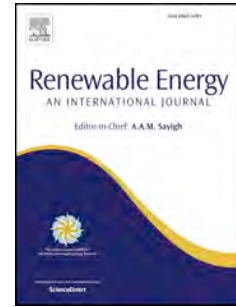


Accepted Manuscript

The role of glacier retreat for Swiss hydropower production

Bettina Schaefli, Pedro Manso, Mauro Fischer, Matthias Huss, Daniel Farinotti



PII: S0960-1481(18)30901-7

DOI: [10.1016/j.renene.2018.07.104](https://doi.org/10.1016/j.renene.2018.07.104)

Reference: RENE 10378

To appear in: *Renewable Energy*

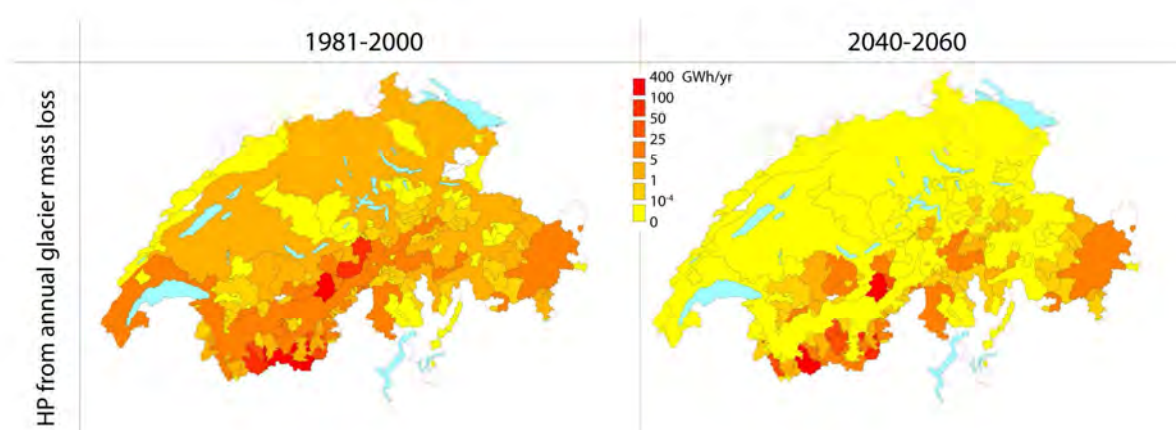
Received Date: 1 February 2018

Revised Date: 12 July 2018

Accepted Date: 21 July 2018

Please cite this article as: Schaefli B, Manso P, Fischer M, Huss M, Farinotti D, The role of glacier retreat for Swiss hydropower production, *Renewable Energy* (2018), doi: 10.1016/j.renene.2018.07.104.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



The role of glacier retreat for Swiss hydropower production

(running title: Glacier retreat and Swiss hydropower)

Bettina Schaepli^{1,2}, Pedro Manso², Mauro Fischer^{3,4}, Matthias Huss^{3,5} Daniel Farinotti^{5,6}

1: Institute of Earth Surface Dynamics, University of Lausanne, Switzerland

2: Laboratory of Hydraulic Constructions, School of Architecture, Civil and Environmental Engineering (ENAC), Ecole Polytechnique Fédérale de Lausanne (EPFL), Switzerland

3: Department of Geosciences, University of Fribourg, Switzerland

4: Department of Geography, University of Zürich, Switzerland

5: Laboratory of Hydraulics, Hydrology and Glaciology, ETH Zurich, Switzerland

6: Swiss Federal Institute for Forest, Snow and Landscape Research (WSL), Birmensdorf, Switzerland

Corresponding author: Bettina Schaepli, bettina.schaepli@unil.ch

UNIL, FGSE-IDYST

Bâtiment Géopolis

1015 Lausanne

Switzerland

21 **Abstract**

22 High elevation or high latitude hydropower production (HP) strongly relies on water
23 resources that are influenced by glacier melt and are thus highly sensitive to climate warming.
24 Despite of the wide-spread glacier retreat since the development of HP infrastructure in the
25 20th century, little quantitative information is available about the role of glacier mass loss for
26 HP. In this paper, we provide the first regional quantification for the share of Alpine
27 hydropower production that directly relies on the waters released by glacier mass loss, i.e. on
28 the depletion of long-term ice storage that cannot be replenished by precipitation in the
29 coming decades. Based on the case of Switzerland (which produces over 50% of its electricity
30 from hydropower), we show that since 1980, 3.0% to 4.0% (1.0 to 1.4 TWh yr⁻¹) of the
31 country-scale hydropower production was directly provided by the net glacier mass loss and
32 that this share is likely to reduce substantially by 2040-2060. For the period 2070-2090, a
33 production reduction of about 1.0 TWh yr⁻¹ is anticipated. The highlighted strong regional
34 differences, both in terms of HP share from glacier mass loss and in terms of timing of
35 production decline, emphasize the need for similar analyses in other Alpine or high latitude
36 regions.

37

38 Key words: hydrology, glacier mass balance, hydropower, climate change, Alps

39

40 1 Introduction

41 Hydropower provides around 16% of the world's total electricity [1]. In the European Union,
42 hydropower represented 11% of the gross electricity consumption of the 28 member states in
43 2016 [2] and high shares of hydropower production (HP) can in particular be found in high
44 latitude and high elevation regions [3], where part of HP relies on water resources that are
45 temporarily stored in the form of snow and ice, and are thus particularly vulnerable to climate
46 warming [4].

47 Despite the well-known inherent variability of water resources availability, fundamental
48 energy market models [5] but also large-scale hydropower assessments usually only account
49 for selected baseline water years [6]. The notable exception are recent continental to global
50 scale studies of HP potential [1] or of climate change impact on HP [7, 8]. While giving the
51 broader picture at continental scales, these studies cannot yet adequately resolve the natural
52 variability of water resources at the HP catchment scale or in mountainous regions in general
53 [9]. Accordingly, the impact of climate warming on HP in snow- and glacier influenced
54 regions still essentially relies on individual case studies [namely from the Alps and US, see,
55 9], with some regional analyses of the effect of climate warming on snow- and glacier
56 influenced HP available for the US [10, 11]. Glacier retreat has in this context long been
57 recognized as potential threat to HP around the world [12-14]. Studies quantifying the actual
58 impact of glacier mass loss on HP are, however, extremely rare. Existing impact studies in the
59 Alpine region focus on the quantification of water resources regime changes [15, 16] rather
60 than on quantifying the impact of actual glacier mass loss on hydropower production, as for
61 example in the work of Vergara et al. [17] for the tropical Andes. They showed that glacier
62 retreat might reduce HP for the Cañon del Pato HP plant on the Rio Santa by 570 GWh yr⁻¹ if
63 the glacier contribution disappears.

64 This paper provides, to our knowledge, the first quantification of how HP in an Alpine
65 country, Switzerland, depends on annual glacier mass loss, in the past as well as in the future.
66 The relevance of this study is twofold: i) Swiss HP helps balancing the regional electricity
67 exchanges between France, Germany, Italy and Austria (voltage regulation), guarantees
68 power and frequency modulation (primary, secondary and tertiary controls) and allows for
69 black-start of the regional grid [18]; ii) Swiss HP can be seen as a reference case for all other
70 Alpine HP regions in Austria, Italy and France namely. The methodology used in this paper is
71 also transferable to northern countries with glacier-influenced HP.

72 Hydropower represents around 55% of the Swiss electricity production, which in 2015 was
73 61.6 TWh [19]. All large Swiss rivers and many smaller rivers used for HP are influenced by
74 melt water from seasonal snow cover and glaciers. To understand the role of glacier retreat for
75 HP, the key variable is the amount of water that originated from annual glacier mass loss.
76 This number is usually unknown but can be estimated based on observations of the key water
77 balance components, i.e. precipitation and streamflow (Section 3). The recent work of Fischer
78 et al. [20] – who estimated annual mass changes for all glaciers in Switzerland during 1980-
79 2010 – represents a unique opportunity to obtain insights into the role of glaciers for the Swiss
80 water resources.

81 In this paper, we combine the above estimates with Swiss-wide data for water resources [21],
82 glacier runoff simulations [22] and the spatial database on Swiss hydropower plants
83 developed by Balmer [23] to quantify the role of glaciers for HP in Switzerland. By doing so,
84 we provide the first quantitative assessment for the share of HP that can be attributed to

85 annual glacier mass loss and how this share might evolve in the future owing to changes in
86 water availability from glacier melt. The remainder is organized as follows: we first give an
87 overview over the Swiss HP system (Section 2) and the used data sets (Section 3), before
88 presenting the methods to quantify HP from glacier water resources (Section 4) and the
89 obtained results (Section 5). A detailed discussion of the results (Section 6) and conclusions
90 (Section 7) complete this document.

91 **2 Swiss water resources and HP**

92 The average available water for Switzerland (total water volume divided by area) over the 20th
93 century was around 1300 mm yr⁻¹ [24]. Recent estimates of glacier mass change for all Swiss
94 glaciers indicate a net change between 1980 and 2010 of -620 mm yr⁻¹ (relative to the glacier
95 area in 2010, i.e. 944 km²) [20]. This corresponds to -14 mm yr⁻¹ when averaged over the area
96 of Switzerland. This negative glacier mass change represents a water input for hydropower
97 production that does not originate from this year's rainfall but from water accumulated
98 decades to centuries ago.

99 **2.1 Hydrological regimes**

100 The temporal distribution of streamflow, or the streamflow regime, is key to understand the
101 interplay of glacier melt water and HP. The streamflow regimes of Switzerland (Figure 1) are
102 of two fundamentally different types [25, 26]: (i) snow- or glacier-dominated regimes that
103 show a pronounced low flow during winter (due to the freezing conditions) and much higher
104 flows during the melt months (April-August), and (ii) rainfall-dominated regimes, where
105 streamflow follows the seasonality of rainfall and of evapotranspiration (resulting in typical
106 summer low flows). An overview of the spatial distribution of streamflow amounts is given in
107 the Supplementary Material (Figure S1).

108 With anticipated atmospheric warming over the coming decades, major changes in the
109 streamflow regime of snow- and glacier-fed drainage basins are expected [4]. As glaciers
110 retreat, they release water from long-term storage, contributing thereby to a transient increase
111 in annual streamflow for a few decades [27]. The timing of maximum glacier melt volumes
112 depends on the characteristics (elevation range, ice volume) of the catchment and the rate of
113 climate change [28]. In mountainous catchments, significant shifts in the hydrological regime
114 are expected with increasing streamflow in spring and early summer and declining streamflow
115 in July and August [29-31]. These changes result from an earlier onset of the snow melting
116 season and from shrinking glacier areas.

117 **2.2 Swiss hydropower infrastructure**

118 The Swiss HP infrastructure in the year 2016 was composed of 662 powerhouses [32] and 195
119 large dams that are under the direct supervision of the Swiss federal government [33]. The
120 average annual HP was 35.7 TWh yr⁻¹ for the period 1980-2016 (Table 1). The spatial
121 distribution of the HP schemes is conditioned by the discussed specificities of the Swiss
122 hydrological regimes (Figure 2): the southern and central mountain regions host most of the

123 storage HP schemes; the large run-of-the-river (RoR) schemes are located on the lowland
124 rivers.

125 The Swiss HP infrastructure can be divided into three main groups [34] (Figure 2). *Group 1*
126 includes **large storage schemes** that shift large amounts of melt water inflows from summer
127 to winter to buffer winter droughts. The group mostly consists of high-head (>100 m) storage
128 schemes with one or several reservoirs (e.g. the well-known Grande Dixence with its storage
129 reservoir of $401 \cdot 10^6 \text{m}^3$). These reservoirs have typically natural catchment areas of between
130 50 and 150 km^2 and waterways draining water from additional, distant catchments. Most
131 group 1 schemes show periods in which their reservoir is full and during which they are
132 operated as run-of-the-river schemes.

133 *Group 2* includes **low-head** (a few tens of meters) **RoR schemes with large catchments**
134 (>2500 km^2) with a typical installed capacity between 5 MW and 100 MW, built on large
135 lowland rivers close to urban and industrial areas. The hydrologic regime of these rivers is
136 strongly influenced by artificial or natural lakes and water management upstream.

137 *Group 3* includes both low-head and high-head **RoR schemes with catchments < 2500 km^2** .
138 Contrary to low-head schemes, **high-head RoR schemes** (with heads from 100 to 1100 m)
139 by-pass a given river-reach and usually have installed capacity below 30 MW. Schemes of
140 group 2 and 3 usually have marginal storage capacity.

141 A special case are pumped-storage HP schemes that operate between one or two in-stream
142 reservoirs (semi-open or open-loop pumped-storage). The number of such schemes might
143 increase in the near future as a means for grid regulation [35]. They are not discussed
144 separately here. It is noteworthy that both Group 1 and 3 include schemes that transfer water
145 across the natural borders of the major European rivers (e.g. the Gries HP scheme from the
146 Rhone to the Po basin, the Hongrin scheme from Rhine to Rhone or the Totensee scheme
147 from Rhone to Rhine).

148 **2.3 Climate change impact projections on HP**

149 Numerous studies quantified the effect of climate change on Swiss HP with a so-called
150 climate change impact modeling chain [9]. These studies have a strong focus on high Alpine
151 HP [28, 30, 36, 37]. Only few studies exist on HP in lowland rivers [for an example, see, 26,
152 38]. While the earliest studies [16, 29] made very rough assumptions about the evolution of
153 glacierized surfaces, recent work highlighted the importance of more detailed
154 parameterizations of glacier surface evolution [39] and of reliable estimates of initial ice
155 volumes [40].

156 The latest comprehensive analysis of climate change impact on Swiss HP was elaborated in
157 the context of two research projects, CCHydro funded by the Swiss Federal Office for the
158 Environment [41] and CCWasserkraft [42] funded by Swisselectric research and the Federal
159 Office for Energy. In agreement with all previous results, these analyses of 50 representative
160 Swiss catchments for the periods 2021-2050 and 2070-2099 concluded that the ongoing
161 warming in the Alps will significantly decrease both the snow cover duration at all altitudes
162 and the maximum annual snow accumulation at high elevations. As a result, Swiss glaciers
163 will strongly reduce in surface by 2100. The combined effect of a reduced snowfall-to-rainfall

164 ratio and of warmer spring temperatures will shift the annual maximum monthly streamflow
165 of snow-dominated rivers to earlier periods in the year (up to several weeks). At the same
166 time the snowmelt induced high flows might be more concentrated in time. The concomitant
167 glacier melt will result in a temporary increase of annual streamflow but ultimately lead to
168 reduced annual flows in glacier-influenced catchments, with reduced flows in late summer
169 [43].

