On the Hyper Thermal Therapy of Tumor Tissues by Direct Laser Heating and Gold Nano Particles

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ABSTRACT

Hyper thermal therapy using lasers is emerging as a new promising route for the cancer treatment. The tumor can be directly heated by the radiation or indirectly using gold nano particles based on plasmon resonance phenomenon. These two possibilities are explored here by solving the space and time dependent bio-heat equation under different conditions. The knowledge of temperature profiles in the tumor region helps to bypass the painful placement of sensors for monitoring tumor’s heating by the laser. Important properties which could be useful for developing an efficient tumor therapy are introduced for the first time. It is found that the effects of metabolism consist essentially in a redefinition of the blood temperature which increases proportional to the heat of metabolism. Blood perfusion in a given tissue leads to a new characteristic length of order one or two centimeters and a blood convection parameter typically of order 30 W∙m⁻¹∙K⁻¹. Effects of these parameters are scrutinized within the resolution of the bio-heat equation under a variety of conditions. In general, space modulations of the temperature throughout biological tissues are weak but front kinetics are quite fast. Specific examples show the way to monitor the temperature rise taking into account the tumor’s nature and size.

KEYWORDS

Hyper Thermal Therapy; Gold Nano Particles; Plasmon Resonance; Laser Heating

1. Introduction

This paper deals with the temperature modeling in connection with hyper thermal therapy using laser radiation to heat up and eliminate tumor tissues in biological organs. Cells in tumor tissues are more sensitive to heating and show compact conformations as compared to healthy ones leading to inhibition of normal circulation of nutrients and oxygen in tumor cells. In addition, this behavior can be used to develop therapy strategies with the capability of differentiating between tumor and healthy tissues and tuning interactions between lasers and biological tissues to generate adequate responses. The energy deposited into biological tissues by short wavelength high energy lasers exceeds their binding energy which means that these lasers can be used to remove micron sized tumors. Photosensitive dyes provide the possibility to turn to lasers with a lower power and wavelength is adapted to the absorption spectra of the dyes [1-4].

Hyper thermal therapy is emerging as an alternative to conventional treatments based on surgery, chemotherapy or radiotherapy with the advantages of reducing collateral effects and treatment discomfort. Its success relies on a detailed analysis of the heat transfer problem including control of time and space temperature developments throughout the tumor. In such an analysis, one should take into account the specific thermophysical parameters of tissues along with the appropriate conditions initially and at boundaries. A protocol of tumor’s heating should be designed to comply with the recommendations of the medical team according to the nature of tumor and standard regulation norms [5-8].

Laser therapy can be operated in two ways depending on whether the tumor can be exposed to a direct beam or...
by indirect heating via gold nano particles (GNPs). In the latter case, the capacity to reduce collateral effects is enhanced by targeting tumor tissues with a great accuracy. Therefore a good selection of an adequate distribution of GNPs together with an efficient sensing method may combine to make the particles responsive to external remote command signals helping to implement the therapy protocol. For this reason, GNPs are often used because of their specific response to lasers with given wavelengths and intensities via the surface plasmon resonance phenomenon [9-12].

The present paper is organized as follows. The celebrated Pennes bio-heat equation is firstly introduced with the necessary definitions. Then the case of direct heating of a tumor in contact with the ambient is solved before considering the case of indirect heating via GNPs for a tumor located deep inside the body. Initial temperature distributions were examined first prior to the time temperature development in terms of the laser power and the duration of exposure. Specific examples and applications were given in an effort to help develop efficient therapy strategies with the relevant thermophysical and biological parameters and a variety of biological tissues.

2. The Bio-Heat Equation

Accommodating temperature sensors throughout the tumor’s region to monitor the heating process is certainly quite painful for the patient and efforts are made to alleviate such discomforts by designing models for solving the following bio-heat equation [13] under reasonable conditions of practical interest

\[
\frac{\partial T}{\partial t} = \left(\nabla \left[ k \nabla T(r,t) \right] + \rho_b c_b \omega_b \left[ T_b - T(r,t) \right] \right) + \frac{\partial}{\partial t} \left( \rho \omega \right) + \frac{Q}{\rho c} \tag{1}
\]

