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A novel method to optimize electricity generation from wind energy

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HIGHLIGHTS

- Data recognizer wzip is used to anticipate favorable periods of wind energy
- The method can also be used to analyze wind energy production
- Data from all German turbines during 2010:2017 is used in this study
- A protocol for mixing wind energy with conventional sources is proposed
- Protocol indicators are tested on monthly basis during the eight-year period

Abstract

We present and discuss a new technique based on information theory to detect in advance favorable periods of wind activity (positive ramps) for electricity generation. In addition this technique could also help in the analysis of plant operation and management protocols design. Real data from wind power plants in Germany is used; this information is freely available in the internet with reliable registers every 15 minutes. A simple protocol to mix such wind energy production with electricity coming from conventional sources is proposed as a way to test the proposed algorithm. The eight-year period 2010-2017 is analyzed looking for different behaviors in wind activity. The first five years (2010-2014) are employed to calibrate the method, while the remaining three years (2015-2017) are used to test previous calibration without any further variation in the tuning possibilities described below.

Thus, the proposed protocol is tried on under different seasonal wind conditions. Both the algorithm and the general protocol could be adjusted to optimize performances according to regional conditions. In addition, this algorithm can also be used in retrospective studies to adjust productivity to operational conditions.

1 KEYWORDS: Wind energy, wind ramps, energy management, information
2 theory, optimization

3 1 Introduction

4 Wind energy production (WEP) contributes in a moderate way to the total
5 electricity generation in most of the countries in the world. We will concentrate
6 here on Germany where 16% of the total electricity production was due to wind
7 during 2017 according to recent statistics [1]. In spite of its present modest
8 contribution WEP is basically free (except for low operation costs) and its
9 installed capacity grows steadily in most countries. Then, it is possible to
10 imagine that wind farms will play a very important role in the future electricity
11 generation all over the world.

12 During the last five years the percentage of WEP has doubled in Germany.
13 Thus, in 2017 more than 28 000 wind turbines onshore and 1000 wind turbines
14 offshore have reached a productivity of about 105 TWh of electrical energy [1].
15 This development is part of a political program of the German government
16 with the aim of transforming the future energy system to a higher use of
17 renewable energies (RE) substituting for nuclear and fossil sources.

18 Because of the limited predictability of wind power the feed-in management
19 into the national electricity system faces major challenges. According to the
20 usual rules in Germany the generation of electricity by RE sources has priority
21 which should be sustained by protocols that can guarantee such management.
22 Consequently, the combination of other forms of energy (the production based
23 on conventional sources and imports) with RE requires a reliable protocol
24 to secure the power balance according to the required load. However, the
25 availability of wind energy in Germany (or any country) varies enormously
26 throughout the year, even from one week to next with abrupt changes within
27 hours. At present, often power gradients of the order of 1 GW/h have to be
28 managed. Coal and nuclear power plants are designed to work in a continuous
29 operation regime for the purpose of ensuring the base load. Their flexibility is
30 limited and complete shut down is undesired especially in the case of brown
31 coal. Moreover, in the working regime a minimum power generation should
32 not be undershot. The quality improvement of wind power prediction can
33 contribute to reduce the shutoff times of the wind contribution during high
34 wind periods in order to prevent overload of the power supply system. About
35 3500 GWh wind energy (several hundred million dollars) were lost in Germany
36 during 2016 because of management problems [2].

37 There are basically three approaches to forecast wind activity intended for
38 energy production [3–6]. First, those methods based on physical considerations

39 to forecast the temporal development of the local wind speed [7–10]. Second,
40 the methods based on time series with the assumption that the power output
41 at any time depends on the previously observed values within a recent time
42 range [11–14]; our present approach is along this line but we have replaced
43 autoregressive models and neural network analysis by information recognition
44 through data compressors techniques [15]. Third, hybrid approaches combining
45 some of the previous methods by means of appropriate numerical algorithms
46 [5,16].

47 Most of wind power prediction methods have been developed mainly for wind
48 farms, namely, for local applications. In the present paper we follow a different
49 approach by using the entire WEP for a country like Germany. From this point
50 of view the work by Gonzalez-Aparicio and Zucker [17] is a bit related to our
51 proposal in the sense that they looked at the data base for one country: Spain.
52 However, their focus is more oriented towards the economical aspects of this
53 problem. Our approach is to improve numerical and statistical methods to
54 better mix wind power with other sources of energy.

55 The method we propose below is entirely new and it is based on the determi-
56 nation of the information content within a recent interval in the times series
57 for WEP of a network of wind farms. To our knowledge, this is the first time
58 information theory is applied to this problem. We propose to use the wind
59 power production time series as the input to detect the onset of periods for
60 good usage of wind energy, which are usually called positive ramps.

61 The information content determination is done by means of data compres-
62 sor wzip specially designed to recognize meaningful information within any
63 sequence [15,18]. The tunable features of this algorithm have been adapted
64 here to deal with the wind energy power data. This process will be fully dis-
65 cussed in Subsection 2.2. The use of the direct WEP data coming from the
66 system under study ensures the response to the source of energy directly. Our
67 approach is based on the actual data produced after the turbine operation,
68 so the information content of this series reflect the real contributions of the
69 turbines effectively connected to the system, with their efficiency and intercon-
70 nection networks. This is advantageous for detecting changes of performance
71 as compared to indirect information from time series previous to the turbine
72 operation, like weather variables (wind velocity for instance). In addition, the
73 application of this technique can be done in real time (hot) in parallel for
74 different geographical places. In this way, networks can be locally optimized,
75 favoring the saving of fuels where WEP is convenient.

76 Another new feature of the methodology introduced here is that performances
77 can also be studied retrospectively in terms of the desired time spans: years,
78 seasons, months, weeks. For the data analyzed below it turns out that Sum-
79 mer months (Northern Hemisphere) are hopeless, while during Winter months

80 WEP is high so the risk of overshoots is high, which could be prevented by
81 detecting in advance a positive ramp. During Spring and Autumn months op-
82 timization is possible, which is precisely what it can be achieved by properly
83 mixing WEP with other sources of energy.

84 As it will be discussed below, the anticipation for good periods of wind can
85 be of a few hours and it could be adjusted to the season and local conditions.
86 The main purpose of the present paper is to show the way this method can be
87 applied to make better use of the electricity generated by wind turbines along
88 two ways: anticipation of good productivity and seasonal analysis for future
89 planning of WEP.

90 The method is based on information theory [19–21] which has been successfully
91 used to detect phase transitions in magnetism [22–24,15], crisis in economical
92 systems like stock markets [25] and pension funds [26], as well as in clinical
93 variables like the blood pressure variations leading to hypertension diagnosis
94 [27,28]. On the other hand, early results suggest that this method can also be
95 adapted to seismology, in particular to finding indicators that can anticipate
96 in a couple of years the approximate location of major earthquakes [29].

97 Energy data are public in Germany and can be obtained directly from the
98 internet [30,31]. In any of these sources the entire WEP in all Germany is
99 stored in registers every 15 minutes in an automatic and continuous way. In
100 the present paper the data for the blue eight years: 2010-2017 is analyzed. The
101 lustrum 2010-2014 is fully used to calibrate the several tunable capabilities of
102 the information theory method presented here. Then, the three remaining
103 years (2015-2017) are employed to retrospectively test the already calibrated
104 method without further optimization, so to try its robustness.

105 We will begin next section by describing the way the data is handled and
106 organized for the present study. Then we describe the methodology in a general
107 way. Section 3 is for results and discussions. The first Subsection is devoted
108 to the optimization of wzip to the present problem; since this is the first time
109 this method is applied to electricity production by wind turbines it requires
110 calibration and tuning as any new instrument does. Then, in Subsection 3.2
111 we present an application of the method to anticipate good periods of WEP
112 in combination with conventional sources. Subsection 3.3 goes onto yearly
113 analyzes mostly intended to long run planning. In Section 4 we give the main
114 conclusions of this work.

115 2 Methodology

116 2.1 Data organization

117 WEP data are updated every 15 minutes, namely, on the hour HH:00, then
118 HH:15, HH:30, HH:45, (HH+1):00, and so on [30,31]. We will organize these
119 data in yearly files beginning at 0:00 hours of that year and ending at 24:00 of
120 December 31 that same year. This last register is the first register of next year
121 and so on. Such sequence will be denoted by $P(t)$ and it represents the total
122 instant power produced by all wind turbines connected to the generation of
123 electricity in Germany. It is reported in megawatts (MW) with a production
124 that at present reaches over 10 GW in the good periods. From this point
125 of view $P(t)$ is stored in registers consisting of 7 or more digits: 5 of them
126 correspond to the integer part, then we have the decimal point followed by
127 two or more digits. However, the precision of the information is higher for
128 the digits reflecting more energy than for the digits representing the smaller
129 contributions, since there is no guarantee that the measurement in each wind
130 turbine is done at the highest possible accuracy. So we will restrict ourselves
131 to integer numbers in units of MW rounding up the decimal point in the usual
132 way (equal or over .5 is approximated to the next integer). Examples are given
133 in the third and fourth columns of Table 1, which will be fully explained below.

134 With the yearly data adjusted to five integer values in decimal numerical basis,
135 registers are organized in files in the form of vectors: one entry per line. Then
136 we have files with 35041 registers (lines) for years 2010, 2011, 2013 and 2014;
137 the file for the leap year 2012 has 35137 lines. It should be noticed that these
138 data cannot reflect local or regional variations of wind.

139 2.2 Information recognizer

140 Data compressor wzip was created to recognize repeated meaningful informa-
141 tion in a sequence of data, which is different to the recognition of repeated
142 random information done by usual data compressors like rar or bzip2 among
143 others. In spite of been registered as intellectual property it is offered free of
144 charge upon request by email (eugenio.vogel@ufrontera.cl) [23]. Actually wzip
145 compacts less than other compressors. However, compressions done by wzip
146 are based on exact matching of data structures representing properties of the
147 system. Thus, a high degree of compression indicates repetitive information,
148 namely a system that does not change significantly its properties within the
149 time window under consideration. On the other hand, a very low degree of
150 compression means lack of repetitive information, namely a system that is

151 constantly and abruptly changing its properties; in the extreme situation it
152 could be approaching chaos.