170 In general, late summer streamflow will be reduced in all snow-influenced catchments due to
171 the earlier melting season. For non-glacierized catchments, the annual flow might slightly
172 decrease by 2100 due to a warming-related increase of evapotranspiration and a potential
173 (small) decrease of precipitation. For a comprehensive overview of projected changes, see the
174 work of Speich et al. [44].

175 The amplitude of changes remains, however, highly uncertain due to uncertainties in both
176 modeling and initial ice volume [45]. An assessment of the volumes of all Swiss glaciers with
177 ground-penetrating radar [46] is ongoing in the context of research for the Swiss energy
178 transition [47].

179 **3 Data sets**

180 The detailed analysis of the role of glaciers for HP is based on five data sets: (i) a GIS
181 database of the Swiss HP infrastructure [HYDROGIS, 23], (ii) the Swiss hydropower
182 production statistics [48], (iii) monthly natural streamflows of the Swiss river network [49],
183 (iv) estimated glacier mass changes between 1980 and 2010 [20], and (v) simulated past and
184 future glacier runoff for all individual Swiss glaciers [22].

185 **3.1 Hydropower infrastructure: HYDROGIS**

186 The GIS data base developed by Balmer [23] includes 401 powerhouses corresponding to a
187 total installed power of 14.5 GW out of the total of 15.0 GW installed in 2005. In 2016, the
188 total installed power was 16.2 GW.. During the same time, the total expected production
189 increased from 38.7 GWh to 39.9 GWh [48].

190 In HYDROGIS, the powerhouses are characterized by their production type (RoR, storage or
191 pump-storage) and for most of them, the installed power and the turbine design discharge are
192 known. Information on the feeding catchments is, however, not available at the powerhouse
193 level since the 401 powerhouses are grouped into 284 HP schemes, which is the reference
194 level for HP catchment information. The database includes furthermore 214 HP reservoirs,
195 119 dams and 787 water intakes.

196 For the present analysis, the most important added value of HYDROGIS is the connection
197 between HP schemes and catchments, which was compiled by Balmer [23] via a detailed
198 analysis of adduction tunnels and of company reports of all schemes. Detailed checks showed
199 that the database is reliable in terms of connections between catchments and HP schemes,
200 which is the basis for the water resources analysis herein.

201 **3.2 Hydropower production statistics**

202 Hydropower production statistics are available from the yearly electricity statistics of
203 Switzerland [19] aggregated to six large regions: (i) Ticino, (ii) Grisons, (iii) Valais, (iv)
204 Northern Alps, (v) Jura, (vi) Plateau (for the correspondence of these regions to main Swiss
205 river catchments see Table S1). Besides actual annual production, the statistics contain annual
206 production potentials, which have to be reported by HP companies for water tax purposes.
207 The production potential is used here as an estimate of the total annual amount of water that
208 was available for production in the catchments (whether used or not).

209 **3.3 Natural streamflow of Swiss rivers**

210 The Swiss Federal Office for the Environment (FOEN) [50] provides a Swiss-wide raster data
211 set (500 m x 500 m) with simulated monthly natural streamflows. This data has been shown to
212 give relatively unbiased estimates of the monthly flows if aggregated to areas between 10 km²
213 – 1000 km² (beyond this scale, large lakes might bias the results) [21]. We use here the latest
214 version of the data, made available by Zappa et al. [49]. It covers the period 1981-2000,
215 which thus serves as reference period for all presented analyses.

216 **3.4 Mass changes of Swiss glaciers**

217 Geodetic mass changes between 1980 and 2010 are available for all glacier-covered HP
218 catchments from Fischer et al. (2015). Corresponding glacier outlines are taken from the
219 Swiss Glacier Inventory SGI2010[51].

220 Between 1980 and 2010, the estimated average geodetic mass balance for the entire Swiss
221 Alps was -620 mm water equivalent (w.e.) yr⁻¹, with remarkable regional differences (Table
222 4).

223 **3.5 Glacier runoff**

224 For each individual glacier, past and future glacier mass balance, surface geometry change
225 and retreat, and monthly runoff is available from the Global Glacier Evolution Model
226 (GloGEM, Huss and Hock, 2015). The model has been forced with ERA-interim climate re-
227 analysis data [52] for the past and with 14 Global Circulation Models and three different CO₂-
228 emission pathways [53] until 2100. For the purpose of the present paper, we define glacier
229 runoff as all water exiting the glacier during one month (for details, see the Supplementary
230 Material).

231 The future glacier runoff simulations from GloGEM show the expected decrease of glacier
232 runoff in the period 2040-2060 for catchments with low glacier coverage. For the period 2070
233 – 2090, the simulations show a consistent decrease of glacier runoff for all HP catchments
234 [Figure S2 and 43].

235 **4 Methods**

236 We give hereafter details on how HP is estimated at different scales based on discharge data
237 for different time periods, followed by details on the assessment of past and future HP from

238 annual glacier mass loss and of expected HP changes resulting from hydrologic regime
239 modifications.

240 4.1 Estimation of HP production at the scheme scale

241 The HP data available at the powerhouse level includes the expected annual electricity
242 production for average years (based on past operation), E_h^* [Wh yr⁻¹], the total available
243 power, P_h^* [W], and the total design discharge through the turbines, Q_h^* [m³ s⁻¹], where h
244 designates the (power)house level. A first order estimate of the number of powerhouse
245 operating hours, τ_h^* [h yr⁻¹], can thus be obtained as:

$$246 \quad \tau_h^* = \frac{E_h^*}{P_h^*}. \quad (1)$$

247 The asterisk (*) is used to identify design variables and not actual time-varying quantities.
248 Note that the estimate neglects the percentage of time that only part of the powerhouse
249 capacity is used (i.e. not all turbines in use or at partial load).

250 Based on τ_h^* , we estimate a first lumped water-to-electricity conversion factor, called
251 electricity coefficient, γ_h^* [kWh m⁻³] as follows:

$$252 \quad \gamma_h^* = \frac{E_h^*}{Q_h^* \tau_h^* 3600 \cdot 10^3} = \frac{P_h^*}{Q_h^* 3600 \cdot 10^3}. \quad (2)$$

253 The electricity coefficients obtained at the scale of the powerhouses, γ_h^* , can be summed up to
254 the scale of the HP schemes:

$$255 \quad \gamma_j^* = \sum_{\forall h \in j} \gamma_h^*, \quad (3)$$

256 where γ_j^* is the electricity coefficient of scheme j .

257 This electricity coefficient γ_j^* relates indirectly the average annual streamflow available from
258 the catchment Q_j [m³ s⁻¹] to the corresponding electricity production at the scheme level,
259 based on the past average electricity production. However, not all powerhouses within a
260 catchment use the water of the entire catchment and the catchments corresponding to each
261 powerhouse are unknown. We thus assume that the design discharge for each powerhouse,
262 Q_h^* , multiplied by the expected operation hours, τ_h^* , is representative of the amount of annual
263 water that feeds this powerhouse. This assumption is adequate for storage plants, which
264 mostly operate in design conditions. For RoR schemes this assumption leads to
265 underestimating the operation hours and overestimating the electricity coefficient.

266 Accordingly, we propose to use the following weighted scheme-scale electricity coefficient
267 $\widehat{\gamma}_j$:

$$268 \quad \widehat{\gamma}_j = \frac{\sum_{\forall h \in j} E_h^*}{3600 \sum_{\forall h \in j} Q_h^* \tau_h^*} = \frac{\sum_{\forall h \in j} \gamma_h^* Q_h^* \tau_h^*}{\sum_{\forall h \in j} Q_h^* \tau_h^*}, \quad (4)$$

269 Actual discharge time series for different time periods are available at the catchment-scale
 270 only. An estimate of the discharge Q_{hi} feeding each powerhouse h over time period i is
 271 obtained as:

$$272 \quad Q_{hi} = Q_{ij} \frac{Q_h^*}{\sum_{\forall h \in j} Q_h^*}, \quad (5)$$

273 where Q_{ij} [$\text{m}^3 \text{s}^{-1}$] is the average annual discharge available for the scheme catchment j during
 274 time period i .

275 The weighted scheme-scale electricity coefficient is the key to estimate HP from annual
 276 glacier mass loss for past and future time periods at the scheme-scale.

277 4.2 Estimation of HP production at the regional scale

278 The electricity statistics also report production statistics for six regions of Switzerland. To
 279 obtain a regional-scale electricity coefficient $\widehat{\gamma}_r$ [kWh m^{-3}] for region r , the scheme-scale
 280 electricity coefficients are weighted according to their expected total production (a flow-time
 281 scaling is not possible since the concept of operating hours does not make sense at the scheme
 282 scale):

$$283 \quad \widehat{\gamma}_r = \frac{\sum_{\forall j \in r} \widehat{\gamma}_j E_j^*}{\sum_{\forall j \in r} E_j^*}. \quad (6)$$

284 Where $E_j^* = \sum_{\forall h \in j} E_h^*$ is the expected production at the scheme level. This regional-scale
 285 electricity coefficient expresses how much hydropower is produced from a m^3 of water flow
 286 that is originating in that region.

287 4.3 Estimation of HP production at the HP network scale

288 Changing the perspective from the hydropower producing catchment to a hydropower
 289 producing river reach, we can estimate a weighted electricity coefficient $\widehat{\gamma}_x$ at a given
 290 location x :

$$292 \quad \widehat{\gamma}_x = \frac{\sum_{\forall h \text{ upstream } x} E_h^*}{3600 \sum_{\forall h \text{ upstream } x} Q_h^* \tau_h^*} = \frac{\sum_{\forall h \text{ upstream } x} \gamma_h^* Q_h^* \tau_h^*}{\sum_{\forall h \text{ upstream } x} Q_h^* \tau_h^*}. \quad (7)$$

293 While $\widehat{\gamma}_j$ expresses how much hydropower is produced from a m^3 of water flow generated in
 294 a catchment, this point-scale electricity coefficient, $\widehat{\gamma}_x$, expresses how much electricity is
 295 generated per m^3 of water that transits a given location x in a river.

296 The total hydropower production of the entire HP network upstream of location x for period i
 297 is then obtained as:

$$298 \quad E_{ix} = 3600 \cdot 10^3 \sum_{\forall h \text{ upstream } x} \gamma_h^* Q_{ih} \tau_h^* . \quad (8)$$

299 4.4 Analysis of past and future water resources availability from annual glacier mass loss

300 Based on the observed data of Fischer et al. [20, 51], we propose to estimate the share of
 301 water resources that results from glacier mass depletion, ρ_{ij} , at the scale of all HP scheme
 302 catchments as follows:

$$303 \quad \rho_{ij} = \frac{m_{ij}}{q_{ij}}, \quad (9)$$

304 where m_{ij} [mm yr^{-1}] is the average annual glacier mass loss in catchment j over period i , and
 305 q_{ij} [mm yr^{-1}] is the specific discharge of catchment j (discharge in $\text{m}^3 \text{s}^{-1}$ divided by the
 306 catchment area). Given the assumed linear relationship between annual HP, E_{ij} , and available
 307 discharge (Equation 7), ρ_{ij} gives a direct estimate of the share of annual HP that results from
 308 glacier mass depletion.

309 Discharge and ice melt data are available for the following periods: $T_{\text{ref}}=1981 - 2000$,
 310 $T_1=2040-2060$ and $T_2=2070-2090$. In addition, some results are reported for the time period
 311 1980-2010, which is the original reference period for the mass balance data published by
 312 Fischer et al. [20].

313 4.5 Future regime impacts on HP

314 Climate change induced modifications of glacier runoff affect the water availability in terms
 315 of quantity and temporal occurrence (an example of future simulated glacier runoff is given in
 316 Figure 3). We quantify the effect of regime modifications on HP in terms of the absolute
 317 difference of the runoff volume from the glacier-covered area between two time periods for
 318 each month m :

$$319 \quad V_{ij}^g(m) = |Q_{ij}^g(m) - Q_{\text{ref},j}^g(m)| \Delta_m, \quad (10)$$

320 where $V_{ij}^g(m)$ [m^3] is the glacier (g) runoff volume difference for month m , time period i and
 321 catchment j , $Q_{ij}^g(m)$ [$\text{m}^3 \text{s}^{-1}$] is the monthly simulated glacier runoff of time period i , and
 322 $Q_{\text{ref},j}^g(m)$ [$\text{m}^3 \text{s}^{-1}$] is the monthly glacier runoff for the reference period. Δ_m [s] is the duration
 323 of the month. The reference area for V_{ij}^g , Q_{ij}^g , and $Q_{\text{ref},j}^g$ is the glacier-covered area during the

324 reference period. For future periods, in which glaciers have retreated, this area will notably
325 include ice-free surfaces as well.

326 A glacier runoff change indicator δ_{ij} is obtained by (i) normalizing $V_{ij}^g(m)$ to the total
327 catchment discharge $Q_{\text{ref},j}(m)$ for the reference period and (ii) averaging over all months (see
328 Figure S3 for an illustration):

$$329 \quad \delta_{ij} = \sum_{m=1}^{12} \frac{V_{ij}^g(m)}{Q_{\text{ref},j}(m)\Delta_m}. \quad (11)$$

330 δ_{ij} is the relative amount of water that, for period i , is available during a different period of
331 the year than it was for the reference period. Assuming again a linear relationship between
332 annual HP and available discharge, δ_{ij} can also be directly interpreted in terms of HP: it gives
333 the relative amount of annual HP that, in the future, will be available during a different period
334 of the year.

335 5 Results

336 5.1 Swiss HP overview

337 The Swiss HP schemes use the water of an area of 39,740 km², corresponding to 93% of the
338 Swiss territory and including all Swiss glaciers. The large percentage is due to the run-of-river
339 (RoR) HP schemes in series on all large rivers leaving Switzerland (Figure 2 and Table S2).
340 The cumulative sum of all HP scheme catchments amounts to 528,278 km² or roughly 13
341 times the total catchment area (Table 2), which emphasizes the degree of nesting of the HP
342 catchments, in particular in low-lying areas (Plateau region, North of the Alpes region).