The subscript \( b \) refers to blood while letter without subscript refer to the tumor unless specified otherwise. \( T(r,t) \) represents the temperature field at point \( r \) and time \( t \), \( \nabla \) is the gradient operator; letters \( \rho, c \) and \( k \) refer to density, heat capacity and conductivity, respectively; \( \omega_b \) is the rate of blood perfusion and \( T_b \) the blood temperature. The metabolic heat generation of living cells is \( Q_m \) while the heat produced by the laser beam is denoted \( Q(r,t) \). The left hand side of Equation (1) represents the rate of thermal energy absorbed per unit volume of the biological tissue; the first term in the right hand side is the rate of heat conduction according to Fourier’s law and the second term represents the rate of heat convection through blood vessels. The convective heat exchange via blood circulation insures thermal regulation throughout the body. Blood enters the tissue at the arterial temperature \( T_a \), exchanges a certain amount of energy which is equivalent to \( \rho_b c_b \omega_b [T_a - T] \), bringing the blood temperature to the level of that of the tissue. Resolution of such an equation is subject to the knowledge of initial and boundary conditions in addition to relying on appropriate values for the thermo-physical and biological parameters of the tissues, the flow rate of blood, the metabolic heat generation and the energy deposited by the laser. This problem is solved first under the conditions of a direct exposure to the incident beam before turning to the case of heating via GNPs.

3. Direct Laser Heating

The heating process starts at time \( t = 0 \) by shining the laser beam on the tumor. Resolution of this problem requires first the knowledge of the initial temperature distribution \( T(r,t=0) = T_0(r) \) which is sensitive to the thermophysical and biological properties of the tumor as well as to environmental conditions.

3.1. The Initial Temperature Distribution \( T_0(x) \)

For simplicity and without loss of generality, we consider the rectangular geometry of Figure 1(a) and a square target represented in the inset. The temperature remains constant in the \( yOz \) plane and depends on \( x \) only. Panel b of this figure concerns the case of indirect heating via GNPs as it will be discussed in a later section.

Here, the initial temperature \( T_0(x) \) may be obtained by solving the following equation

\[
l_b \frac{d^2 T}{dx^2} + T_0(x) = T'_b \tag{2}
\]

This equation exhibits the newly introduced properties such as the characteristic length \( l_b \) and the effective blood temperature \( T'_b \) depending on the metabolic heat generation \( Q_m \)

\[
l_b = \sqrt{\frac{k}{\rho_b c_b}}; T'_b = T_b + \delta T_b; \delta T_b = \frac{Q_m}{\rho_b c_b} \tag{3}
\]

The characteristic length \( l_b \) represents the distance over which blood temperature goes from an effective value \( T'_b \) to the body temperature \( T_b \). Equation (2) can be easily solved using appropriate boundary conditions. At contact with the ambient, air convection tends to compensate the heat flux and one may write

\[
-k \frac{dT}{dx} \bigg|_{x=0} = h \left[ T_e - T_0(x = 0) \right] \tag{4}
\]

where \( h \) designates the air convection parameter and \( T_e \) the ambient temperature (see Figure 1(a)). On the other side of the tumor at \( x = L \), two possibilities may arise depending on whether the interface with healthy tissues is isothermal or adiabatic. In the former case, \( T_0(x = L) \) coincides with the body temperature \( T_b \) while in the latter case, the derivative of \( T_0(x) \) vanishes. The mathematics is
somewhat tedious in both cases and only the final results are reproduced here. For the case of an isothermal interface, it can be shown that the temperature profile is given by [14,15]

$$\frac{\Delta T_0(x)}{T'_b} = \left( \frac{T_0}{T'_b} - 1 \right) \left( \frac{ch_x + \frac{\varepsilon}{\zeta} sh_x}{T'_b} \right) \left( \frac{ch_x + \frac{\varepsilon}{\zeta} sh_x - x}{T'_b} \right)$$  \hspace{1cm} (5)

where $$\Delta T_0(x) = T_0(x) - T'_b$$ and the ratios $$\xi = L/l_b$$ and $$\zeta = L/l_t$$ represent the tumor’s size $$L$$ reduced to the blood perfusion and air convection lengths, respectively. The newly introduced characteristic length $$l_x = k/l_b$$ describes the distance over which the ambient temperature goes from $$T_c$$ to that of the surface $$T_d(x = 0)$$. Letting $$x = L$$ in Equation (5), one gets $$T_0(x = L) = T'_b$$ while at $$x = 0$$, the temperature exhibits the effects of several parameters as one can see from the following expression

$$\Delta T_0(x = 0) = \frac{(T_b - T'_b) + (T_c - T'_b) \frac{\varepsilon}{\zeta} sh_x}{ch_x + \frac{\varepsilon}{\zeta} sh_x}$$  \hspace{1cm} (6)

In the weak perfusion limit when $$\zeta$$ approaches zero, $$T_0(x = 0)$$ tends to $$T'_b$$ independent of $$\xi$$. For a high convection rate, $$\xi$$ is large and one finds the expected result $$T_0(x = 0) = T_c$$.