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153 Table 1. The first column enumerates the instants sequence every 15 minutes; the
 154 second column is a five-digit random sequence for WEP; the third column corre-
 155 sponds to the actual quiet WEP sequence around noon of Saturday March 23, 2013;
 156 the fourth column gives the actual agitated WEP sequence during the morning of
 157 Sunday January 27, 2013. The fifth column repeats the data of the fourth column
 158 in quaternary basis. All WEP powers expressed in integer units of MW. The last
 159 row gives the mutability value for each column.

Instant	Random	2013.03.23	2013.01.27	2013.01.27
		Quiet	Agitated	Agitated
	Decimal	Decimal	Decimal	Quaternary
1	35743	00685	06664	001220020
2	34993	00699	06703	001220233
3	34823	00742	06818	001222202
4	35143	00757	07099	001232323
5	35173	00734	07221	001320221
6	35123	00728	08632	002103120
7	34383	00703	09432	002121000
8	34463	00653	09792	002132213
9	34213	00643	10151	002132213
10	34803	00588	10557	002210331
11	33953	00561	10731	002213223
12	33603	00567	11289	002300121
13	33143	00563	11583	002310333
14	33153	00576	11808	002320200
15	33773	00616	12084	002330310
16	32703	00630	12411	003001323
17	31683	00635	12845	003020231
18	30223	00634	13523	003103103
19	29803	00594	13496	003102320
20	30663	00612	13657	003111121
21	31273	00594	14133	003130311
22	31003	00621	14426	003201122
23	31193	00650	14403	003201003
24	30953	00646	14872	003220120
25	35743	00656	14930	003221102
26	34993	00652	15045	003223011
27	34823	00674	15384	003300120
28	35143	00676	15637	003310111
29	35173	00646	15992	003321320
30	35123	00633	15980	003321230
31	34383	00653	16184	003330320
32	34463	00704	16611	010003203
$\mu_{32_3_3}$	1.0	0.076	1.545	1.545

160

161 The dynamical application of wzip requires the definition of a time window
 162 which will be kept constant through the study. This is one of the several
 163 calibration processes to be done below. To decide upon the length of the time
 164 window we have to pay attention to the properties of the system as well as to
 165 the urgency of obtaining a useful answer. Of course the longer the time window
 166 τ (measured in number of instants, or number of quarters of an hour for the
 167 present data) the better the precision achieved in the compression. However,
 168 shorter τ values will make the method more effective in terms of anticipation
 169 to use the information soon to make decisions. In next Section we will present
 170 evidence showing that $\tau = 32$ (8 hours) is an appropriate time window; this
 171 will be the first fixed calibrated index. At this point we anticipate this result
 172 to continue with the presentation of the methodology.

173 We are now in position of defining the relative mutability of a time series
 174 at any given time t . The instantaneous sequence consists of 32 values: the
 175 WEP at the present instant and the 31 precedent ones in the original file. Let
 176 us compress this partial vector obtaining its "weight" in bytes $w^*(t, \tau)$. This
 177 value has not absolute meaning and it can vary depending on τ . To define
 178 a parameter which oscillates around 1.0 we define the relative mutability by
 179 dividing previous value by $W(\tau)$ which is the weight of a fixed file, with 32
 180 random registers with 5 digits similar to those of the series $P(t)$. Then the
 181 relative mutability $\mu(t, \tau)$ is simply given by the ratio

$$182 \quad \mu(t, \tau) = \frac{w^*(t, \tau)}{W(\tau)}. \quad (1)$$

183 To put previous equation in operational terms let us turn now to Table 1,
 184 whose first column is just the ordinal number of the 32 instants considered
 185 for the specific time window identified at the heading of each column. The
 186 second column gives a possible random sequence of weight $W(\tau) = W(32)$
 187 to be used here as a reference. Since mutability is a relative indicator any
 188 random sequence will cope with this purpose. The first digit (3) is constant
 189 and irrelevant; the second digit presents some variations while third, fourth
 190 and fifth digits show high dispersion behaving randomly. Actually registers in
 191 this column are arbitrary and they have no real significance since all mutability
 192 values will be referred to this same sequence all the time. It has been chosen so
 193 a relative mutability value less than one tells of a monotonous time series, while
 194 a μ_r value larger than one identifies a more agitated sequence; the subindex r
 195 identifies the calibration adjustment which will be discussed below.

196 The third column of Table 1 copies the 32 values of a calmed eight hours period
 197 of the day 2013.03.23 (using the notation year.month.day: YYYY.MM.DD).
 198 This vector of 32 values is analyzed by yielding a weight $w^*(t, 32)$; the muta-
 199 bility is then obtained by taking the ratio over $W(32)$ just defined in previous
 200 paragraph. This is the value for μ_{32_3} reported in the bottom line of Table 1

201 (0.076 in this case). The fourth column lists the 32 values of an agitated eight
 202 hours period of the day 2013.01.27. The corresponding μ_r value is given in
 203 the last line. The fifth column expresses in quaternary basis the same decimal
 204 information given in the fourth column for reasons to be discussed below. All
 205 power data are given in MW, with 5 integer digits. It can be noticed that
 206 zeroes to the left are explicitly included here to emphasize that the digits in
 207 these positions could also to be recognized by wzip.

208 2.3 Use of the information recognizer

209 As any instrument wzip needs calibration and tuning. One of these features
 210 was already mentioned: the time window needed for dynamic measurements.
 211 Other important adjustable knob is the numerical basis used to express the
 212 information to be recognized. We are accustomed to the decimal basis that is
 213 used worldwide nowadays. However, this is not necessarily the most appropri-
 214 ate basis for any numeric information recognition.

215 It is possible to gain precision if we translate the data into a lower numerical
 216 basis thus increasing the number of digits used to express the same informa-
 217 tion. An example of this is presented in the fifth column of Table 1, where
 218 we give the same information of the fourth column except that now this is
 219 expressed in quaternary basis, namely, a basis of four digits only: 0, 1, 2 and
 220 3. In this way a certain power production is expressed now with more digits
 221 than in the decimal basis; the recognition of repetitions can be done now at
 222 intermediate precisions which were not available with decimal basis. We will
 223 define b as the number of digits present in the basis used for the compression.
 224 The corresponding mutability is denoted by μb . Since we will use quaternary
 225 basis in the rest of this work we could omit the suffix 4, namely, $\mu = \mu 4$.

226 One interesting feature of wzip is that the information recognition can be
 227 focused on the digits bearing the significant changes. For instance it is clear
 228 from columns 3 and 4 of Table 1 that the first of the five digits varies very
 229 little. The variations of the last two digits have relatively low significance. The
 230 significant variations are in digits second and third for these results in decimal
 231 basis. But we will use quaternary basis in the applications so let us turn our
 232 attention to the fifth column of Table 1, where we realize that the significant
 233 changes in the data begin at the third digit. This initial position (3) for the
 234 meaningful information is the second calibration which will be 3 from now
 235 on. In the next Section we will justify that it is enough to look for only the
 236 three digits to the right of the initial position (third, fourth and fifth digits).
 237 The number of recognizable digits is the next calibration parameter; 3 in the
 238 present case. This establishes the notation for the mutability in the last row
 239 of Table 1: $\mu_{32_3_3}$.

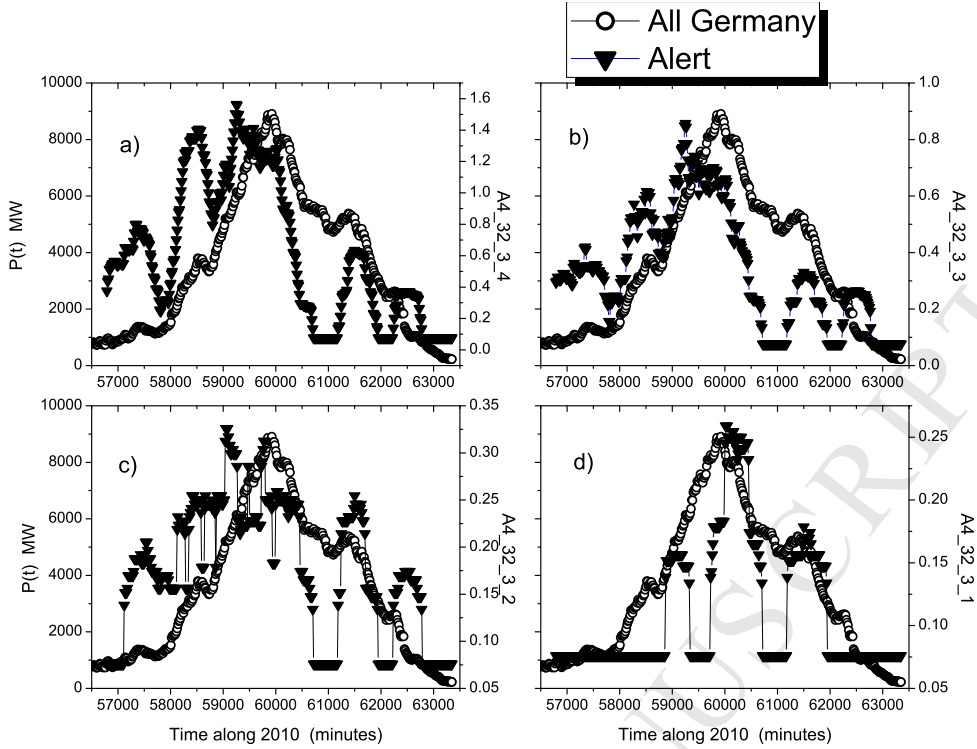


Fig. 1. Evolution of electricity generation by WEP during 100 hours around February 10 to February 13, 2010 (open circles). Alert function with 4, 3, 2 and 1 digit recognition are presented in Figs. a), b), c) and d), respectively (triangles).

