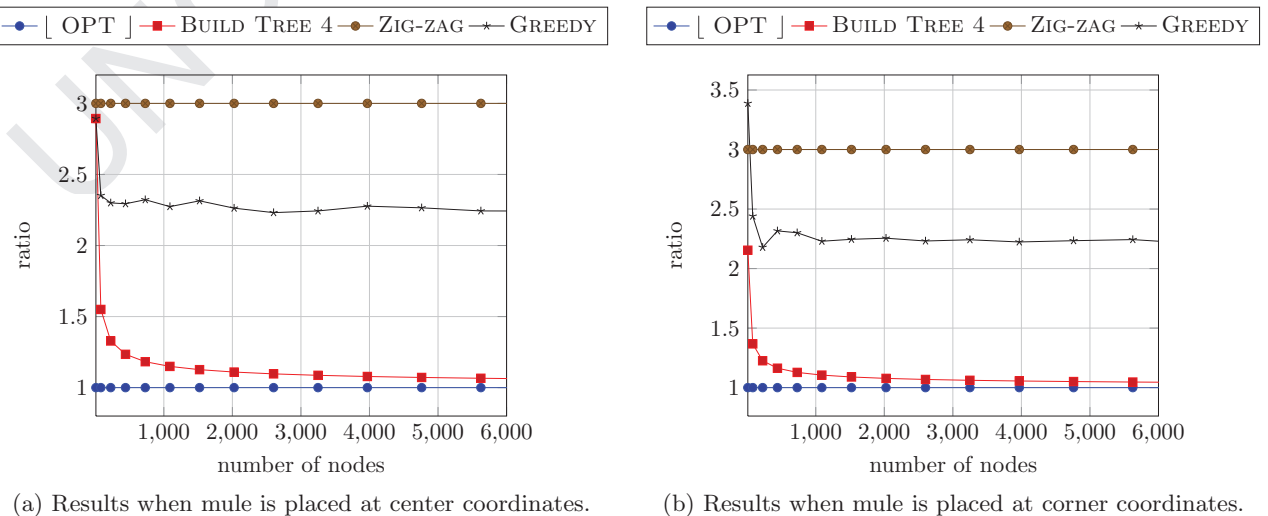


Fig. 9. Ratio between the cost of Algorithm 4 against competitive algorithms.

633 **7. Conclusions and future work**

634 This work address the topological design of data mules
635 usage for improving resiliency to data loss caused by net-
636 work disasters. Our solutions involve constructing the op-
637 timal data gathering tree and finding the optimal node
638 placement under multiple network structures, such as gen-
639 eral graph, linear and grids. We use the topology charac-
640 teristic to produce multiple approximation algorithms and
641 validate their performance using simulations.

642 This paper emphasizes on the problem of minimizing
643 the sum of distances the mule travels. Instead, we can ex-
644 plore minimizing the maximum traveling distance or time
645 of the mule. Formally, we can define the (1, 1)-Mule prob-
646 lem as follows: $\min_{T,m} \max_{v \in V} |t(m, \delta(v, T))|$. Interestingly,
647 the given objective completely changes the complexity and
648 algorithms of the problem. For example, while the opti-
649 mal topology in the min-sum version was a star, in the
650 min-max version it is a line that traverse all the nodes in
651 the graph. In addition, we can find the optimal solution by
652 carefully selecting the location of the mule, which means
653 the problem is not NP-hard. It will be interesting to fur-
654 ther explore this objective under different network criteria
655 and to compare the solutions to the ones proposed in this
656 paper.

657 Although we study the problem under varying net-
658 work structures, we did not measured the impact of ge-
659 ographical surrounding or diverse hardware on the sensor
660 durability. In the future, we intend to add varying sensor
661 resistances to our model by applying different failure prob-
662 ability function per sensor, which can help in modeling un-
663 even and rough geographic conditions. Another interesting
664 variation can explore the impact of transmission radius on
665 the mule tour. That is, given some minimal transmission
666 radius for the sensor, instead of visiting the actual sen-
667 sor placement, the mule only visits the sensor surround-
668 ing. This work can reuse existing results [8,22] to extend
669 the algorithms proposed here.

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