In the case where the interface at $$x = L$$ is adiabatic, the solution of Equation (2) reads

$$\frac{\Delta T_0(x)}{T'_b} = \left( \frac{T_c - T'_b}{T'_b - 1} \right) \left( \frac{\varepsilon}{\zeta} ch_x \left( \zeta - x \right) \right) \left( \frac{\varepsilon}{\zeta} ch_x \left( \zeta - x \right) \right)$$  \hspace{1cm} (7)

At $$x = L$$, this result reduces to

$$\Delta T_0(x = L) = \frac{(T_c - T'_b) \frac{\varepsilon}{\zeta}}{sh_x + \frac{\varepsilon}{\zeta} ch_x}$$  \hspace{1cm} (8)

which shows that the ratio $$\Delta T_0(x = 0)/\Delta T_0(x = L)$$ is simply $$ch_x$$ meaning that at low perfusion rates, $$\zeta \to 0$$ and the temperature remains constant throughout the tumor. The perfusion of blood generates throughout tissues a convection mechanism characterized by the parameter $$h_b = (k \rho c_b c_b)^{1/2}$$.

Typical values for a case of practical interest [16,17] would be $$Q_m = 4 \times 10^3$$ Wm$$^{-3}$$, $$\rho d c_b = 0.5$$ kgm$$^{-3}$$s$$^{-1}$$, $$c_b = 4 \times 10^3$$ Jkg$$^{-1}$$K$$^{-1}$$ and $$k = 0.5$$ Wm$$^{-1}$$K$$^{-1}$$. Those numbers yield $$\Delta T_b = 2$$ K showing that metabolic heating is not negligible. Moreover, one has $$h_b = 1.7$$ cm and $$h_b = 33$$ W$$^{-1}$$mK$$^{-1}$$ which means that for an air convection with $$h_c$$ close to 33 W$$^{-1}$$mK$$^{-1}$$, $$l_c$$ would be of the same order as $$l_b$$ while for a lower air convection ($$h_c = 10$$ W$$^{-1}$$mK$$^{-1}$$) it would become much higher. Note that the ratios $$l_b/l_c$$ and $$h_b/h_c$$ are equal.

The initial temperature profile $$\Delta T_0(x)/T'_b$$ exhibits a weak space dependence over the tumor’s region but a high sensitivity to $$l_b/l_c$$. Increasing the latter quantity makes heating less effective while decreasing it would lead to a surface temperature closer to the ambient $$T_c$$.

The knowledge of initial temperature distributions is necessary to get the complete solution subsequent to a direct heating by laser beams which is considered below through the resolution of the space and time dependent bio-heat equation.

3.2. Space and Time Temperature Profiles

Space and time temperature profiles due to a direct laser heating may be obtained by solving the following partial differential equation

$$\frac{\partial T(x,t)}{\partial t} = \frac{L^2}{\tau} \frac{\partial^2 T(x,t)}{\partial x^2} + \frac{T_b - T(x,t)}{\tau_b} + \frac{P}{kL}$$  \hspace{1cm} (9)

which exhibits two distinct characteristic times $$\tau_s = L^2/k$$ and $$\tau_b = L^2/\beta$$, $$\beta = k/l_b \rho c_b$$ being the heat diffusivity. The first characteristic time $$\tau_b$$ represents the blood perfusion in the biological tissue while the second...
\( \tau \) represents the temperature relaxation over the tumor. The power laser \( P \) depends on the incident intensity \( q_0 \) as \( P = q_0L^2 \) (see Figure 1(a)).