240 To better illustrate the way wzip works we have prepared a detailed treatment
 241 for two different sequences as an example in the Appendix at the end. More
 242 interested readers are referred to a broader presentation of the method [23].

243 Other important calibration feature has to do with the interval in which the
 244 time variation $\delta(P(t))/\delta t$ is calculated. We will settle for a four-fold variation
 245 with a separation of 8 instants each as it will be shown in Section 3.

246 All previous calibration procedures will be presented and discussed at the
 247 beginning of next Section. In any case, there is not a unique calibration; in
 248 what it will be presented below we show plausible ways to tune wzip for the
 249 present application. The final selection of parameters may look a bit arbitrary,
 250 but this can be justified by the little variation there is in the results when
 251 parameters are varied. A discussion on alternative ways of dealing with some
 252 of the features involved in wind power ramp forecasting can be found in a
 253 recent review by Gallego-Castillo et al. [4].

254 **3 Results**255 *3.1 Calibration of wzip*

256 **Tuning the sign.** Large values of μ can mean variations to both increasing
 257 and decreasing periods of WEP (positive and negative ramps). To discriminate
 258 between these two regimes we combine μ with the time variation of the WEP
 259 function: when the time variation is positive and μ is high enough this is an
 260 anticipated signal for a positive period of electricity generation based on wind
 261 energy plants.

262 Let us consider the time variation $D = \delta P(t)/\delta t$, where $P(t)$ is a function of
 263 a discrete variable t . The range δt is measured by the number of q intervals
 264 of quarters of an hour. To look for more stable results we consider more than
 265 one $\delta P(t)$ difference in the definition above which now can be labeled as $D^{d,q}$,
 266 where d is the number of differences considered for the variation. Thus for
 267 $d = 4$, we can define a four-fold time variation $D^{4,q}$ in the following way

$$D^{4,q}(t) = \begin{aligned} &P(t) - P(t - q) + P(t - 1) - P(t - 1 - q) \\ &+ P(t - 2) - P(t - 2 - q) + P(t - 3) - P(t - 3 - q) \end{aligned} \quad (2)$$

268 where we could eventually divide this result by the number of intervals (4)
 269 but it is not necessary, since we will use its sign only.

270 With previous expression we define a multiplier M in such a way that $M^{4,q}(t) =$
 271 $+1$ when $D^{4,q} > 0$ and $M^{4,q}(t) = 0$ otherwise. In simple words, $M^{4,q}$ is the
 272 sign of the variation defined in previous equation. Let us define a "treated"
 273 power sequence $Q(t)$ upon defining

$$274 \quad Q^{4,q}(t) = M^{4,q}(t)P(t). \quad (3)$$

275 As it can be seen $Q(t)$ is exactly the same as $P(t)$ in the periods with positive
 276 variation while it is 0.0 otherwise. In a sense we will ignore the periods with
 277 negative tendency for the purposes of detecting the onset of a favorable period
 278 of WEP.

279 We are now certain that the maxima in the mutability function $\mu(t)$, for the
 280 sequence $Q(t)$, correspond to the moments when power generation is increasing
 281 at a large rate. This can be calibrated to recognize precursors of good periods
 282 for WEP. This is achieved by defining a function called alert $A(t)$ that for
 283 a time window of i instants and numerical basis b can be expressed in the

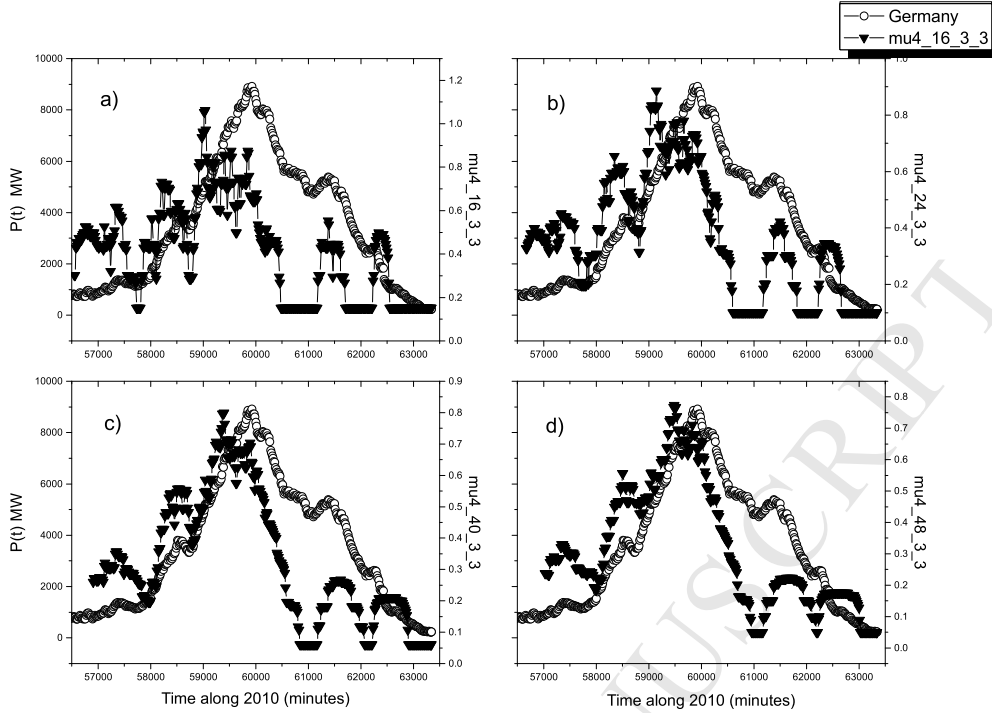


Fig. 2. Evolution of electricity by generation WEP during 100 hours around February 10 to February 13, 2010 (open circles). Alert function with ranges of 16, 24, 40, and 48 instants at intervals of 15 minutes each are presented in Figs. a), b), c) and d), respectively (triangles).

284 following way:

$$285 \quad A_i^{4,q}(t) = \mu_i[Q^{4,q}(t)], \quad (4)$$

286 where we have dropped the suffix 4 in the mutability.

287 We need to decide about the time variation interval q . Upon looking at the
 288 data it is possible to realize that WEP can take a few hours to develop over
 289 5 MW with strong positive slope. The time variation of WEP must consider
 290 this fact and it must reflect a stable tendency for a meaningful recent period
 291 of time. If this interval is too short (one hour say) quick variations can give
 292 erroneous behavior. If this interval is too large (a few hours say) the expected
 293 anticipation for a positive period could be lost. We have to settle for a value
 294 and we pick a two hours variation ($q = 8$); some justification for this choice
 295 will be given below.

296 **Tuning the field.** As it can be seen from the data in column 5, the first digit
 297 0 never changes in this sequence, while the second position changes very little
 298 (see the last entry of this column). This is the idea of the tuning mechanisms

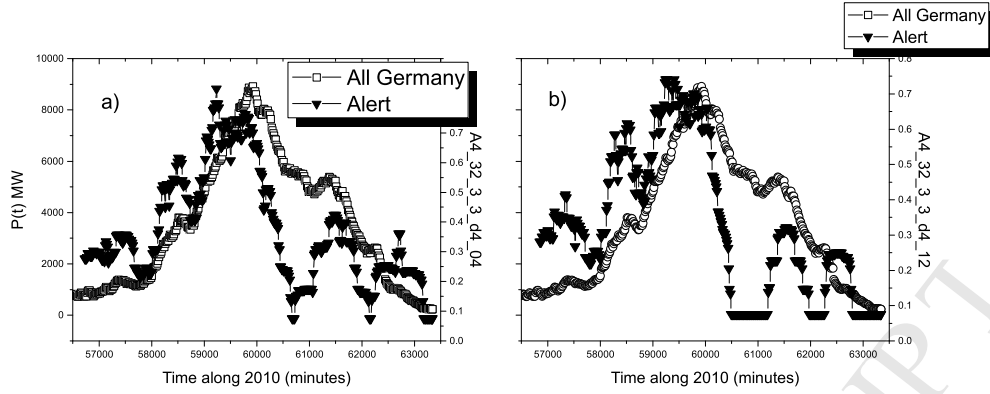


Fig. 3. Evolution of electricity generation by WEP during 100 hours around February 10 to February 13, 2010 (open circles). Alert function with time variations obtained with delays of 4, and 12 instants at intervals of 15 minutes each are presented in Figs. a), and b), respectively (triangles).

299 in information recognition: we can set $wlzip$ to recognize s digits beginning
 300 at position r , which is also included in the count of s . Such mutability will
 301 be denoted as $\mu_{i-r-s}(t)$. The corresponding alert function will be labeled as
 302 $A_{i-r-s}^{4,q}(t)$, for a four-fold time variation. Which is the optimum s value?

303 Let us begin the data recognition from the third position ($r = 3$) including
 304 the 5 digits to its right ($s = 5$). We consider $i = 32$ and $q = 8$. In this way
 305 we calculated dynamic alert indicators like: $A_{32-3-5}^{4,8}(t)$, $A_{32-3-4}^{4,8}(t)$, $A_{32-3-3}^{4,8}(t)$,
 306 $A_{32-3-2}^{4,8}(t)$, and $A_{32-3-1}^{4,8}(t)$, thus progressively lowering the recognition field.