343 On average, the water from the 134 headwater catchments is used in 12 HP stages, with 12
344 headwater catchments that are not part of a larger HP network. The water from some Alpine
345 headwater catchments is used in up to 30 HP stages down to the Rhine in Basel.

346 5.2 Natural variability of Swiss HP resources

347 Measured in terms of production potential, the six major HP regions (Table 2) show important
348 differences in interannual variability of available water (Figure 4a), with coefficients of
349 variations (standard deviation divided by the mean) ranging from 0.06 to 0.16. The
350 interannual variability of precipitation (Figure 4b), in contrast, is rather similar across all
351 regions, with a coefficient of variation between 0.10 and 0.11.

352 The lowest variability of the production potential is obtained for the region with the highest
353 glacierization (Valais, Figure 4c) and for the Plateau region (Figure 4e). For the Valais, as for
354 other areas with a high glacierization, the glaciers act as a strong buffer of interannual
355 variability. This notably results in a relatively stable interannual operation of high elevation
356 HP reservoirs across Switzerland [see 26, including an illustration of Swiss reservoir filling
357 curves].

358 The low variability of the production potential of the Plateau region can be explained by the
 359 large number of RoR power plants with large catchments, for which the spatial precipitation
 360 variability averages out. At the Swiss scale, the low variability of the production potential
 361 results from an averaging effect across regions.

362 **5.3 Electricity coefficients from the scheme scale to the regional scale**

363 Swiss HP infrastructure shows high electricity coefficients, with an average electricity
 364 coefficient of the analyzed powerhouses of 0.63 kWh m^{-3} and an average scheme scale
 365 electricity coefficient of 0.59 kWh m^{-3} (Table 3). For individual schemes, the values range
 366 from 0.004 kWh m^{-3} for lowland RoR schemes to up to 3.84 kWh m^{-3} for the single-stage
 367 high-head Cleuson- Dixence HP scheme (Figure 5 and Figure 6).

368 The overall high scheme-scale electricity coefficients are explained by the high electricity
 369 coefficients of headwater catchments, with an average of 0.95 kWh m^{-3} (Figure 5a). Three of
 370 these headwater schemes have both a particularly high head with their powerhouses located at
 371 low elevation in the Rhone valley bottom and high elevation catchments, resulting in
 372 electricity coefficients above 3 kWh m^{-3} (Figure 5a).

373 At the level of the electricity statistics regions, a generally strong elevation trend of electricity
 374 coefficients becomes visible (Figure 5b). The trend is of 1.00 kWh m^{-3} per 1000 m of increase
 375 of the mean catchment elevation. This Swiss-wide trend can be converted into a rough
 376 estimate of the electricity coefficient of HP from glacier melt water: given the mean elevation
 377 of the Swiss glaciers by 2010, 3042 m asl), the general elevation trend of regional electricity
 378 coefficients (Figure 5b) yields an electricity coefficient of $1.00 \text{ kWh m}^{-3} \text{ m}^{-1} \times 3042 \text{ m} -$
 379 $0.940 \text{ kWh m}^{-3} = 2.11 \text{ kWh m}^{-3}$ (see Section 5.5 for further details).

380 **5.4 Electricity coefficients at the HP network scale**

381 The effect of having sequences of HP schemes along rivers can be illustrated based on the two
 382 largest HP networks, the one along the Rhine river and along the Rhone river (Figure 2). The
 383 Rhine HP network has a weighted electricity coefficient of 0.04 kWh m^{-3} , which is twice as
 384 high as the electricity coefficient of the hydropower plant operating on the Rhine at its Swiss
 385 outlet (Birsfelden), which equals $\gamma_h = 0.02 \text{ kWh m}^{-3}$ (Table 3). For the Rhone catchment,
 386 including many high-head hydropower plants and with water being used in up to 9 stages, the
 387 weighted electricity coefficient of the entire HP network equals 0.27 kWh m^{-3} , which is more
 388 than 10 times the electricity coefficient of the powerhouse on the Rhone at its Swiss outlet (at
 389 Chancy-Pougny, 0.02 kWh m^{-3}).

390 **5.5 Estimation of HP production from annual glacier mass loss**

391 The high elevation HP schemes receive a significant amount of water input from annual
 392 glacier mass change, up to 500 mm yr^{-1} (relative to the scheme catchment area) for 1981-
 393 2000, or more than 25 % of the total annual catchment discharge (Figure 7).

394 On a Swiss-wide area-average, the glaciers' net contribution was of $479 \text{ mm w.e. yr}^{-1}$ for
 395 1981-2000 (Table 4). During this period, the average Swiss glacier cover was 1111 km^2
 396 (assuming a linear retreat of the glacier area between 1973 and 2010) [20]. A first rough
 397 estimate of the HP originating from annual glacier mass loss can be obtained with the regional

398 electricity coefficient extrapolated to the mean glacier elevation. The corresponding
 399 production over 1981-2000 equals thus $0.479 \text{ m yr}^{-1} \times 1111 \cdot 10^6 \text{ m}^2 \times 2.11 \text{ kWh m}^{-3} = 1123$
 400 GWh yr^{-1} , or 3.2 % of the Swiss-wide annual production over the same period, which was
 401 $34,738 \text{ GWh yr}^{-1}$ [48]. For the period 1980 – 2010, which had a stronger annual glacier mass
 402 loss (Table 4), this ratio equals 4.0 % (Table 5).

403 This estimation of HP ratios from glacier mass loss relies on two numbers: the average annual
 404 glacier mass loss and the electricity coefficient estimated from design data (expected annual
 405 production, production time and turbine flow). The annual glacier mass loss has an
 406 uncertainty of $\pm 0.07 \text{ m yr}^{-1}$ [20]. For the interpolated area of individual glaciers between
 407 observation dates, an error of $\pm 5\%$ can be assumed as a conservative estimate. The 95%
 408 confidence interval of the electricity coefficient interpolated at the Swiss-scale for glaciers
 409 (from linear regression analysis) is $2.11 \pm 0.68 \text{ kWh m}^{-3}$. Inserting these uncertainties into
 410 the above regional estimate of HP from glacier-covered areas results in estimated HP from
 411 annual glacier mass loss of between 1.8% and 5.2% for the period 1980 to 2000 and 2.3% to
 412 6.2% for the period 1981 to 2010.

413 HP calculations based on scheme-scale melt ratios (Figure 8a) gives very similar estimates:
 414 the production ratio ρ_{ij} averaged over all glacier schemes, weighted by the expected scheme
 415 production, equals 3.2% for the period 1981-2000 and 4.0 % for 1980-2010 (Table 5). These
 416 Swiss-wide averages of HP production ratios from glacier mass loss hide significant regional
 417 differences, with estimates for the past periods ranging from between 6.4 and 7.8 % for the
 418 Rhone river to between 1.8% and 2.2% for the Rhine river (Table 5).

419 For the future, the GloGEM simulations predict that 55% and 79% of the 2010 glacier volume
 420 will be lost by 2040-2060 and 2070-2090, respectively (Table S3). The strong reduction from
 421 2010 to 2040-2060 is coherent with the observed loss of 37% (22.5 km^3) over the period
 422 1980-2010 (the estimated glacier volume for 2010 was of 59.9 km^3 ; [20]. The corresponding
 423 simulated annual glacier mass loss rates at the scheme-scale result in an average ρ_{ij} of 2.5%
 424 for 2040-2060 (Figure 8c) and of 1.2% for 2070-2090 (average over glacier schemes, (Table
 425 5).

426 The maps of ρ_{ij} (Figure 8c,d) reveal that, in the past, annual glacier mass loss was an
 427 important source of water for HP at larger scales and not only in the headwater catchments.
 428 Given the strong glacier retreat, the input from annual glacier mass loss is, however,
 429 significantly reduced in future simulations. For the Rhine river, input from annual glacier
 430 mass loss is likely to become insignificant in the future (Table 5).

431 For the Rhone river catchment, the simulations suggest that the decrease in HP from annual
 432 glacier mass loss might only occur after the period 2040-2060. The contributions, however,
 433 will remain significant for this century, with 3.8% estimated for the period 2070-2090 (Table
 434 5).

435 5.6 Impacts of glacier runoff regime changes

436 Future runoff from glacier catchments is, on average, expected to shift to earlier periods in the
 437 year, especially for catchments with important glacier volume loss. The simulated glacier
 438 runoff shifts, as summarized by the indicator δ_{ij} , correspond mostly to less than 10% of the

439 scheme-scale discharges. This is true for both periods 2040-2060 and 2070-2090 (Figure 9).
440 The notable exception are a few run-of-river schemes that are located at elevations higher
441 than 1400 m asl.. Here, shifts go up to 35% for the period 2040-2060. Given the strong
442 simulated glacier retreat up to then, the regimes shift only slightly beyond this period.

443 **6 Discussion**

444 The method proposed in this paper to analyze the impact of glacier retreat on HP brings
445 together a number of data sets that have not been analyzed jointly so far. In particular, it
446 combines recent model results on glacier mass evolution, estimates for glacier runoff and
447 catchment-scale river discharge, as well as statistics and spatial information on hydropower
448 infrastructure. The contribution of annual glacier mass change to HP is estimated in two
449 different ways: either (a) by estimating and averaging ratios of annual glacier mass loss and
450 total discharge for all HP scheme catchments, or (b) from an elevation-dependent electricity
451 production factor and the mean glacier elevation. Whilst the first method relies on discharge
452 estimates that are based on simulations and observations, the second only relies on observed
453 glacier mass balance data and interpolated electricity coefficients.

454 Both methods give similar results for the share of HP resulting from the depletion of glacier
455 mass, with Swiss-wide average estimates ranging from 3.1% to 4.0 % for the observation
456 periods. The relevance of this result is twofold: i) In terms of transferability of the proposed
457 method, the obtained results suggest that similar analyses could be completed in any region
458 that has detailed glacier mass balance data and spatial information on electricity coefficients.
459 ii) For Switzerland, the estimated ratios give a robust estimate of the amount of Swiss-wide
460 HP that originated from annual glacier mass loss in the recent past. It has to be noted that
461 these numbers are considerably higher than the simple average share (not weighted by
462 production) of water originating from glacier mass depletion in the various scheme
463 catchments. The latter, in fact, amounts to only between 1.3% and 1.7% (Table 4). This
464 almost doubling effect between the average ratio of water availability from glacier mass
465 depletion and the corresponding average ratio of HP is a direct consequence of using the
466 glacier melt water several times along the HP network and of the high electricity coefficients
467 associated with glacier water resources.

468 The simulations suggest that, on a Swiss-wide basis, HP might receive a significantly lower
469 share of water from annual glacier mass loss already in the near future. Compared to 1981-
470 2000, the future simulations predict a reduction of the HP ratios from 3.1% to 2.5% for the
471 period 2040-2060 and to 1.2% for 2070-2090. This corresponds to a production reduction of
472 around 0.56 TWh yr⁻¹ for 2040-2060 and of around 1.00 TWh yr⁻¹ for 2070-2090.

473 This share of HP from glacier mass depletion has to be put into relation to other changes
474 expected for HP in the near future. HP is namely expected to decrease by 1.4 TWh yr⁻¹ due to
475 the implementation of the Swiss water protection act during concession renewals [47, 54].
476 This is in contrast to the Swiss Energy Strategy 2050, that plans a net HP increase (beyond
477 water protection effects) by at least 1.5 TWh yr⁻¹. According to the same strategy, this should
478 be obtained by building new small hydropower plants (+1.3 TWh yr⁻¹) and from the extension

479 and adaptation of existing large plants ($+0.9 \text{ TWh yr}^{-1}$) [34, 47], which is challenging given
480 that all major Swiss river systems are already exploited [34].

481 The reduction of annual melt water might well be the dominant warming-induced impact for
482 many schemes. The presented analysis shows, however, that for most schemes, the future
483 temporal pattern of glacier melt water inflow will result in a redistribution of less than 10% of
484 the total available water. In other terms, the annual HP pattern will not fundamentally change
485 for those schemes. It must be noted, however, that this does not apply to RoR schemes that
486 have catchments currently exhibiting an important degree of glacier coverage. Such schemes
487 might in fact experience a profound modification of their water inflow regime already in the
488 near future.

489 Given the individual character of HP schemes, a more detailed analysis of the temporal
490 redistribution of melt water flows is challenging and would require a detailed analysis at the
491 level of water intakes. Some water intakes might e.g. lose water during future melt periods if
492 the melt water flow is more concentrated on shorter periods and thus exceeds the intake
493 capacity (resulting in a potential increase of overspill duration and magnitude). This might in
494 particular affect glacier-influenced storage HP schemes that usually have a high number of
495 water intakes [e.g. the Grande Dixence scheme has 100 km of tunnels to route the water of 75
496 water intakes to its main reservoir, 55]. The Swiss-wide database on water intakes [which
497 includes 1406 HPP intakes, 56, p. 22] is, however, known to be incomplete and contains
498 essentially the intakes that are directly relevant for residual flows.

499 A third implication of climate warming for glacier-influenced HP is a potential modification
500 of the year-to-year variability of available water. This modification is anticipated in many
501 climate change impact studies in high Alpine environments [29]. With the average monthly
502 flow data used in this study, no further assessment of this important aspect is possible.
503 However, the analyses presented for the annual hydropower production potential (quantifying
504 the production potential) and for the annual precipitation variability at a regional scale shows
505 that there is no clear link between the today's amounts of glacier cover and the annual
506 variability in the production potential. This result is unexpected since HP regions with a high
507 glacier cover were previously thought to show a relatively low year-to-year variability of
508 hydropower production potential [26]. Understanding in detail how the HP network structure
509 buffers current year-to-year precipitation variability and how this might evolve in the future is
510 left for future research.

511

512 **7 Conclusion**

513 Alpine hydropower production (HP) is benefitting from glacier water resources that have been
514 accumulated decades and centuries ago, and that cannot be replenished in the near future. This
515 first quantification of the HP share originating from annual glacier mass loss at the scale of an
516 Alpine region reveals that 3.1% to 4% of the total annual Swiss HP presently originates from
517 this transient water resource. The share will rapidly decline for all Swiss regions, resulting in
518 a reduction of the present-day production levels of about 1.0 TWh yr^{-1} by mid-century. This

519 figure is comparable to the 1.4 TWh yr⁻¹ production loss that can be expected from the
520 implementation of the new Swiss water protection act [47, 54]. An exception is given for the
521 Rhone river catchment, in which the relatively large amount of glaciers will continue to
522 provide increased amounts of melt water at least until the late 2040s.

523 Despite of observational uncertainties, we have shown that the presented estimates are robust.
524 We anticipate our results to have direct implications for national HP infrastructure projects,
525 such as storage increase at high elevation sites or multipurpose projects combining HP and the
526 regulation of interannual hydrological variability.

527 Beyond the scale of the analyzed case study, the relevance of our results can be summarized
528 as follows: First of all, the results for Switzerland show that the impact of glacier retreat on
529 HP can be reliably estimated from regional electricity coefficient trends, which here was
530 shown to be of around 1.0 kWh m⁻³ per 1000 m elevation increase. Second, the results for
531 Switzerland underline that significant annual HP reduction might result from glacier retreat
532 already in the near future; the highlighted large regional differences call for more detailed
533 studies in Europe and elsewhere.

534 **Acknowledgements**

535 The first two authors acknowledge the funding by the Swiss Competence Centre for Energy
536 Research – Supply of Electricity (SCCER-SoE, Switzerland). The work of the last author was
537 funded by the Swiss National Science Foundation (SNSF Ambizione Energy grant number
538 154290). The HydroGIS database was made available by M. Balmer. The meteorological data
539 (ANETZ stations) is available from MeteoSwiss (<https://gate.meteoswiss.ch/idaweb>), the
540 topographical data by SwissTopo (no free distribution). We also would like to thank M.
541 Zappa (WSL) for the monthly discharge data set [49], which corresponds to an improved
542 version of the dataset that is currently published by the Swiss Federal Office for the
543 environment [57] here: www.bafu.admin.ch/mq-gwn-ch-e (accessed on 9 July 2018).

544

545 **References**

- 546 [1] Gernaat D, Bogaart PW, van Vuuren DP, Biemans H, Niessink R. High-
547 resolution assessment of global technical and economic hydropower potential.
548 Nature Energy. 2017;2.
- 549 [2] Eurostat. Renewable energy statistics, Figure 5: Gross electricity generation
550 from renewable sources, EU-28, 1990-2016, available at:
551 [http://ec.europa.eu/eurostat/statistics-](http://ec.europa.eu/eurostat/statistics-explained/index.php/Renewable_energy_statistics#30.25_of_electricity_generated_come_from_renewable_sources)
552 [explained/index.php/Renewable energy statistics#30.25 of electricity gener-](http://ec.europa.eu/eurostat/statistics-explained/index.php/Renewable_energy_statistics#30.25_of_electricity_generated_come_from_renewable_sources)
553 [ated come from renewable sources](http://ec.europa.eu/eurostat/statistics-explained/index.php/Renewable_energy_statistics#30.25_of_electricity_generated_come_from_renewable_sources) (accessed on 11 July 2018). 2018.
- 554 [3] Lehner B, Czisch G, Vassolo S. The impact of global change on the
555 hydropower potential of Europe: a model-based analysis. Energy Policy.
556 2005;33:839-55.
- 557 [4] Barnett TP, Adam JC, Lettenmaier DP. Potential impacts of a warming
558 climate on water availability in snow-dominated regions. Nature.
559 2005;438:303-9.
- 560 [5] Bauermann K, Spiecker S, Weber C. Individual decisions and system
561 development – Integrating modelling approaches for the heating market. Appl
562 Energy. 2014;116:149-58.
- 563 [6] Voisin N, Kintner-Meyer M, Skaggs R, Nguyen T, Wu D, Dirks J, et al.
564 Vulnerability of the US western electric grid to hydro-climatological conditions:
565 How bad can it get? Energy. 2016;115:1-12.
- 566 [7] Hamududu B, Killingtveit A. Assessing Climate Change Impacts on Global
567 Hydropower. Energies. 2012;5:305-22.
- 568 [8] Kao SC, Sale MJ, Ashfaq M, Martinez RU, Kaiser DP, Wei YX, et al. Projecting
569 changes in annual hydropower generation using regional runoff data: An
570 assessment of the United States federal hydropower plants. Energy.
571 2015;80:239-50.
- 572 [9] Schaefli B. Projecting hydropower production under future climates: a guide
573 for decision-makers and modelers to interpret and design climate change
574 impact assessments. WIREs Water. 2015;2:271–89.
- 575 [10] Christensen NS, Lettenmaier DP. A multimodel ensemble approach to
576 assessment of climate change impacts on the hydrology and water resources of
577 the Colorado River Basin. Hydrology and Earth System Sciences. 2007;11:1417-
578 34.
- 579 [11] Madani K, Lund JR. Estimated impacts of climate warming on California's
580 high-elevation hydropower. Climatic Change. 2010;102:521-38.
- 581 [12] Bolch T, Kulkarni A, Kääb A, Huggel C, Paul F, Cogley JG, et al. The State and
582 Fate of Himalayan Glaciers. Science. 2012;336:310-4.
- 583 [13] Bradley RS, Vuille M, Diaz HF, Vergara W. Threats to Water Supplies in the
584 Tropical Andes. Science. 2006;312:1755-6.

- 585 [14] Orlove B. GLACIER RETREAT Reviewing the Limits of Human Adaptation to
586 Climate Change. *Environment*. 2009;51:22-34.
- 587 [15] Gaudard L, Romerio F, Dalla Valle F, Gorret R, Maran S, Ravazzani G, et al.
588 Climate change impacts on hydropower in the Swiss and Italian Alps. *Sci Total*
589 *Environ*. 2014;493:1211-21.
- 590 [16] Schaefli B, Hingray B, Musy A. Climate change and hydropower production
591 in the Swiss Alps: quantification of potential impacts and related modelling
592 uncertainties. *Hydrology and Earth System Sciences*. 2007;11:1191-205.
- 593 [17] Vergara W, Deeb A, Valencia A, Bradley R, Francou B, Zarzar A, et al.
594 Economic impacts of rapid glacier retreat in the Andes. *EOS, Transactions*
595 *American Geophysical Union*. 2007;88:261-4.
- 596 [18] Beck M, Scherer M. SwissGrid - Overview of ancillary services, available at
597 [https://www.swissgrid.ch/dam/swissgrid/customers/topics/ancillary-](https://www.swissgrid.ch/dam/swissgrid/customers/topics/ancillary-services/as-documents/D100412-AS-concept-V1R0-en.pdf)
598 [services/as-documents/D100412-AS-concept-V1R0-en.pdf](https://www.swissgrid.ch/dam/swissgrid/customers/topics/ancillary-services/as-documents/D100412-AS-concept-V1R0-en.pdf), last accessed 09
599 July 2018. Frick, Switzerland 2010. p. 6.
- 600 [19] Swiss Federal Office for Energy. Swiss Electricity statistics 2016. Annual
601 report available at
602 [http://www.bfe.admin.ch/themen/00526/00541/00542/00630/index.html?lan](http://www.bfe.admin.ch/themen/00526/00541/00542/00630/index.html?lang=en)
603 [g=en](http://www.bfe.admin.ch/themen/00526/00541/00542/00630/index.html?lang=en) (last accessed 09 July 2018); time series available upon direct request.
604 Bern: Swiss Federal Office for Energy; 2017. p. 56.
- 605 [20] Fischer M, Huss M, Hoelzle M. Surface elevation and mass changes of all
606 Swiss glaciers 1980-2010. *Cryosphere*. 2015;9:525-40.
- 607 [21] Pfaundler M, Zappa M. Die mittleren Abflüsse über die ganze Schweiz - Ein
608 optimierter Datensatz im 500×500 m Raster, available at
609 [https://www.bafu.admin.ch/bafu/de/home/themen/wasser/zustand/karten/m](https://www.bafu.admin.ch/bafu/de/home/themen/wasser/zustand/karten/mittlerer-monatlicher-und-jaehrlicher-abfluss/mittlere-monatliche-und-jaehrliche-abflusshoehen.html)
610 [ittlerer-monatlicher-und-jaehrlicher-abfluss/mittlere-monatliche-und-](https://www.bafu.admin.ch/bafu/de/home/themen/wasser/zustand/karten/mittlerer-monatlicher-und-jaehrlicher-abfluss/mittlere-monatliche-und-jaehrliche-abflusshoehen.html)
611 [jaehrliche-abflusshoehen.html](https://www.bafu.admin.ch/bafu/de/home/themen/wasser/zustand/karten/mittlerer-monatlicher-und-jaehrlicher-abfluss/mittlere-monatliche-und-jaehrliche-abflusshoehen.html), last accessed 09 July 2018. 2008.
- 612 [22] Huss M, Hock R. A new model for global glacier change and sea-level rise.
613 *Frontiers in Earth Science*. 2015;3.
- 614 [23] Balmer M. Nachhaltigkeitsbezogene Typologisierung der schweizerischen
615 Wasserkraftanlagen - GIS-basierte Clusteranalyse und Anwendung in einem
616 Erfahrungskurvenmodell. Zürich: ETHZ; 2012.
- 617 [24] Blanc P, Schädler B. Water in Switzerland - an overview, available in English
618 on <http://www.naturalsciences.ch/topics/water/> (last accessed 09 July 2018).
619 Bern 2013. p. 28.
- 620 [25] Weingartner R, Aschwanden H. Discharge regime - the basis for the
621 estimation of average flows. Plate 5.2, *Hydrological Atlas of Switzerland*,
622 <http://hades.unibe.ch> (last accessed on 09 July 2018. In: Weingartner R,
623 Spreafico M, editors. Bern 1992.

- 624 [26] Hänggi P, Weingartner R. Variations in Discharge Volumes for Hydropower
625 Generation in Switzerland. *Water Resour Manag.* 2012;26:1231-52.
- 626 [27] Huss M, Farinotti D, Bauder A, Funk M. Modelling runoff from highly
627 glacierized alpine drainage basins in a changing climate. *Hydrological Processes.*
628 2008;22:3888-902.
- 629 [28] Farinotti D, Usselman S, Huss M, Bauder A, M. F. Runoff evolution in the
630 Swiss Alps: projections for selected high-alpine catchments based on
631 ENSEMBLES scenarios. *Hydrological Processes.* 2012;26:1909–24.
- 632 [29] Horton P, Schaefli B, Hingray B, Mezghani A, Musy A. Assessment of
633 climate change impacts on Alpine discharge regimes with climate model
634 uncertainty. *Hydrological Processes.* 2006;20:2091-109.
- 635 [30] Finger D, Heinrich G, Gobiet A, Bauder A. Projections of future water
636 resources and their uncertainty in a glacierized catchment in the Swiss Alps and
637 the subsequent effects on hydropower production during the 21st century.
638 *Water Resources Research.* 2012;48:W02521.
- 639 [31] Addor N, Rössler O, Köplin N, Huss M, Weingartner R, Seibert J. Robust
640 changes and sources of uncertainty in the projected hydrological regimes of
641 Swiss catchments. *Water Resources Research.* 2014;50:7541–62.
- 642 [32] Swiss Federal Office for Energy. Statistics of the Swiss hydropower facilities
643 - Statistik der Wasserkraftanlagen der Schweiz (WASTA), state 01. 01. 2017,
644 available on
645 [http://www.bfe.admin.ch/geoinformation/05061/05249/index.html?lang=de&](http://www.bfe.admin.ch/geoinformation/05061/05249/index.html?lang=de&dossier_id=01049)
646 [dossier_id=01049](http://www.bfe.admin.ch/geoinformation/05061/05249/index.html?lang=de&dossier_id=01049) (last accessed 09 July 2018). Bern, Switzerland: Swiss Federal
647 Office for Energy; 2017.
- 648 [33] Swiss Federal Office for Energy. Dams and reservoirs under the supervision
649 of the federal government, <http://www.bfe.admin.ch>, Home > Geodata >
650 Dams and reservoirs or
651 <http://www.bfe.admin.ch/geoinformation/05061/05251/index.html?lang=en>
652 (last accessed 09 July 2018). Bern, Switzerland: Swiss Federal Office for Energy;
653 2018.
- 654 [34] Manso P, Schaefli B, Schleiss AJ. Adaptation of Swiss hydropower
655 infrastructure to meet future electricity needs. *Hydro 2015, Advancing policy*
656 *and practice.* Bordeaux, 26 - 28 Oct. 2015: *The International Journal on*
657 *Hydropower and Dams;* 2015.
- 658 [35] Gurung AB, Borsdorf A, Fureder L, Kienast F, Matt P, Scheidegger C, et al.
659 Rethinking Pumped Storage Hydropower in the European Alps A Call for New
660 Integrated Assessment Tools to Support the Energy Transition. *Mountain*
661 *Research and Development.* 2016;36:222-32.