307 We now apply these variations to the calculation of alert to a period of 100
 308 hours around February 10 to February 13, 2010. This period is appropriate
 309 because the increase of $P(t)$ is rather smooth as compared to other increases
 310 to be considered below and it has a very small precursor just under 57500
 311 minutes; then it shows a more pronounced increase with a set back just over
 312 58500 minutes followed by a vigorous increase over 59000 minutes. We want
 313 an indicator able of discriminating these behaviors. Results are shown in Fig.
 314 1 for $s = 2, 3, 4$, and 5. (The case for $s = 1$ is quite similar to $s = 2$ so it has
 315 been omitted from the figure but it is included in the discussion below).

316 Fig. 1a) shows that $A_{32-3-4}^{4,8}(t)$ gives a too large response for the small precursor
 317 at 57500 minutes. On the other hand, the other two maxima are almost of the
 318 same height. Actually both features are even more so in the case of $A_{32-3-5}^{4,8}(t)$,
 319 which is not shown in the figure. This is an indication to move to lower s
 320 values. When we consider Fig 1b) we appreciate a better established role of
 321 the maximum over 59000 minutes for $A_{32-3-3}^{4,8}(t)$. However, as we go to Fig. 1c)
 322 we realize that $A_{32-3-2}^{4,8}(t)$ evidences the onset of saturation for the alert function
 323 and the maximum begins to shift to the right, thus losing anticipation. These
 324 comments can only be reinforced upon looking at function $A_{32-3-1}^{4,8}(t)$ in Fig.

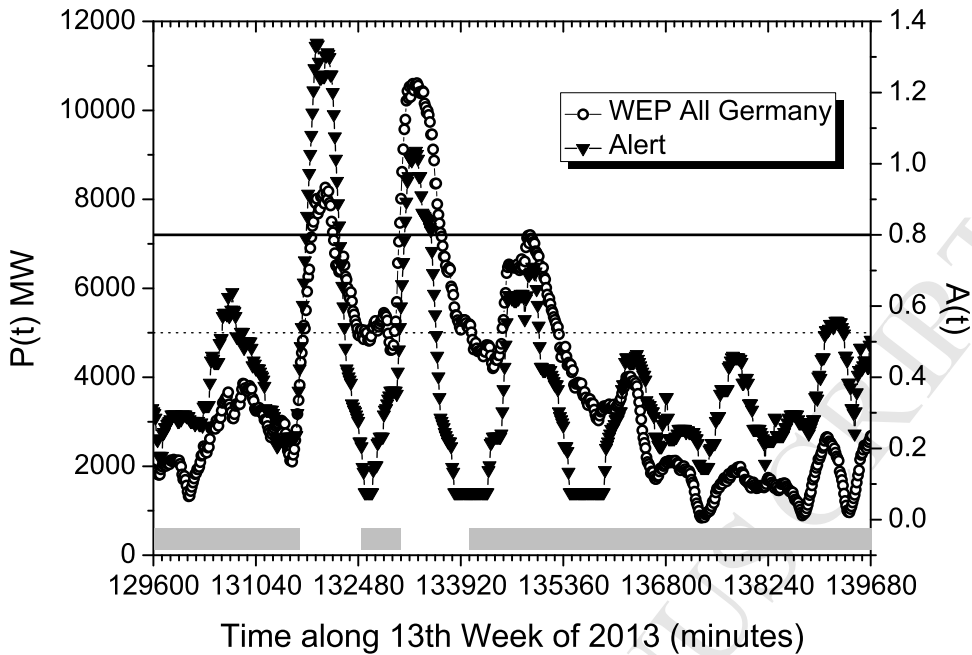


Fig. 4. Several short moderate/negative periods for WEP during the last week of March 2013. Not all of them can be conveniently used to feed the electricity network replacing conventional sources. If the protocol proposed in the text is used only the two white periods shown on the gray band just over the abscissa axis would have been used for a total of about 32 useful hours.

325 1d). This analysis shows that an optimization is possible and that $A_{32_3_3}^{4,8}(t)$
 326 combines the right contrast of the maxima, the sensitivity to the changes in
 327 wind power generation and a reasonable anticipation to an incoming positive
 328 period of WEP.

329 At this point we want to emphasize that previous choice (and others coming
 330 below) are not unique but represent plausible values for the first time this
 331 method is used in this field. A true optimization using actual wind farm data
 332 is far beyond the present scope of this paper.

333 From previous analysis we settle from now on to the precision $r = 3$ and $s = 3$
 334 for information recognition on the WEP data expressed in quaternary basis.

335 **Tuning the time window.** Let us now vary the time window i . Results
 336 for $A_{16_3_3}^{4,8}(t)$, $A_{24_3_3}^{4,8}(t)$, $A_{40_3_3}^{4,8}(t)$, and $A_{48_3_3}^{4,8}(t)$ are shown in Figs. 2a), 2b),
 337 2c) and 2d), respectively. As it can be seen $A_{16_3_3}^{4,8}(t)$ tends to give a discrete
 338 response indicating low accuracy; in addition the discrimination between the
 339 weak increase at time 57500 minutes with respect to the second one near
 340 58500 is very poor. On the other extreme, $A_{48_3_3}^{4,8}(t)$ presents a clear delay
 341 with respect to $A_{32_3_3}^{4,8}(t)$ given already in Fig. 1b). We have settled for a time

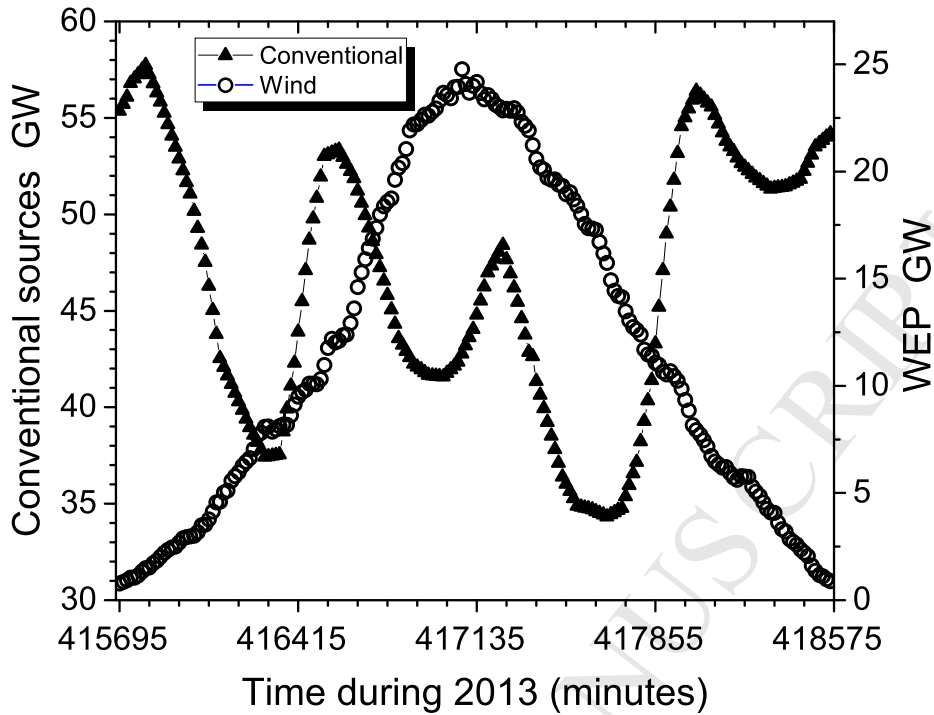


Fig. 5. Generation of electricity by conventional sources (filled triangles) and WEP (open circles) from noon of October 16, 2013 to noon of October 18 2013.

342 window of $i = 32$ instants (8 hours).

343 **Tuning the anticipation.** We analyze now the value of the interval q in
 344 the time variation. In Fig. 3a) we present the results for $q = 4$ where we see
 345 an acceptable behavior. However, the case $q = 8$ already presented in Fig. 1
 346 has a more continuous variation and the periods with negative tendency are
 347 better recognized (flat minima on the right-hand side). In the case of $q = 12$
 348 presented in Fig. 3b) we appreciate a lower contrast among the maxima of
 349 alert on the left-hand side, while the anticipation is slightly lost. Then, $q = 8$
 350 looks like a reasonable value which we use from now on.

351 **Summary on Tuning.** Previous interval of 4 days during February 2010
 352 was chosen because it shows an almost continuous increase of WEP along one
 353 and a half day, which is somewhat extended as compared to most increases in
 354 WEP. One can think that what it works for this extended period with several
 355 variations should work even better for more sudden continuous increases of
 356 WEP. So, for the rest of the paper we consider exclusively values for $A_{32,3,3}^{4,8}(t)$
 357 which we will simply denote as $A(t)$ from now on.

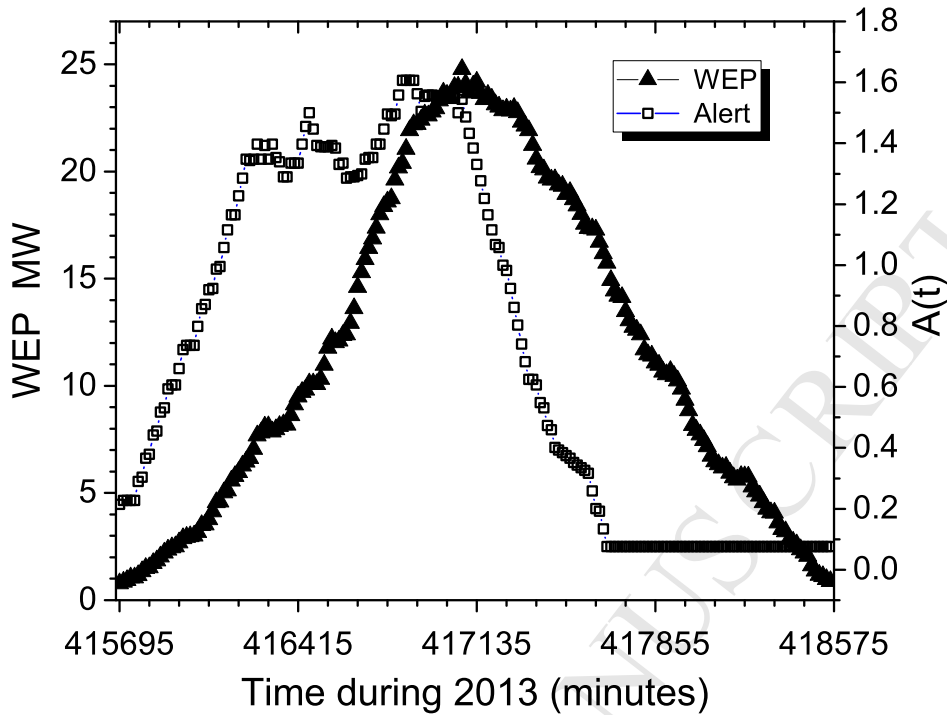


Fig. 6. Treated WEP in the way described by Eq (3) and related discussions (filled stars) and the corresponding Alert $A(t)$ function (open squares) from noon of October 16, 2013 to noon of October 18 2013.

358 3.2 Operation

359 **Protocol.** The function alert $A(t)$ defined above will be now the main in-
 360 dication for the operation of a fictitious plant that will combine WEP with
 361 conventional sources.

362 We propose here a very simple initial operational protocol which can be defined
 363 in terms of the following cyclic three steps: 1) When alert $A(t)$ overcomes a
 364 critical value A_C , namely when $A(t) > A_C$, WEP supplies energy and conven-
 365 tional sources lower their production accordingly. 2) WEP is used through the
 366 network while power produced in this way overcomes a preestablished minimal
 367 power P_{min} . 3) When WEP goes under P_{min} the plant working on conventional
 368 sources is back in full operation. 4) The process continues indefinitely in this
 369 way alternating steps 1 through 3.

370 This protocol is a simplification of a gradual shut out of conventional sources
 371 in balance with WEP production. The main purpose here is to illustrate the
 372 detection of the onset of a favorable ramp. Step 3 is the simplest possible way
 373 to return to conventional sources and can be readily replaced by any other

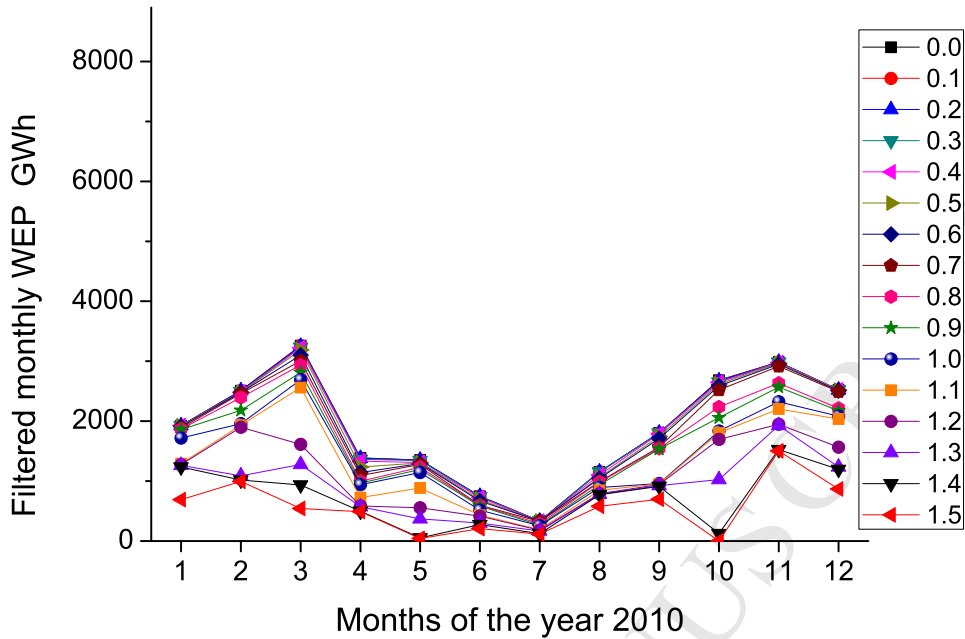


Fig. 7. Electricity generated by wind turbines in all over Germany during 2010 filtered according to the A_C values given in the inset.

374 established method for the same purpose. What is new in our proposal is the
 375 way to achieve Step 1 by means of information theory.

376 The value of P_{min} can be defined in terms of practical terms. Upon looking at
 377 the actual data for the entire WEP in all over Germany a sensitive P_{min} can
 378 be 5 GW, value which we will use for illustrative reasons only. However, this
 379 value can be adjusted according to seasons, local conditions and evolution of
 380 the productivity.

381 **Example of administration** Let us do an exercise to appreciate the way
 382 previously proposed mechanism can help to save energy. We use the data
 383 for entire Germany and we choose to illustrate the protocol during a rather
 384 poor week for WEP, namely the 13th week of year 2013 going from Monday
 385 March 25 to Sunday March 31. The generated electric power is given by the
 386 function $P(t)$ in Fig. 4 by means of open circles. The solid downward triangles
 387 give the values of $A(t)$ calculated as described above; this function is to be
 388 read on the scale to the right of Fig. 4. What should be the value of A_C to
 389 make appropriate use of the scarce WEP during this week? We pick the value
 390 $A_C = 0.8$ for the purposes of the present exercise only.

391 So now we invoke the protocol for $A_C = 0.8$ and $P_{min} = 5$ GW. The result is
 392 shown by the bar just over the abscissa axis: gray means conventional sources
 393 period, white means partial replacement of energy generation by means of

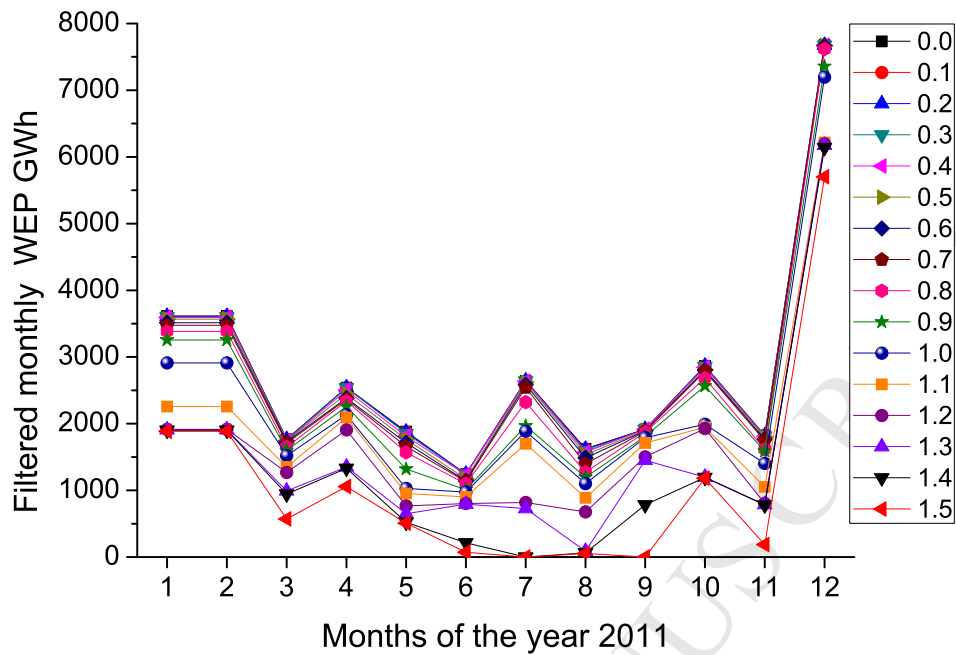


Fig. 8. Electricity generated by wind generators in all over Germany during 2011 filtered according to the A_C values given in the inset.

394 wind power plants. For this example we find about 32 hours during this week
 395 where electricity generated by wind had a real significance. This can change
 396 a bit according to the parameters defining the protocol but the point here is
 397 that a protocol is feasible to make use of the electricity generated in this way
 398 even during unfavorable periods.

399 **Anticipation** The next point is to establish the degree of anticipation of a
 400 protocol like the one just presented above. To do this job we combine previous
 401 data with the actual production of electricity both by wind and by conven-
 402 tional sources [31].

403 When the energy data is examined it is found that overshoots between 5 and
 404 15 % occur during days with high WEP. So energy is lost during periods with
 405 the most favorable condition for wind energy. This happens for about 15 to
 406 25 days during a year which means that energy from conventional sources
 407 could have been saved. This is a clear indication that protocols still have not
 408 been optimized to handle favorable periods of WEP. In the next example we
 409 show a way the previously defined protocol could have helped to avoid using
 410 conventional sources thus saving energy.

411 Let us pick the overshoot that occurred on Friday, October 17, during the 42nd
 412 week of 2013. In Fig. 5 we present the total energy produced by conventional
 413 sources (filled triangles) and WEP (open circles) from noon October 16 to

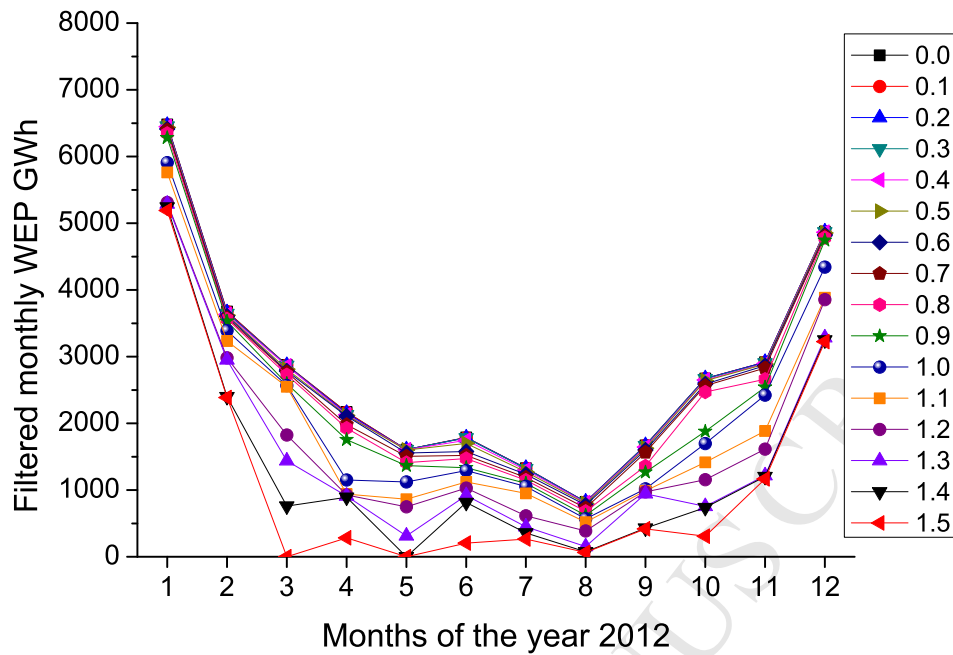


Fig. 9. Electricity generated by wind power plants in all over Germany during 2012 filtered according to the A_C values given in the inset.