- 662 [36] Fatichi S, Rimkus S, Burlando P, Bordoy R, Molnar P. High-resolution
663 distributed analysis of climate and anthropogenic changes on the hydrology of
664 an Alpine catchment. *Journal of Hydrology*. 2015;525:362-82.
- 665 [37] Terrier S, Bieri M, Jordan F, Schleiss AJ. Impact of glacier shrinkage and
666 adapted hydropower potential in the Swiss Alps. *Houille Blanche-Rev Int*.
667 2015:93-101.
- 668 [38] Wagner T, Themeßl M, Schüppel A, Gobiet A, Stigler H, Birk S. Impacts of
669 climate change on stream flow and hydro power generation in the Alpine
670 region. *Environmental Earth Sciences*. 2016;76:4.
- 671 [39] Huss M, Jouvét G, Farinotti D, Bauder A. Future high-mountain hydrology:
672 a new parameterization of glacier retreat. *Hydrology and Earth System
673 Sciences*. 2010;14:815-29.
- 674 [40] Gabbi J, Farinotti D, Bauder A, Maurer H. Ice volume distribution and
675 implications on runoff projections in a glacierized catchment. *Hydrol Earth Syst
676 Sci*. 2012;16:4543-56.
- 677 [41] FOEN. Effects of Climate Change on Water Resources and Waters.
678 Synthesis report on “Climate Change and Hydrology in Switzerland” (CCHydro)
679 project. Results also available at <https://hydro.slf.ch/sihl/cchydro/#> (accessed
680 26 Feb. 2016). Bern: Federal Office for the Environment,; 2012. p. 74.
- 681 [42] SGHL, CHy. Auswirkungen der Klimaänderung auf die Wasserkraftnutzung
682 – Synthesebericht. Beiträge zur Hydrologie der Schweiz, Nr 38. Bern: Swiss
683 Society of Hydrology and Limnology (SGHL) and Swiss Hydrological Commission
684 (CHy); 2011. p. 28.
- 685 [43] Farinotti D, Pistocchi A, Huss M. From dwindling ice to headwater lakes:
686 could dams replace glaciers in the European Alps? *Environmental Research
687 Letters*. 2016;11:054022.
- 688 [44] Speich MJR, Bernhard L, Teuling AJ, Zappa M. Application of bivariate
689 mapping for hydrological classification and analysis of temporal change and
690 scale effects in Switzerland. *Journal of Hydrology*. 2015;523:804-21.
- 691 [45] Huss M, Zemp M, Joerg PC, Salzmänn N. High uncertainty in 21st century
692 runoff projections from glacierized basins. *Journal of Hydrology*. 2014;510:35-
693 48.
- 694 [46] Langhammer L, Rabenstein L, Bauder A, Maurer H. Ground-penetrating
695 radar antenna orientation effects on temperate mountain glaciers. *Geophysics*.
696 2017;82:H15-H24.
- 697 [47] Schleiss A. ROADMAP Hydropower, Swiss Competence Center on Energy
698 Research - Supply of Energy, <http://www.sccer-soe.ch/en/aboutus/roadmaps/>
699 (last accessed 09 July 2018). 2014.
- 700 [48] Swiss Federal Office for Energy. Swiss Electricity statistics 2015, available at
701 <http://www.bfe.admin.ch/themen/00526/00541/00542/00630/index.html?lan>

- 702 [g=en](#) (accessed 14. July 2016). Bern: Swiss Federal Office for Energy; 2016. p.
703 56.
- 704 [49] Zappa M, Bernhard L, Fundel F, Joerg-Hess S. Vorhersage und Szenarien
705 von Schnee- und Wasserressourcen im Alpenraum. Data set available from the
706 lead author. Forum für Wissen. 2012:19-27.
- 707 [50] Swiss Federal Office for the Environment. Mean monthly and annual runoff
708 depths, digital data set, available
709 at:[https://www.bafu.admin.ch/bafu/en/home/topics/water/state/maps/mean-
710 -monthly-and-annual-runoff/mean-monthly-and-annual-runoff-depths.html](https://www.bafu.admin.ch/bafu/en/home/topics/water/state/maps/mean-monthly-and-annual-runoff/mean-monthly-and-annual-runoff-depths.html)
711 (last accessed 09 July 2018). Bern 2013.
- 712 [51] Fischer M, Huss M, Barboux C, Hoelzle M. The New swiss glacier inventory
713 SGI2010: relevance of using high-resolution source data in areas dominated by
714 very small glaciers. Arctic, Antarctic, and Alpine Research. 2014;46:933-45.
- 715 [52] Dee DP, Uppala SM, Simmons AJ, Berrisford P, Poli P, Kobayashi S, et al.
716 The ERA-Interim reanalysis: configuration and performance of the data
717 assimilation system. Quarterly Journal of the Royal Meteorological Society.
718 2011;137:553-97.
- 719 [53] Taylor KE, Stouffer RJ, Meehl GA. An overview of CMIP5 and the
720 experiment Bulletin of the American Meteorological Society. 2012;93:485-98.
- 721 [54] Tonka L. Hydropower license renewal and environmental protection
722 policies: a comparison between Switzerland and the USA. Reg Environ Change.
723 2015;15:539-48.
- 724 [55] Grande Dixence. Grande Dixence - experience the energy at the heart of
725 the Alps. [http://www.grande-dixence.ch/docs/default-
726 source/documentation/grande-dixence/Grande-Dixence-Experience-the-
727 energy-at-the-heart-of-the-Alps.pdf?sfvrsn=9](http://www.grande-dixence.ch/docs/default-source/documentation/grande-dixence/Grande-Dixence-Experience-the-energy-at-the-heart-of-the-Alps.pdf?sfvrsn=9) (last accessed 09 July 2018). Sion:
728 Grande Dixence SA; 2010. p. 49.
- 729 [56] Kummer M, Baumgartner M, Devanthéry D. Restwasserkarte. Schweiz.
730 Wasserentnahmen und -rückgaben (available
731 [https://www.bafu.admin.ch/bafu/de/home/themen/wasser/publikationen-
732 studien/publikationen-wasser/restwasserkarte-schweiz.html](https://www.bafu.admin.ch/bafu/de/home/themen/wasser/publikationen-studien/publikationen-wasser/restwasserkarte-schweiz.html), accessed 09 July
733 2018). Bern, Switzerland: Swiss Federal Office for the Environment; 2007. p. 90.
- 734 [57] Swiss Federal Office for the Environment (FOEN). Dataset MQ-GWN-CH,
735 modeled natural runoff means for the Swiss river network, available at
736 [https://www.bafu.admin.ch/bafu/en/home/topics/water/state/maps/mean-
737 monthly-and-annual-runoff/mean-runoff-and-flow-regime-types-for-the-river-
738 network-of-switz.html](https://www.bafu.admin.ch/bafu/en/home/topics/water/state/maps/mean-monthly-and-annual-runoff/mean-runoff-and-flow-regime-types-for-the-river-network-of-switz.html) (last accessed 09 July 2018. Bern: Swiss Federal Office
739 for the Environment, FOEN; 2013.
- 740 [58] Swiss Federal Office for Energy. Statistics of the Swiss hydropower facilities
741 - Statistik der Wasserkraftanlagen der Schweiz (WASTA), state 01. 01. 2015,

742 available at
743 [http://www.bfe.admin.ch/geoinformation/05061/05249/index.html?lang=de&](http://www.bfe.admin.ch/geoinformation/05061/05249/index.html?lang=de&dossier_id=01049)
744 [dossier_id=01049](http://www.bfe.admin.ch/geoinformation/05061/05249/index.html?lang=de&dossier_id=01049) (last accessed 09 July 2018). Bern, Switzerland: Swiss Federal
745 Office for Energy; 2015.
746 [59] SwissTopo. DHM25- The digital height model of Switzerland. Wabern,
747 Switzerland2005.
748 [60] SwissTopo. Vector25 - The digital landscape model of Switzerland.
749 Wabern, Switzerland2008.
750 [61] Schädler B, Weingartner R. Components of the natural water balance.
751 Hydrologic atlas of Switzerland - Plate 6.3. Available at
752 <http://www.hades.unibe.ch/> (last accessed 09 July 2018). Bern: Service
753 Hydrologique et Géologique National (SHGN); 2002.
754 [62] MeteoSwiss. Automatic monitoring network SWISSMETNET, available at
755 [https://www.meteoswiss.admin.ch/home/measurement-and-forecasting-](https://www.meteoswiss.admin.ch/home/measurement-and-forecasting-systems/land-based-stations/automatisches-messnetz.html)
756 [systems/land-based-stations/automatisches-messnetz.html](https://www.meteoswiss.admin.ch/home/measurement-and-forecasting-systems/land-based-stations/automatisches-messnetz.html) (last accessed 09
757 July 2018). Zurich2018.
758
759
760
761

762 **Tables**

763

764 Table 1: Overview of Swiss annual hydropower production (1980 – 2016), including energy
765 consumption for water pumping from lower to upper reservoirs [48].

Hydropower production	TWh yr ⁻¹
Average production	35.7
Maximum (year 2001)	42.3
Minimum (year 1996)	29.7
Standard deviation	3.0
Average consumption for pumping	1.9

766

767 Table 2: Properties of the electricity statistics regions, including the coefficient of variation (cv) of precipitation and the production potential;
 768 *glacier cover* indicates the relative glacier cover for the reference year 2010. The average values for the HP catchments are computed as the
 769 average of all scheme catchments of a region. The electricity coefficient is the average value of all powerhouses within a region. Production
 770 potential and precipitation data refer to period 1983-2014; normalization with the mean over this period.

Region	Total HP catchment area (km ²)	Joint area (km ²)	# power-houses	Average glacier cover of HP catchments (%)	Average elevation of HP catchments (m asl.)	Average elevation of powerhouses (m asl.)	Normalized annual production potential [min, max]	cv production potential	cv precipitation
Switzerland (CH)	39741	528278	402	3.7	1742	752	(0.85, 1.20)	0.08	0.10
Grisons	7088	10235	58	2.0	2162	1014	(0.77, 1.41)	0.14	0.10
Valais	5200	27324	70	12.2	2414	773	(0.83, 1.16)	0.07	0.10
Ticino	2735	2357	33	0.2	1816	1010	(0.63, 1.36)	0.16	0.11
Jura	2532	7075	8	0.0	992	637	(0.68, 1.36)	0.15	0.11
Plateau	10037	234419	147	1.3	1124	482	(0.87, 1.14)	0.07	0.11
North Alps	12408	246868	86	2.3	1530	658	(0.86, 1.15)	0.06	0.12

771

772

773 Table 3: Characteristic electricity coefficients (EC), computed at different levels. Area-scale ECs express how much hydropower is produced per
 774 m^3 of water originating in that area, point -scale ECs express how much hydropower is produced per m^3 transiting through that point. The
 775 weighing type indicates how the underlying data is weighed (*design discharge* is the design discharge of the turbines, *exp.* stands for *expected*
 776 and designates a design value rather than an actual observed value.

EC name	Scale	Aggregation level	Underlying EC data	Weighing	kWh m^{-3}
Powerhouses, average (n=284)	Point-scale	Powerhouses	Powerhouses	No weighing	0.634
Powerhouses, max	Point-scale	Powerhouses	Powerhouses	Does not apply	4.444
Powerhouses, min	Point-scale	Powerhouses	Powerhouses	Does not apply	0.004
Schemes, average	Area-scale	Schemes	Powerhouses	Exp. production hours x design discharge	0.591
Grisons	Area-scale	Production region	Schemes	Exp. annual production	0.856
Valais	Area-scale	Production region	Schemes	Exp. annual production	1.707
Ticino	Area-scale	Production region	Schemes	Exp. annual production	0.997
Jura	Area-scale	Production region	Schemes	Exp. annual production	0.216
Plateau	Area-scale	Production region	Schemes	Exp. annual production	0.020
North Alps	Area-scale	Production region	Schemes	Exp. annual production	0.530
Switzerland	Area-scale	Switzerland	Schemes	Exp. annual production	0.906
Rhine	Point-scale	HP Network	Powerhouses	Exp. production hours x design discharge	0.041
Rhine outlet, Birsfelden	Point-scale	Scheme	Powerhouses	Does not apply	0.018
Rhone	Point-scale	HP Network	Powerhouses	Exp. production hours x design discharge	0.269
Rhone outlet, Chancy-Pougny	Point-scale	Scheme	Powerhouses	Does not apply	0.021
CH glacier area	Area-scale	Switzerland	Regions	Interpolated from regions	2.101
Rhine glacier area	Area-scale	Switzerland	Regions	Interpolated from regions	1.892
Rhone glacier area	Area-scale	Switzerland	Regions	Interpolated from regions	2.212

777 Table 4: Observed average glacier mass balance changes (in mm of water equivalent) for Switzerland (CH), the Rhine and the Rhone HP
 778 catchments at their outlet and the corresponding ratio of net annual ice melt to average annual discharge without considering HP nesting. A few
 779 glaciers are not included neither in the Rhine river HP network nor in the Rhone HP network; some glacier water of the physiographic Rhone
 780 catchment is exported, i.e. does not feed the Rhone river HP network (e.g. Gries).

	Area HP production catchments (km ²)	Annual glacier Mass loss (mm yr ⁻¹)		Glacier area (km ²)		Avg discharge reference period (mm yr ⁻¹)		Ratio of annual glacier mass loss to discharge (%)	
		1981-2000	1981-2010	1973	2010	1981-2000	1981-2010	1981-2000	1981-2010
CH	39741	479	620	1261.2	942.8	1037	1013	1.3	1.7
Rhine	26520	502	634	406.3	283.0	1007	984	0.65	0.8
Rhone	7655	452	579	682.5	543.4	1109	1092	3.3	4.2

781

782

783 Table 5: Estimated average HP ratios from glacier melt, $\bar{\rho}_{CH}$, estimated either from ratios of net glacier melt to total discharge (labeled
 784 *discharge ratios*) or from glacier-averaged electricity coefficient (see Table 3), labeled *EC*. Confidence limits (given in brackets) can be
 785 calculated for the EC method only; discharge-based estimations are weighted averages over the schemes, with weights corresponding to the
 786 expected annual production of each scheme. For the simulations, the net ice melt corresponds to the melt between two simulation time periods.

Estimation method	Reference period simulation	Period	Source	$\bar{\rho}_{CH}$ (-)	$\bar{\rho}_{Rhine}$ (-)	$\bar{\rho}_{Rhine}$ (-)
avg EC		1981-2000	Obs	3.2 (1.8,5.2)	6.4 (3.4,10.2)	1.8 (1.0,2.9)
avg EC		1980-2010	Obs	4.0 (2.3,6.2)	7.8 (4.4,12.2)	2.2 (1.3,3.4)
Discharge ratios		1981-2000	Obs	3.1	7.0	1.5
Discharge ratios		1980-2010	Obs	3.8	8.6	1.8
Discharge ratios	2010-2020	2040-2060	GloGEM	2.5	7.1	0.4
Discharge ratios	2040-2060	2070-2090	GloGEM	1.2	3.8	0.1
	Expected production GWh yr ⁻¹	2005	HYDROGIS	36,458	10,341	18,931
	Avg HP GWh yr ⁻¹	1981-2000	Electricity stat.	34,738	9853*	18,038*
	Avg HP GWh yr ⁻¹	1980-2010	Electricity stat	35241	9996*	18299*
	Avg glacier elevation		SwissTopo	3042	3170	2814

787 *estimated by scaling the Swiss-wide production by the expected production

788

789

Figure captions

Figure 1: Swiss hydrological regimes in terms of the ratio between mean monthly streamflow and the mean annual streamflow (denoted as Q); a) for south of the Alps and the Jura, b) for north of the Alps. Data source: [57].

Figure 2: Distribution of main types of HP powerhouses according to the nine main Swiss river catchments; the Limmat and Reuss feed into the Aare river, which itself feeds into the Rhine river; own representation based on the HP powerhouse type and feeding catchment contained in [WASTA, 58]; other data sources: glacier outline [51], digital elevation model [59], lake vector data: [60], catchment vector data: Swiss Hydrological Atlas HADES [61]

Figure 3: Present and future glacier runoff compared to the catchment discharge for the reference period for two selected HP catchments: a) Mauvoisin HP scheme located in the Upper Rhone River catchment; b) Bergeller HP scheme located in the Adda catchment (see Figure 2). Data source: glacier runoff [22], catchment discharge [49].