414 noon October 18 [31]. Could the incoming favorable period for WEP have
 415 been anticipated in a better way? The answer is yes and it is contained in
 416 Fig. 6 where open squares give the function $Q(t)$ defined in Eq (3) and solid
 417 stars give the corresponding alert $A(t)$ function defined by Eq. (5). This last
 418 indicator goes over $A_C = 0.8$ when conventional sources continue to be used
 419 at normal pace as seen from Fig. 5. It is clear that a protocol similar to the
 420 one described above would have had the anticipation to save at least part of
 421 the energy generated excessively.

422 3.3 Yearly outcomes

423 The method based on information theory proposed above can also help to
 424 analyze the production of wind energy on seasonal bases. Eventually different
 425 strategies can be defined for the different months or even weeks along the year
 426 if the tendencies are known.

427 Let us consider the electricity generated by means of WEP during the first five
 428 years of the present decade: 2010, 2011, 2012, 2013 and 2014. For each year
 429 we have the power generation $P(t)$. To this series we can instantly calculate
 430 $A_{32-3-3}^{4,8}(t) = A(t)$ in the way described in previous subsection. From all the
 431 WEP we can filter the electricity generated according to the previously defined

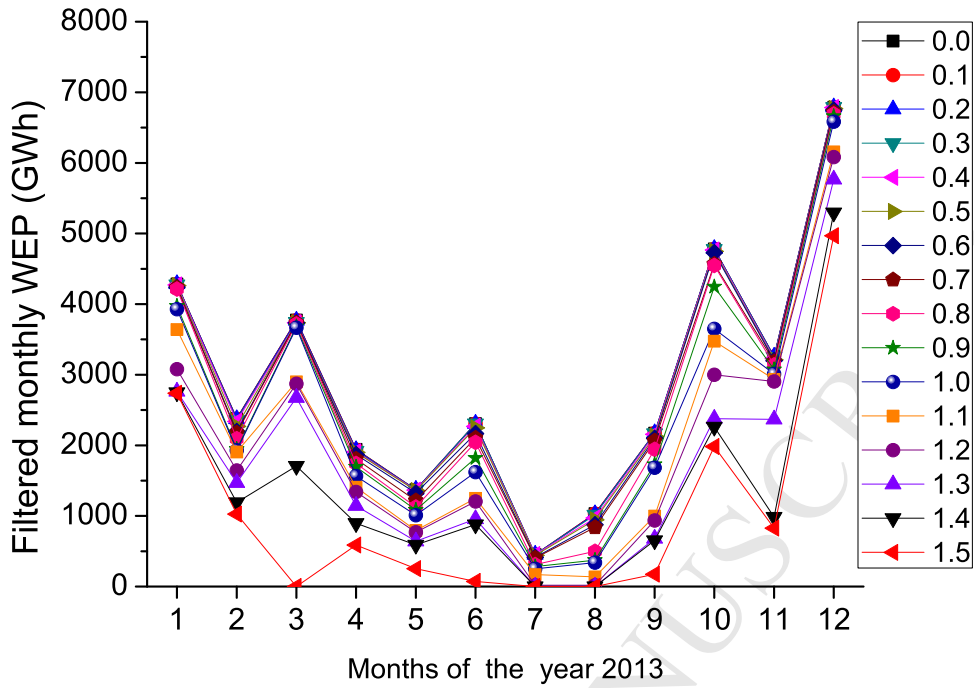


Fig. 10. Electricity generated by wind turbines in all over Germany during 2013 filtered according to the A_C values given in the inset.

432 protocol, with $P_{min} = 5$ GW and with values of A_C in the range $[0.0, 1.5]$ with
 433 increments of 0.1. The value $A_C = 0.0$ means no filtering so every Wh produced
 434 by any of the interconnected wind turbines is accounted for.

435 As A_C increases some small contributions are left out of consideration. For
 436 large values of A_C only favorable periods of WEP contribute to the filtered
 437 power. Electricity generated in this way is added up during each month as a
 438 way to appreciate the variations within a calendar year.

439 Results for years 2010 through 2014 are presented in Figs. 7 to 11, respec-
 440 tively. Several comments can follow from these results. WEP presents clear
 441 fluctuations along the year. Winter months tend to be the most productive
 442 ones while the opposite is the tendency for the Summer months. However,
 443 huge variations are possible as it can be appreciated from the error bars in
 444 Fig. 12, where we present the average filtered WEP for $A_C = 1.0$ over the five
 445 years under consideration for this calibration approach.

446 Moreover, filtered WEP changes from one year to next as it can be appreciated
 447 in Fig. 13 for the selected values of the critical parameter A_C given in the
 448 inset. The dominant fact is the gradual growth due to the installation of more
 449 turbines. In any case, some WEP energy is left out of consideration as A_C

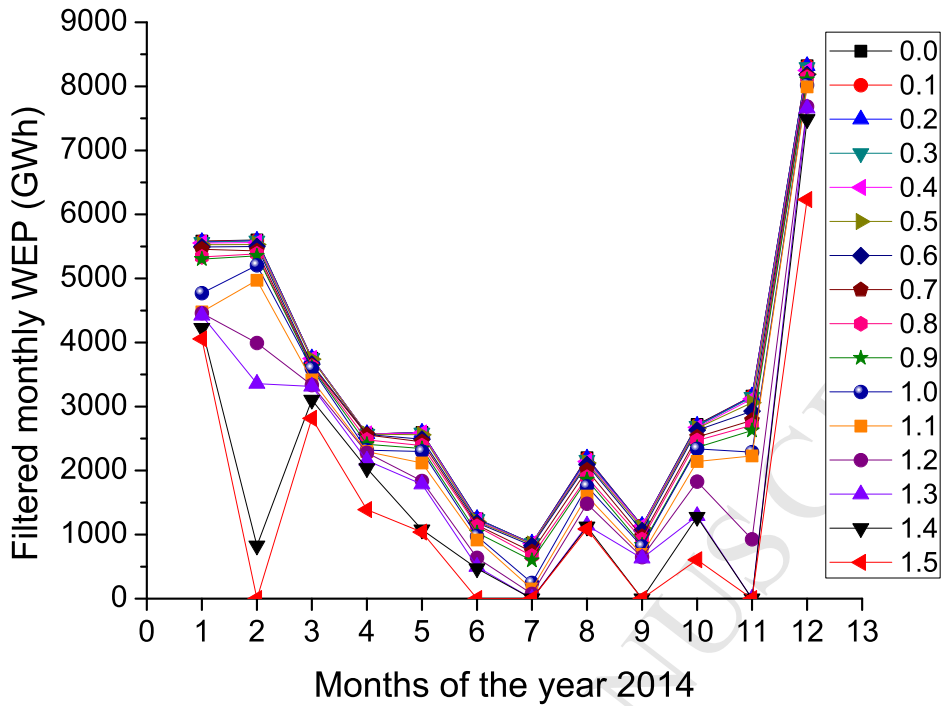


Fig. 11. Electricity generated by wind generators in all over Germany during 2014 filtered according to the A_C values given in the inset.

450 increases. However this is compensated by the lower operational costs as it can
 451 be seen from Fig. 14 where we present the number of connections according to
 452 the protocol for the same A_C values of Fig. 13. As is can be seen the number
 453 of connections for $A_C = 0.8$ is more than twice the number of connections for
 454 $A_C = 1.2$ to gain about 25 % of energy only.

455 3.4 Direct application to recent years

456 In previous sections we have used the five-year period 2010-2014 to tune wzip
 457 to make the best use of the available wind energy in the long run. In the
 458 present section we just use the best set of tuning parameters to apply them
 459 to the most recent years not covered in previous period. The purpose of this
 460 exercise is to see if the main results obtained by this method are robust enough
 461 as time evolves.