Figure 4: Normalized annual production potential and annual precipitation (with reference to the average value for the period 1983 – 2014): a) production potential for each of the six regions used in the electricity statistics and country-average, b) precipitation for each region and country-average (data as recorded at the SwissMetNet stations, [62], c) to h) production potential and precipitation per region; given is also the correlation between production potential and precipitation ($corr$), the coefficient of variation of the production potential (cv_{prod}) and the degree of glacier cover. The hydrological year starts on 1 Oct.

Figure 5: Relationship between elevation and electricity coefficients: a) electricity coefficients at the scale of headwater catchments; shown are the power house electricity coefficients γ_h against power house elevation and the scheme-scale electricity coefficients γ_j against catchment elevation; b) regional electricity coefficients, γ_r , for the six HP regions and for entire Switzerland against average scheme catchment elevation.

Figure 6: Spatial distribution of electricity coefficients of all HP schemes. The catchments are nested, lowland RoR catchments contain upstream catchments. Light blue corresponds to lake areas.

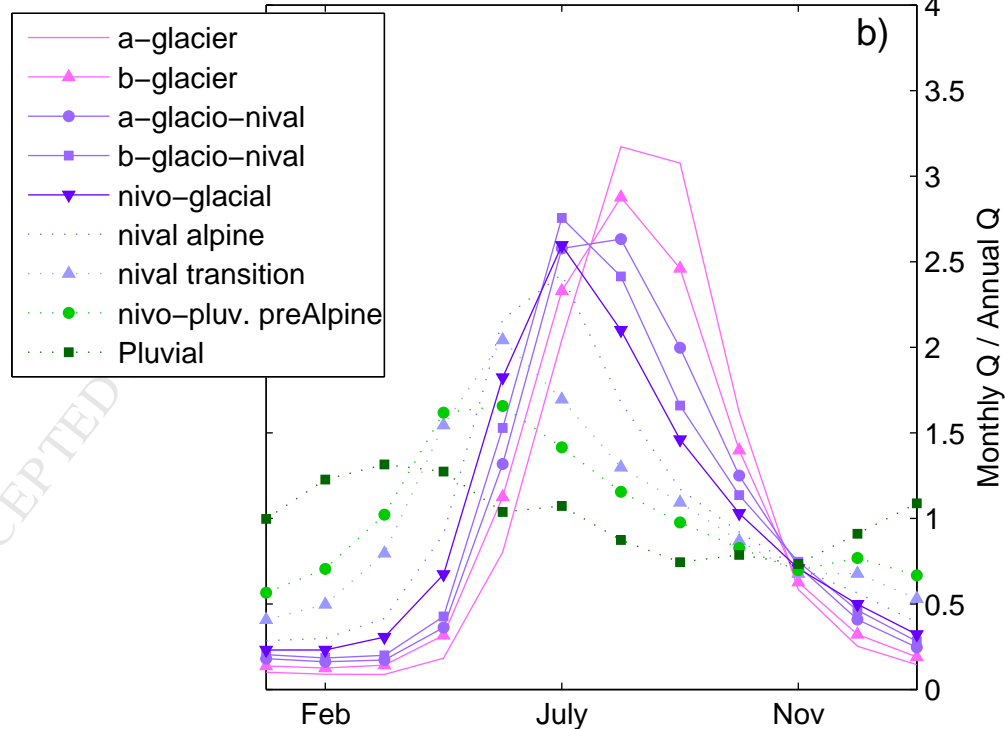
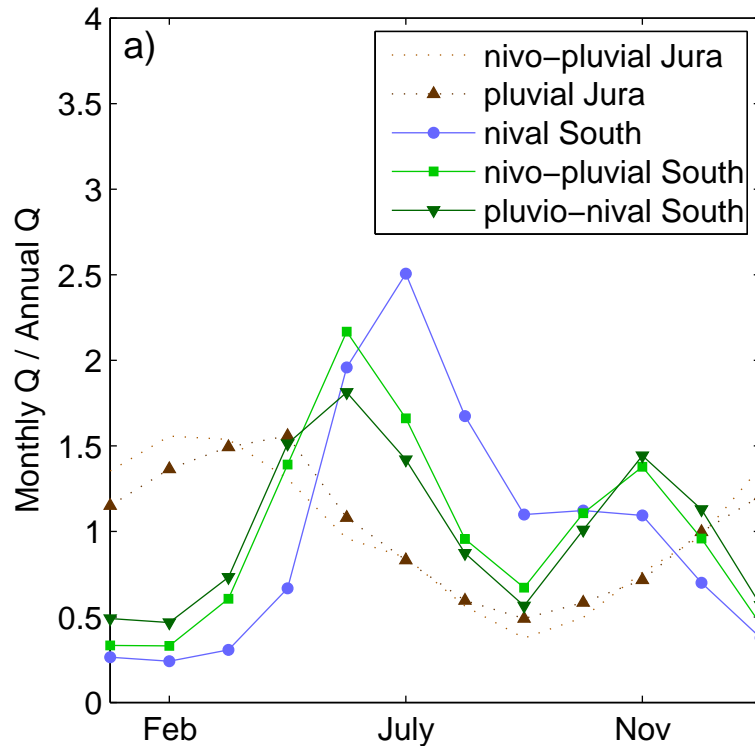
Figure 7: Annual glacier mass loss and corresponding hydropower production as a function of scheme catchment elevation for the period 1981-2000; a) annual glacier mass loss in mm yr^{-1} (log-scale) b) estimated hydropower production from annual glacier mass loss (multiplied with the electricity coefficient γ_j) in GWh yr^{-1} .

Figure 8 : Spatial distribution of the hydropower production from glacier mass loss; a) ratios ρ_{ij} for the period 1981-2000, b) ratios for the period 2040-2060 based on GloGEM simulations; c) hydropower production from glacier mass loss in GWh yr⁻¹ for the period 1981-2010, d) 2040-2060 based on GloGEM simulations.

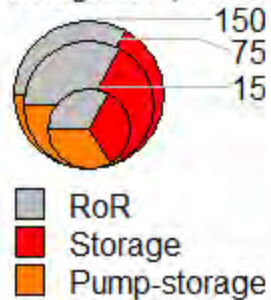
Figure 9: Glacier runoff change ratios (δ_{ij}) for all schemes that had glaciers during the reference period, plotted against scheme catchment elevation: left, period 2040-2060; right, period 2070-2090. The reference period is 1981-2000 for both future periods. Mixed schemes have run-of-river (RoR) and (pump-)storage hydropower production.

South

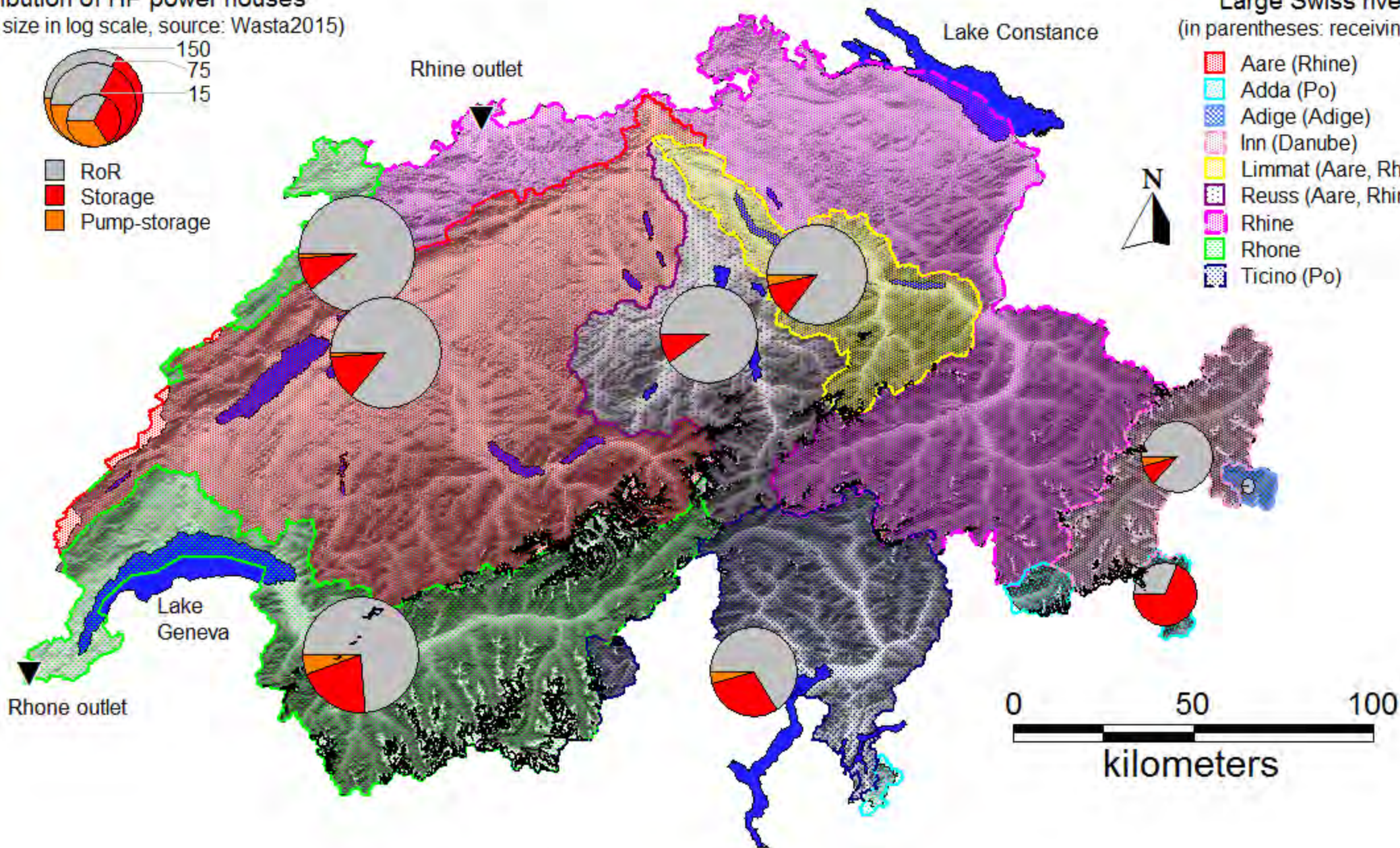
North

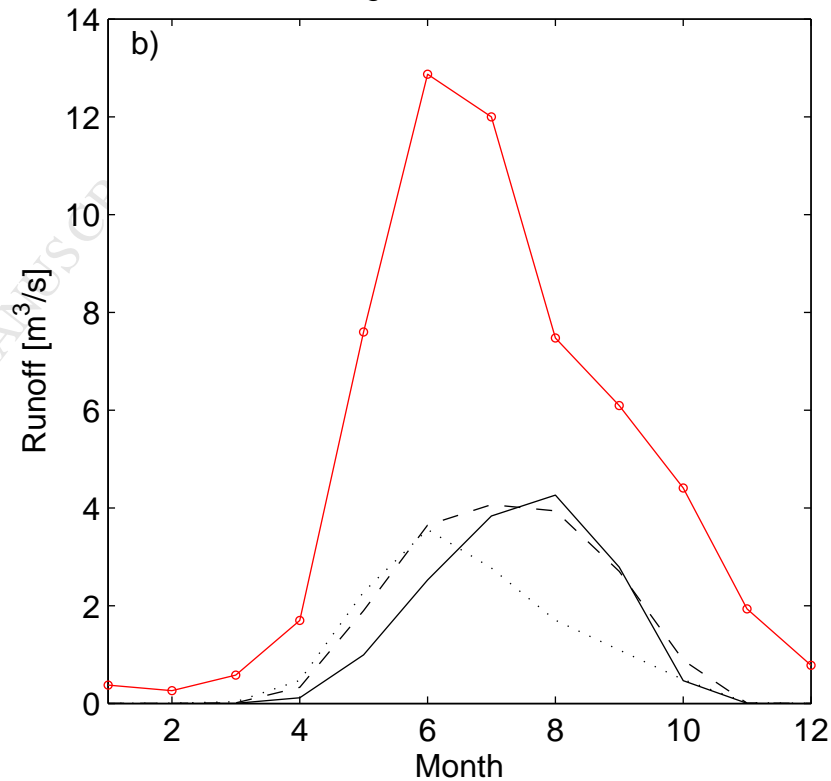
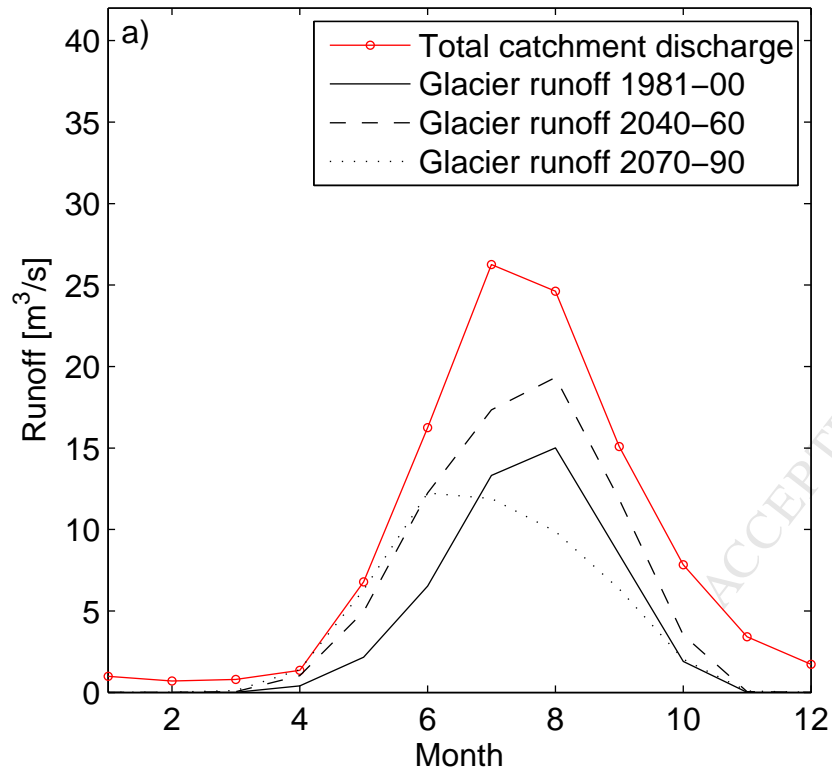


Distribution of HP power houses
(pie chart size in log scale, source: Wasta2015)

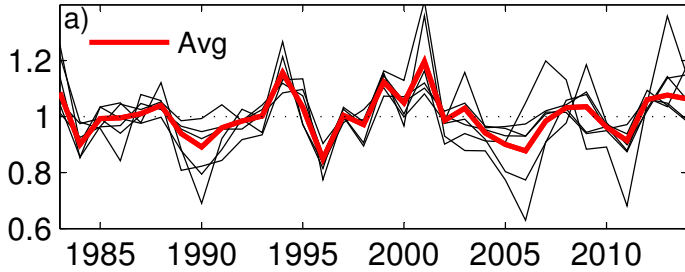


Large Swiss rivers
(in parentheses: receiving river)

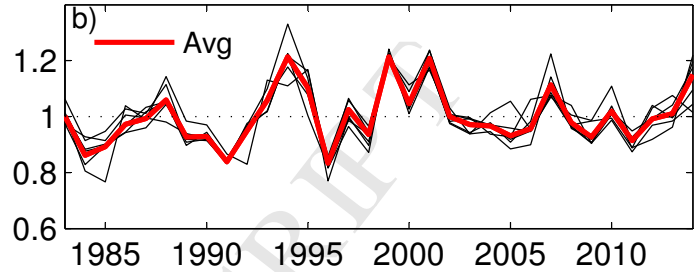




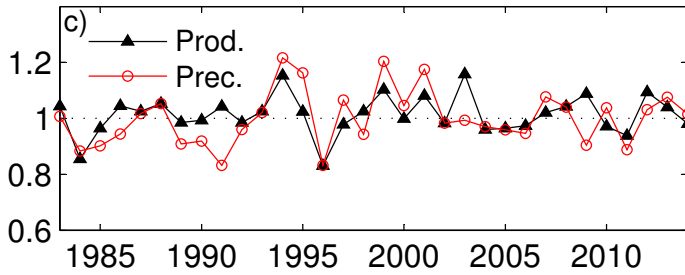
All regions, production potential



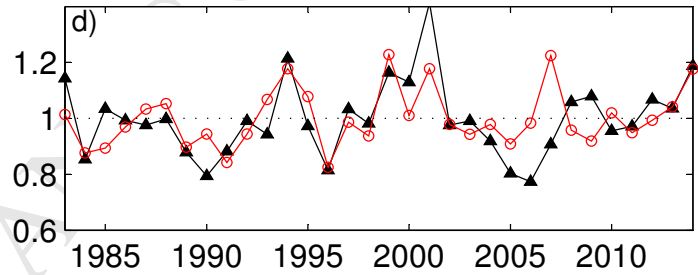
All regions, precipitation



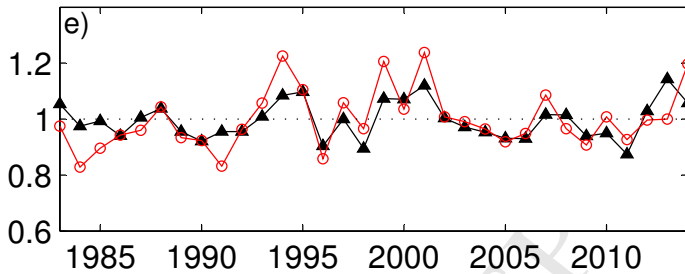
Valais, corr: 0.58, cv prod: 0.07, 12.2% glacier



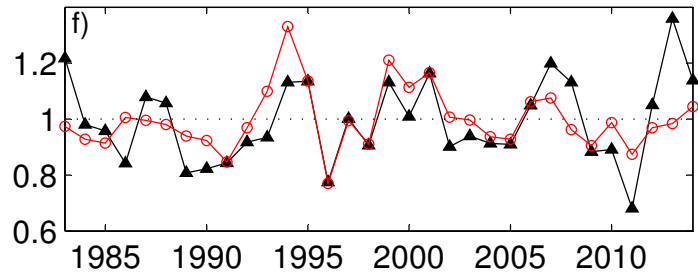
Grisons, corr: 0.6, cv prod: 0.14, 2% glacier



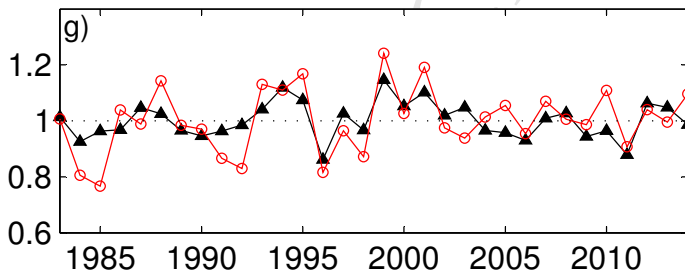
Plateau, corr: 0.71, cv prod: 0.07, 1.3% glacier



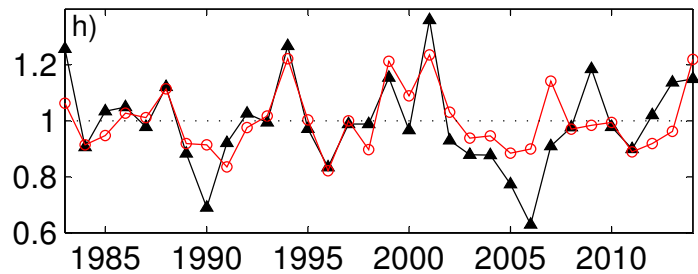
Jura, corr: 0.58, cv prod: 0.15, 0% glacier



NorthAlps, corr: 0.68, cv prod: 0.06, 2.3% glacier



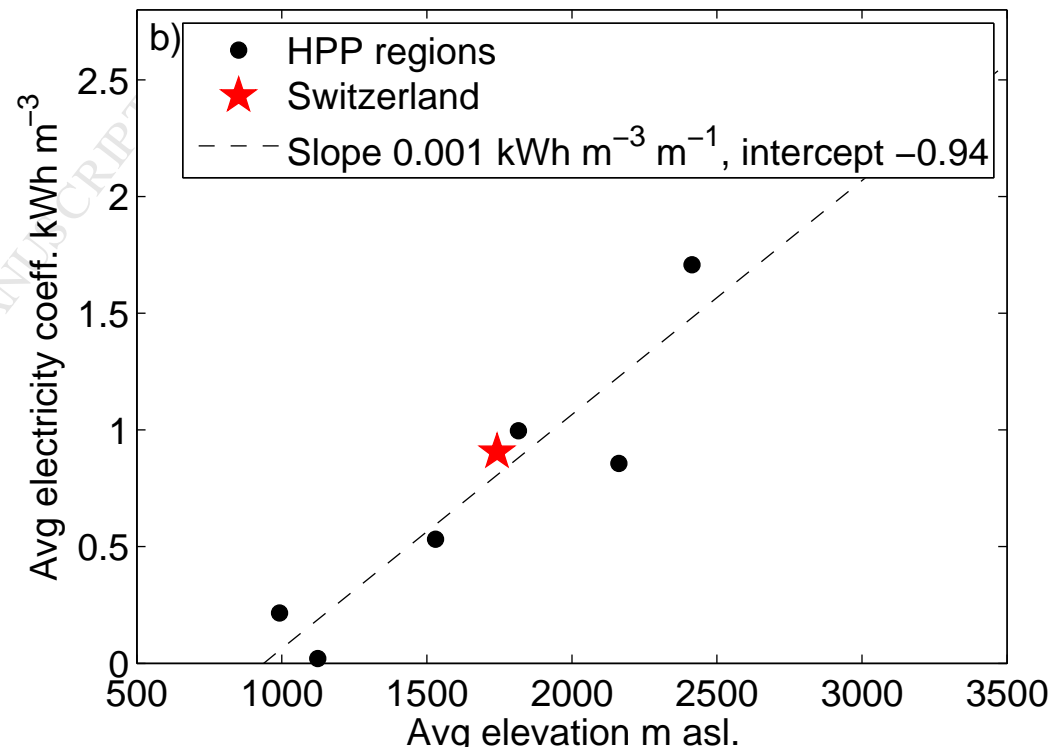
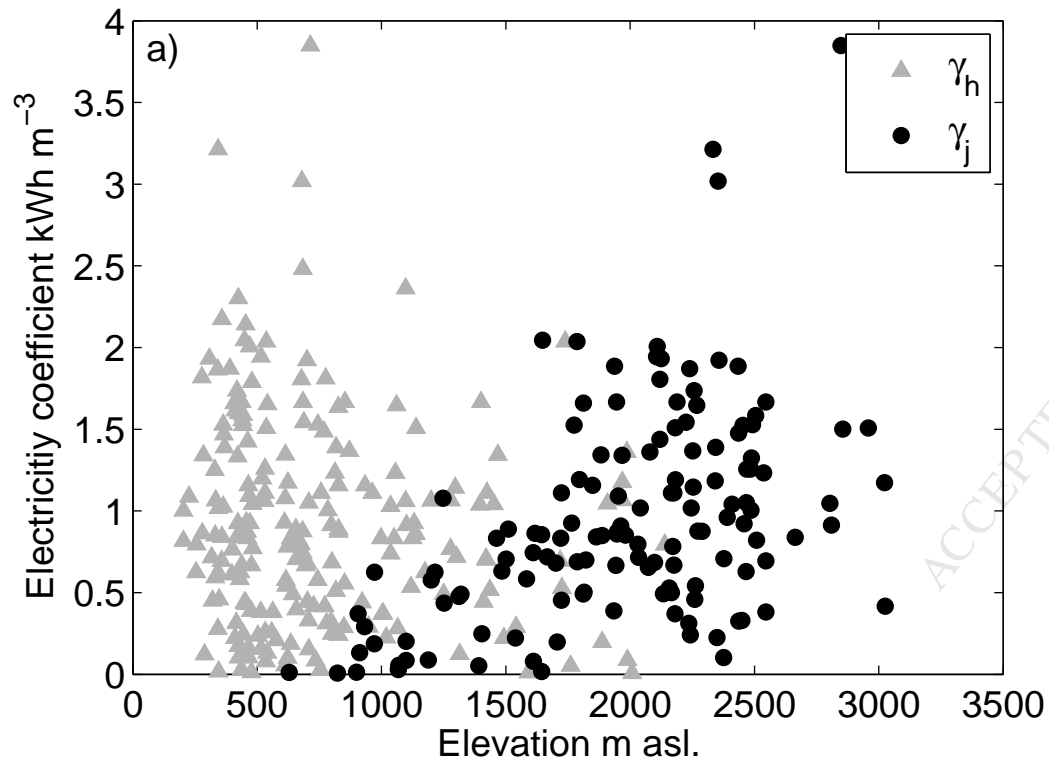
Ticino, corr: 0.7, cv prod: 0.16, 0.2% glacier