462 The already optimized tuning parameters for wzip are listed next. Time win-
 463 dow for the dynamical recognition: last 32 instants (8 hours). Numerical basis:
 464 quaternary. Sign of the time derivative: two hours delay ($q = 8$ in Eq. (2)).
 465 Digits recognition: third, fourth and fifth digits ("3_3" notation). Then we

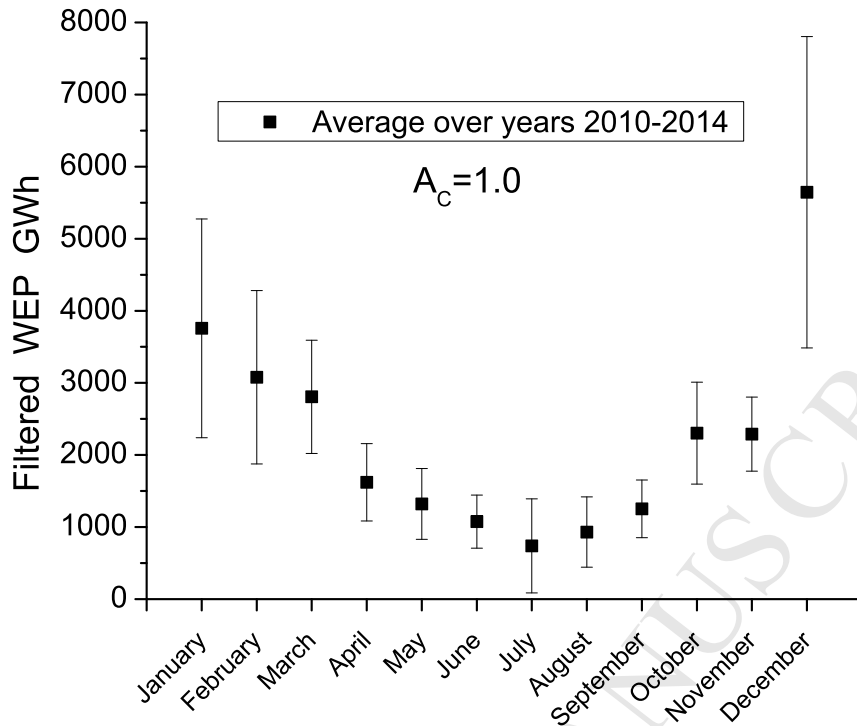


Fig. 12. Average yearly electricity generated by wind power plants in all over Germany filtered for $A_C = 1.0$.

466 have the options in the protocol (subsection 3.2) where we set for the inter-
 467 mediate one, namely $A_C = 1.0$ and $P_{min} = 5$ GW. We now just apply these
 468 options to the data of the years 2015, 2016 and 2017.

469 Fig. 15 shows the active time of connection of the system according to the
 470 protocol by means of dark rectangles. The duration of the connection can be
 471 read as the interval on the abscissa axis, while the ordinate gives the average
 472 power generated in that interval (the area of the rectangle is the total power
 473 generated in this way). Years 2015, 2016 and 2017 are piled up on the same
 474 plot to appreciate general seasonal trends. Months are only approximate upon
 475 dividing each year in 12 equal periods.

476 November, December and January are the most reliable months for good wind
 477 energy generation, which confirms the tendency already established during the
 478 previous five years. Along the same way, May, June, July and August present
 479 short and weak intervals of usable wind energy. The other months are erratic
 480 and it is precisely here where algorithms as the one presented here can help
 481 to anticipate good periods.

482 Fig. 16 shows the yearly trend for the functioning of the protocol. The bars on
 483 the left show the total wind energy generated during each year, namely, they

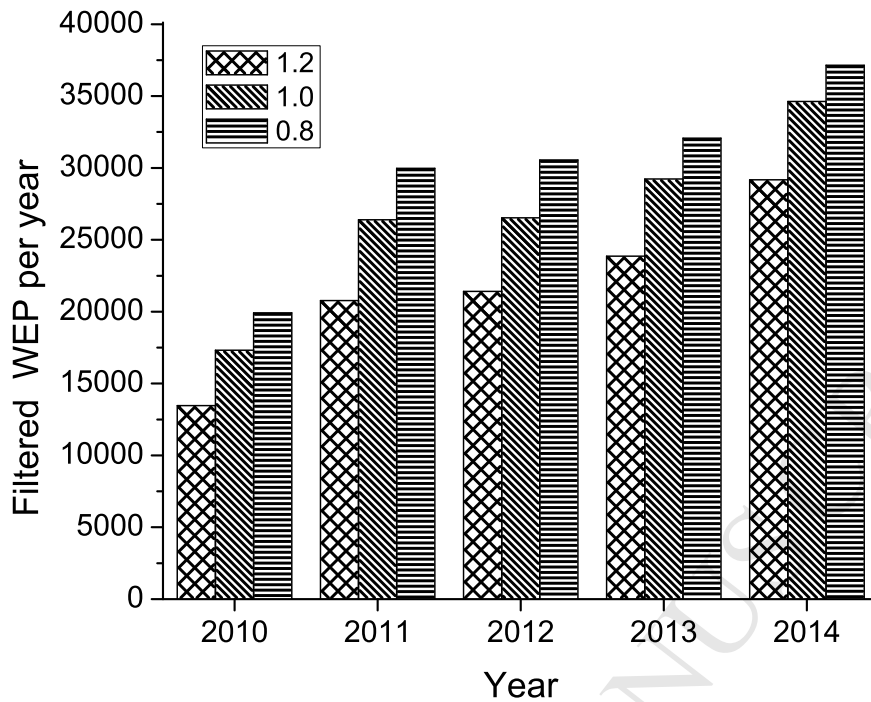


Fig. 13. Filtered WEP according to the protocol defined in the text for the A_C values given in the inset.

484 represent the addition of all the corresponding dark areas for each year in Fig.
 485 15. The increasing tendency already observed in Fig. 13 for previous years
 486 still holds. This reflects the investment of resources in the form of more wind
 487 turbines connected to the system; weather variations only slightly modulate
 488 this nearly steady increase in used wind power.

489 The bars on the right reflect the number of connections needed according to the
 490 protocol. Values are close to the year 2012 of previous period. This indicator
 491 is rather constant and around 100 connections per year for the parameters
 492 defined above.

493 Except for small variations or fluctuations the general trend observed in pre-
 494 vious five years prevails which is a good indication for the robustness of
 495 the method. Improvements and optimizations are still possible. However, this
 496 should be done in situ, with local data for the particular wind farms under
 497 consideration.

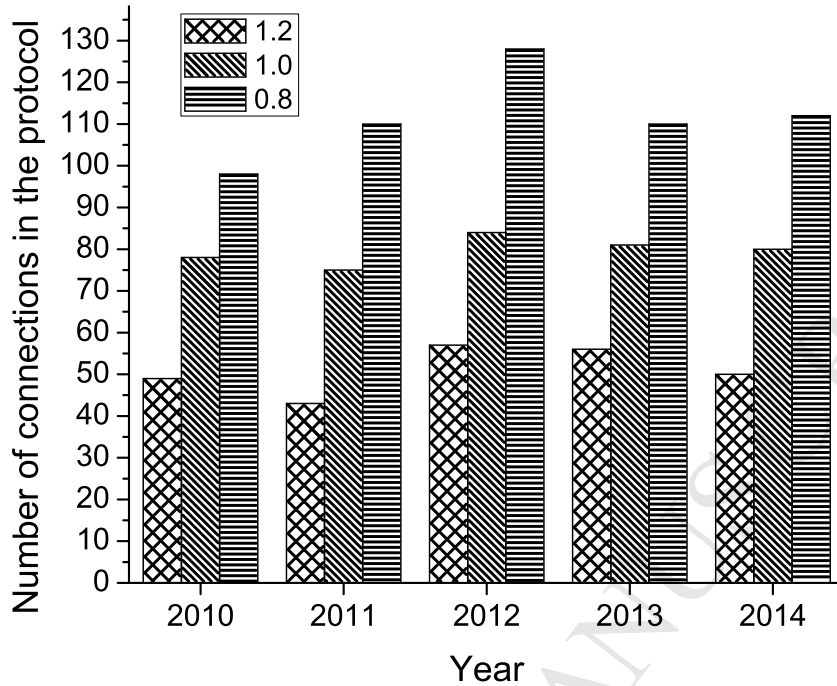


Fig. 14. Number of connections in the protocol for the A_C values given in the inset.

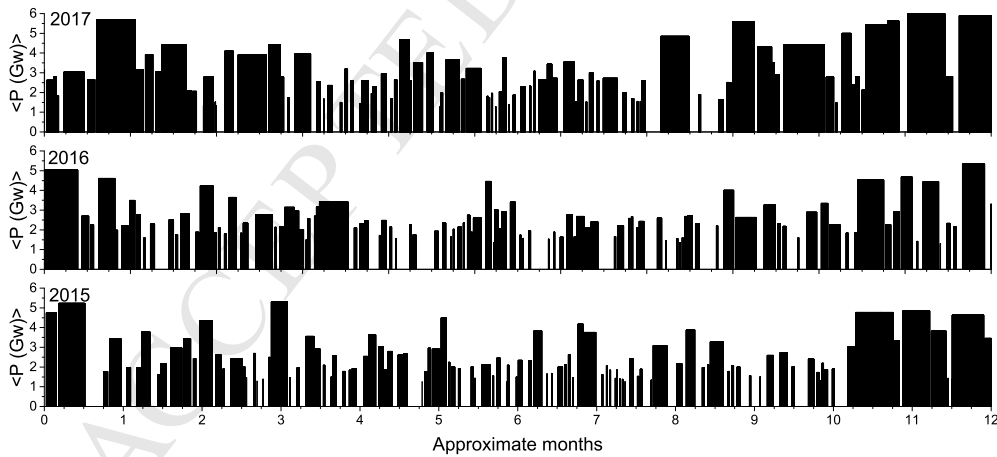


Fig. 15. Dark areas show the usable wind energy according to the parameters given in Subsection 3.4. The connected time is read directly for each interval on the abscissas. The average power for the connected time is given as ordinate. Years 2015, 2016 and 2017 are shown on a common axis showing approximate months to appreciate seasonal variations.