Hydrological year

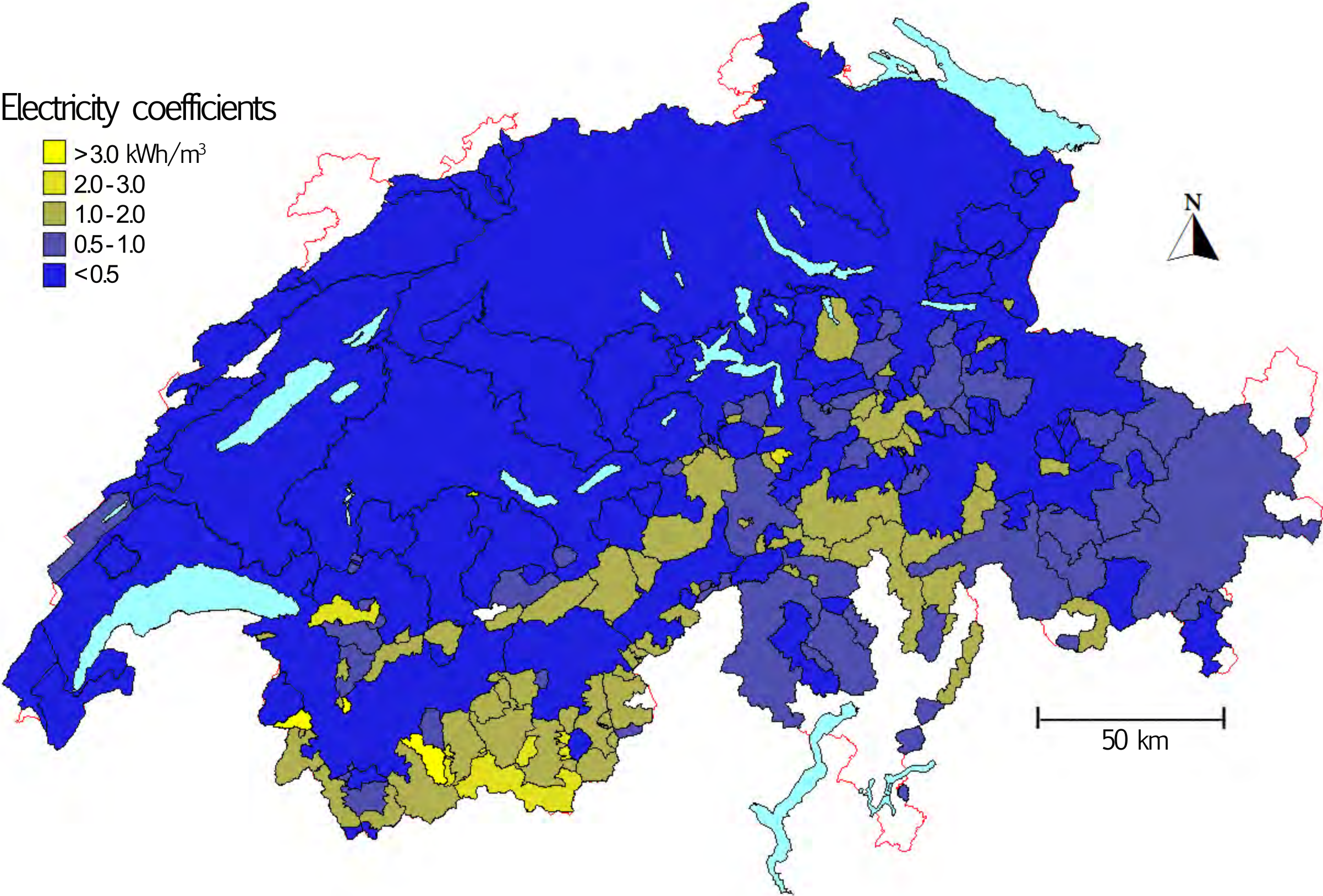
Hydrological year

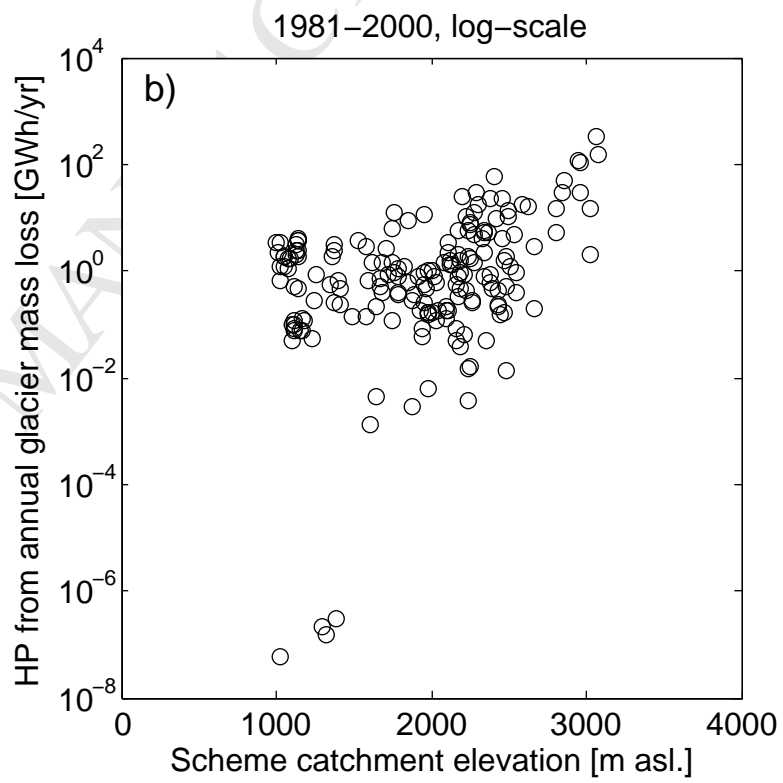
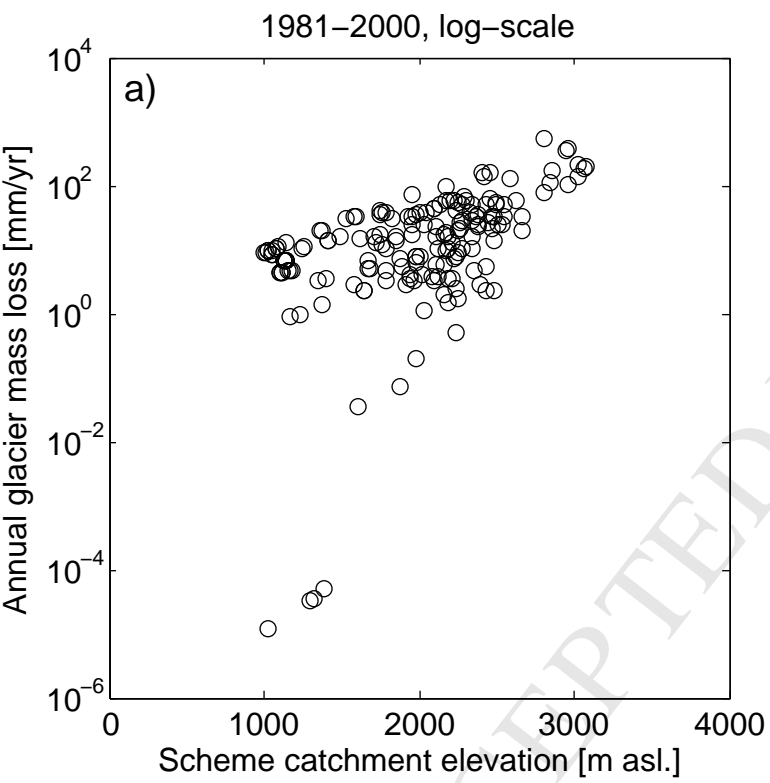
Normalized production potential, normalized annual precipitation



Electricity coefficients

- >3.0 kWh/m³
- 2.0-3.0
- 1.0-2.0
- 0.5-1.0
- <0.5

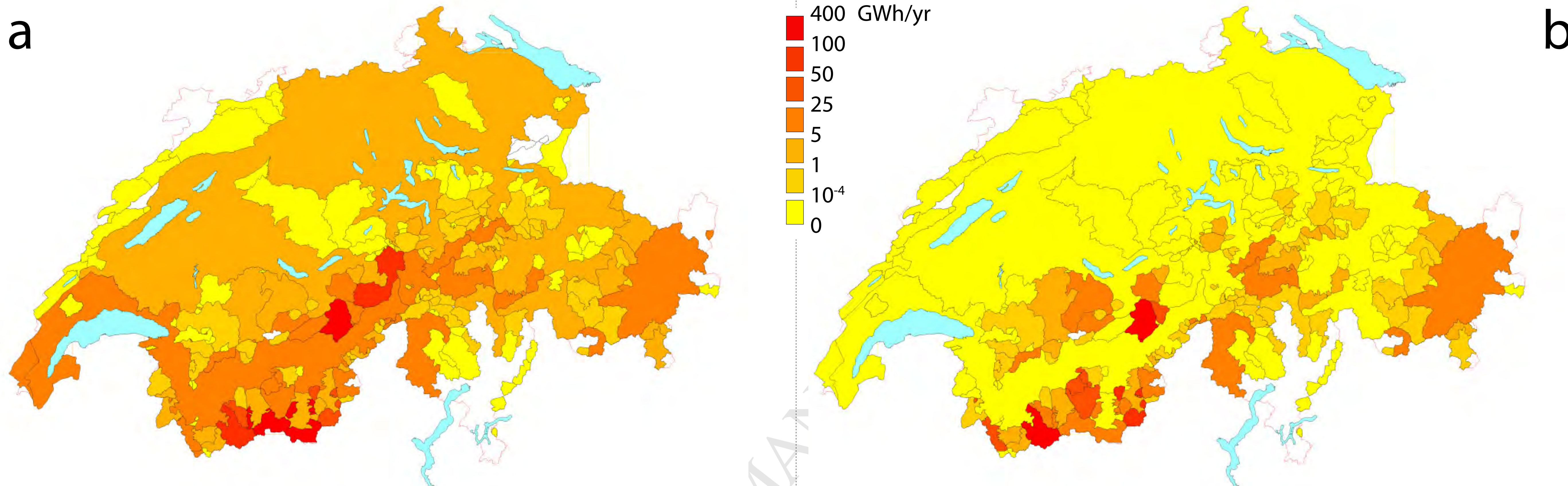




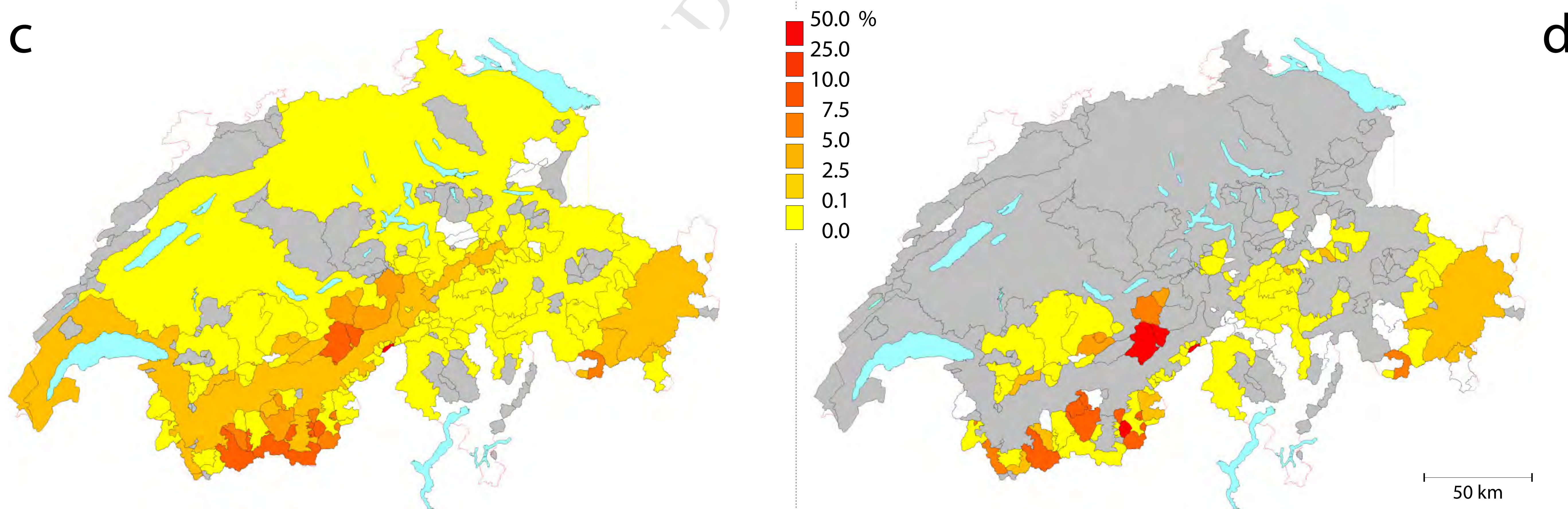
1981-2000

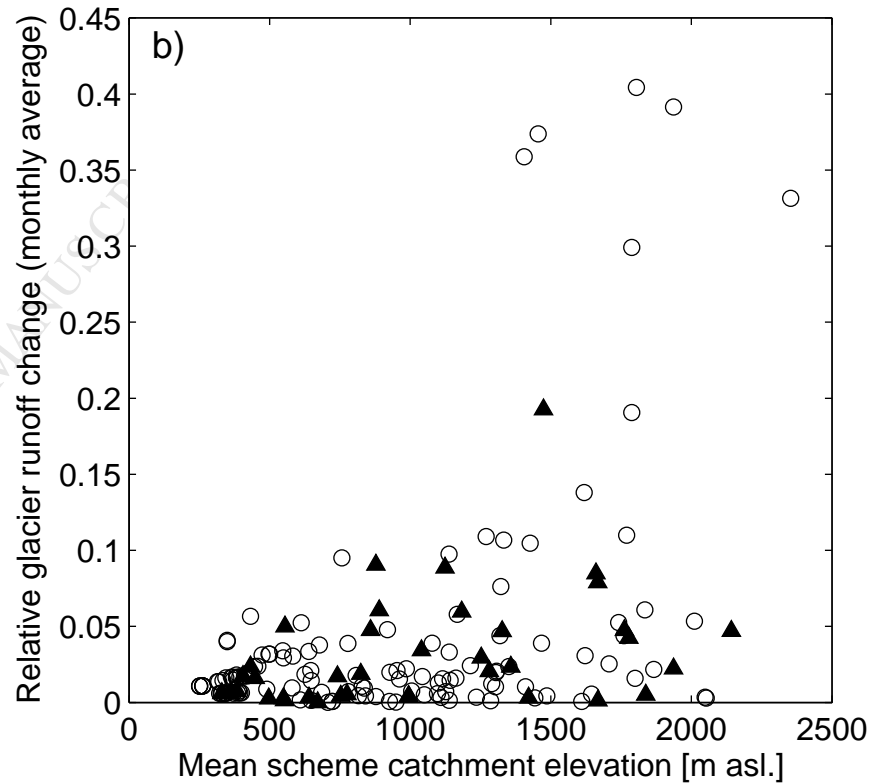
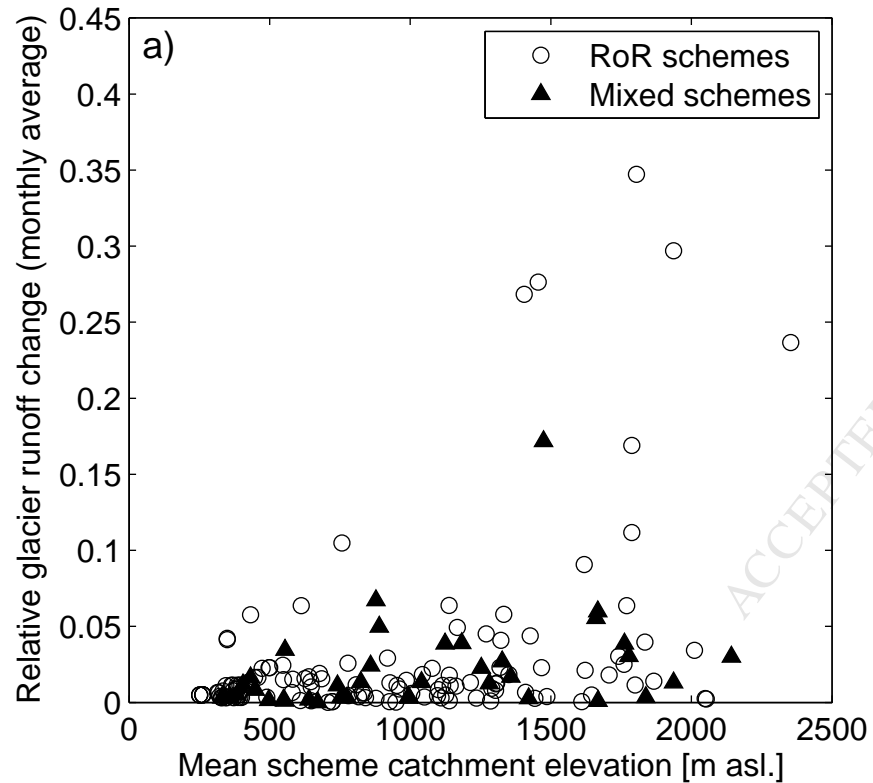
2040-2060

HP from annual glacier mass loss



Contribution of annual glacier mass loss to total discharge





The role of glacier retreat for Swiss hydropower production

Bettina Schaepli^{1,2}, Pedro Manso², Mauro Fischer^{3,4}, Matthias Huss^{3,5}, Daniel Farinotti^{5,6}

Highlights

- First quantification of Alpine hydropower production share from glacier mass loss
- Since 1980, 1.0 to 1.4 TWh yr⁻¹ of Swiss hydropower comes from glacier mass loss
- Expected country-scale production reduction by 2070-2090 of 1.0 TWh yr⁻¹
- Notable regional differences despite of continuous retreat of all Swiss glaciers