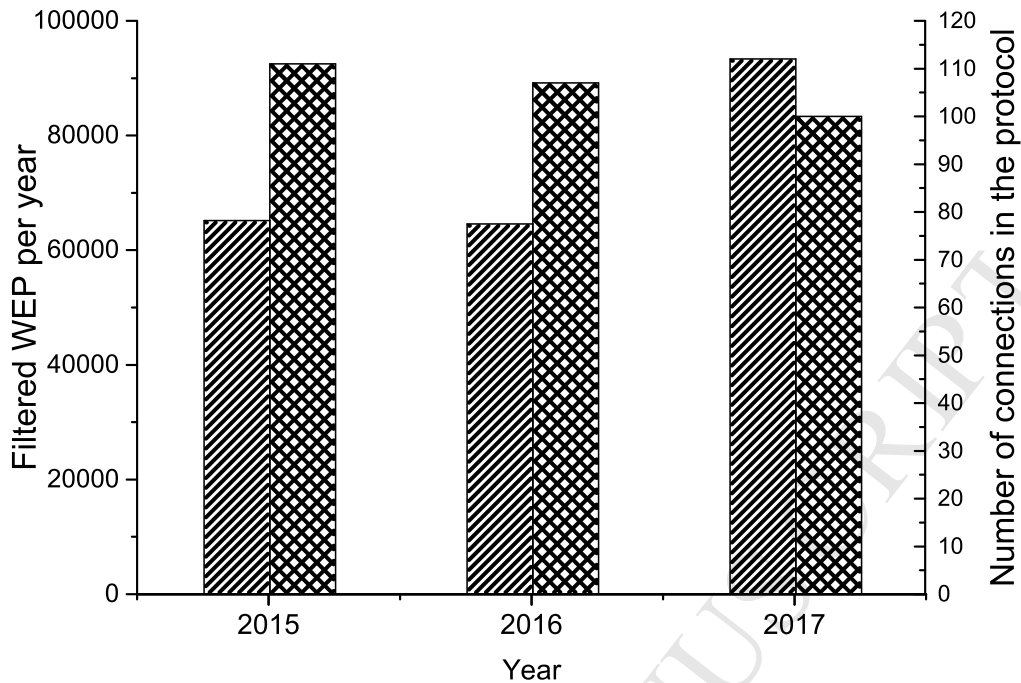


Fig. 16. Yearly accumulation of usable wind energy (left) and number of needed connections (right) for the parameters given in Subsection 3.4.

498 4 Conclusions

499 The variability present in the wind power can be recognized by information
 500 theory in a dynamical way. The mutability $\mu(t)$ of the recent WEP produc-
 501 tivity is a valid indicator for the changes in the productivity pattern. When
 502 this is combined with the time variation of WEP we can define function alert
 503 $A(t)$ which focuses on the increases of WEP only.

504 A calibration procedure can allow to determine the threshold value for $A(t)$,
 505 namely A_C , which announces a period of high wind energy generation. We have
 506 presented a way to do this using the data for all Germany. Similar procedures
 507 could be established for local plants. Moreover, seasonal corrections can also
 508 be contemplated.

509 In this way the information content of the time series giving the actual elec-
 510 tricity generated by wind turbines can be used to predict its favorable periods.
 511 This is similar to what has been done in the case of economical variables [25,26]
 512 and biomedical data [27,28]. In a way, this instrument can be thought of like
 513 a "thermometer" measuring the positive agitation prior to a potent period of
 514 WEP.

515 It is possible to calibrate a protocol according to different local conditions and

516 productivity levels. As explained above wzip allows tuning of several knobs
517 to optimize its performance. The numerical basis can be chosen in accordance
518 with the range of oscillations of the data: for relatively small oscillations a
519 low numerical basis should be used (In the case of blood pressure data a
520 binary basis was used [27,28]); we settled for a quaternary basis for the data
521 adding all WEP sources in Germany. The field can be tuned so as to begin
522 the data recognition over the most sensitive digit subtle to change within the
523 time window of operation; the third digit in quaternary basis turned out to
524 be appropriate for the present study. The number of significant digits over
525 which the data recognition is to be performed can also be adjusted to each
526 problem; three digits in quaternary basis are enough in the present case. In
527 the case of dynamical analysis like this one the time window over which the
528 data recognition is to be performed is the most delicate choice to balance both
529 precision (long time windows) and anticipation (short time windows).

530 The examples analyzed in this paper show that adjustment is possible to get
531 an alert indication to partially shut down conventional sources and make use
532 of electrical energy generated by wind.

533 This method can also be used retrospectively to analyze the performance of the
534 turbine network over weeks, months or years. Some conclusions can be drawn
535 from the monthly variation through the five-year period based on figures 7
536 through 14 above.

537 During Winter time the choice of A_C is only slightly critical as even high values
538 for A_C lead to high WEP production. The danger here is that energy can be
539 lost by overshoots which could be anticipated by an appropriate protocol.

540 During Summertime the choice of A_C is not critical as any value leads to low
541 WEP production for most of the years. Actually these months (particularly
542 July and August) can be better invested in maintenance and installation rather
543 than operation.

544 In Spring and Autumn seasons the choice of A_C is critical to make better use
545 of the scarce and at times short periods of WEP. Values of A_C less or equal
546 to 0.9 should be used to obtain better results for the filtered energy although
547 costs will increase as lower values of A_C are used (see Fig. 14).

548 The direct application of the parameters which optimize the WEP in one
549 period to next period shows the same general trend. This fact indicates the
550 robustness of the method put forward in this paper. Further optimizations
551 and updates are always possible, however this has to be done in situ for the
552 local data of the particular wind farms of interest. We have used here a general
553 data bank just to present the method and its possibilities.

554 It is very likely that previous conclusions should be revised if the turbines are

555 split according to location: offshore or onshore; valley or hill; etc.. However it
 556 is clear that once the local data sequence is provided it is possible to determine
 557 a protocol that can optimize that particular performance.

558 5 Appendix

559 The purpose of this appendix is to show the way wzip actually works in the
 560 present case. First column in Table 2 enumerates the 32 instants of the interval
 561 used in the compression. The second (fourth) column lists the power generated
 562 during a quiet (agitated) period labeled Q (A); quaternary basis is used here.
 563 The third (fifth) column is the map created by wzip with the information in
 564 the vector of 32 entries immediately to its left.

565 The map is created by very simple rules which we illustrate here for the case
 566 $\mu_{32_3_3}$:

- 567 1) Consider the first register: detect the digit position # 3 from left to right
 568 and detect the 3 digits from here to the right (third, fourth and fifth digits).
- 569 2) Write the truncated register on the map file (column to the right) and
 570 indicate its position relative to the beginning of the interval (zero in the initial
 571 case, to indicate this is the beginning of this series).
- 572 3) Go to next register and consider the digits at the preselected positions:
 - 573 a) If the digits coincide with those of immediately previous register, add a
 574 comma to this register in the map file and then write the number of times
 575 this register has repeated so far. If this register repeats immediately again,
 576 keep on increasing the counter after the comma. In the example Q of Table
 577 1, all 32 registers are the same under the truncation $\mu_{32_3_3}$ so at the end
 578 the map file exhibits the value of the truncated register followed by ",32" to
 579 indicate it repeated 32 consecutive times. (This period was chosen precisely
 580 to illustrate this extreme situation). In the A file the digits of the first register
 581 repeat themselves 3 times at the preselected positions then to the right of the
 582 truncated register ",3" is written in the first entry of the fifth column. Several
 583 other repetitions are also shown along the fifth column.
 - 584 b) If the digits do not coincide with any previously stored register at their
 585 corresponding positions write a new line in the map file writing the truncated
 586 register followed by its position in the original file. This is the case of registers
 587 00123 (position 3), 00132 (position 4) etc. for the A column.
 - 588 c) If the digits coincide with those of one previously stored register p positions
 589 before, we just go back to the position such register was stored and add p
 590 to the right. This happens with the value 00330 towards the end of the file. This
 591 procedure is done at any time a non consecutive coincidence is found.

592 The weight w^* of the map files lead to the mutability values according to
 593 Eq. (1). The corresponding values for the present examples are given in the

594 bottom row. Further details and examples can be found in the already quoted
595 literature in the Methodology section.

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596 Table 2. The way wzip works is illustrated here for two very different periods of
 597 time: a quiet period (Q) and an agitated period (A). Columns 1 gives the sequence of
 598 consecutive instants; Column 2 gives the produced power for Q in quaternary basis;
 599 Column 3 gives the recognized information for Q starting at position 3 and for a
 600 total of three digits to the right (boldface characters); Column 4 gives the produced
 601 power for A in quaternary basis; Column 5 gives the recognized information for A
 602 starting at digit # 3 and for a total of three digits to the right (boldface characters).
 603 The corresponding mutability values for each case according to Eq. (1) are given in
 604 the last row.

605

Instant	Q b4	Q 32_3_3	A b4	A 32_3_3
1	000022231	00002 0,32	001220020	00122 0,3
2	000022323		001220233	00123 3
3	000023212		001222202	00132 4
4	000023311		001232323	00210 5
5	000023132		001320221	00212 6
6	000023120		002103120	00213 7,2
7	000022333		002121000	00221 9,2
8	000022031		002132213	00230 11
9	000022003		002132213	00231 12
10	000021030		002210331	00232 13
11	000020301		002213223	00233 14
12	000020313		002300121	00300 15
13	000020303		002310333	00302 16
14	000021000		002320200	00310 17,2
15	000021220		002330310	00311 19
16	000021312		003001323	00313 20
17	000021323		003020231	00320 21,2
18	000021322		003103103	00322 23,3
19	000021102		003102320	00330 26 5
20	000021210		003111121	00331 27
21	000021102		003130311	00332 28,2
22	000021231		003201122	00333 30
23	000022022		003201003	
24	000022012		003220120	
25	000022100		003221102	
26	000022030		003223011	
27	000022202		003300120	
28	000022210		003310111	
29	000022012		003321320	
30	000021321		003321230	
31	000022031		003330320	
32	000023000		003301003	
		$\mu_{32_3_3} = 0.048$		$\mu_{32_3_3} = 0.955$

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610

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Highlights

- Data recognizer wzip is used to anticipate favorable periods of wind energy
- The method can also be used to analyze wind energy production
- Data from all German turbines during 2010:2017 is used in this study
- A protocol for mixing wind energy with conventional sources is proposed
- Protocol indicators are tested on monthly basis during the eight-year period