Shih et al. [18] reported an analytical solution of this problem assuming a constant initial temperature (i.e., \( T_0(x) = T_b \)) and neglecting the effects of blood perfusion and metabolism

\[
\frac{\Delta T(x,t)}{\Delta T_p} = \left\{ \exp \left( -\frac{x^2/L^2}{4t/\tau} \right) - \frac{x/L}{\sqrt{4t/\tau}} \text{erfc} \left( \frac{x/L}{\sqrt{4t/\tau}} \right) \right\}
\]

(10)

where \( \Delta T(x,t) \) and \( \Delta T_p \) and the complementary error function [19] are defined as follows

\[
\Delta T(x,t) = T(x,t) - T_b; \quad \Delta T_p = 2P/kl; \quad \text{erfc}X = 1 - \text{erf}X
\]

(11)

The remarkable feature here is the emergence of a single lumped parameter combining the space and time. This behavior is characteristic of a diffusion process with a mean square diffusion distance proportional to time and diffusivity as

\[
\langle |\Delta x|^2 \rangle = 4\beta t
\]

(12)

3.3. Effects of Blood Perfusion and Metabolism

Introducing the effects of blood perfusion breaks the universality and the solution becomes function of separate space and time variables, the space scaling with the length \( L_b \) and time scaling with \( \tau_b \). Thus, the result becomes

\[
\frac{\Delta T(x,t)}{\Delta T_p} = \frac{1}{4\zeta} \left\{ \frac{x}{L_b} \text{erfc} \left( \frac{x/L_b}{2\sqrt{t/\tau_b}} \right) - \frac{x}{\sqrt{4t/\tau_b}} \text{erfc} \left( \frac{x}{\sqrt{4t/\tau_b}} \right) \right\}
\]

(13)

By expanding \( \text{erfc}(x+\varepsilon) \) in terms of \( \varepsilon \) and letting \( \varepsilon \to 0 \), Equation (13) reduces to (10). It is remarkable to note that the metabolism can be included simply by redefining the blood temperature and using the effective value \( T'_b \) instead of \( T_b \).

The temperature fronts shown in Figure 2 indicate that space modulations are weak but the rise of temperature with time is fast. Panels a, b illustrate the high sensitivity to the blood perfusion. At low perfusion rates, the temperature reaches quickly relatively high values but as the perfusion rate increases, a net damping of the heating process takes place and the temperature reaches a saturation limit at short times.

In Figure 3, one can better apprehend the kinetic rise of temperature since heating operates fast at short times undergoing a severe damping as \( \zeta \) increases due to the blood regulation mechanism. The blood perfusion provokes some delay in early stages which can be seen in the amplified representation of panel b since the curves exhibit a horizontal initial slope (say \( t/\tau \leq 0.1 \)) before the steep rise.

4. Indirect Laser Heating via GNPs

In the case where tumors are not directly accessible to the laser beam from the ambient, the recourse to an indirect heating via GNPs turns out to be particularly useful for treatment efficiency. Panel b of Figure 1 mimics the discrete temperature distribution in a spherical tumor embedded with GNPs. One can see in the same figure, the single sphere temperature profile in the immediate vicinity of a single GNP with spherical geometry [20]. From a practical point of view, the problem may be simplified by solving the bio-heat equation in spherical coordinates assuming point sources representing the GNPs.

\[
\frac{\partial T(r,t)}{\partial t} = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial T(r,t)}{\partial r} \right) + \frac{3P}{4\pi Rk}
\]

(14)

The last term in the right hand side comes from the external heat deposited in the tumor. Resolution of such
problem is subject to the knowledge of the initial distribution \( T(r,t=0) = T_b(r) \) which should be determined first as stated before.

### 4.1. Initial Temperature Distribution for a Spherical Tumor

The initial temperature for a spherical tumor can be obtained by equating the left hand side and \( P \) in Equation (14) to zero. The spherical symmetry implies that heating is maximum at the center for \( r = 0 \). For an isothermal interface, the temperature should remain equal to the body temperature \( T_b \) and the result is

\[
\frac{\Delta T_n(r)}{T_b} = \frac{R}{r} \left( \frac{T_n}{T_b} - 1 \right)^{\frac{2}{s h \zeta}} \Delta T_n(r) = T_n(r) - T_b
\]

where the normalizing temperature \( \Delta T_{p2} = 3P/4\pi R k \) is slightly different from the one in Equations (10) and (13) for geometry considerations. The characteristic time of heat diffusion \( \tau = R^2/\beta \) is also different for the same reason. Unlike the previous situation (see Equation (13)), space and time variables are separated and no universality is found here in spite of the fact that blood perfusion is not taken into account. Including metabolism and blood perfusion, one would have to solve the following bio-heat equation

\[
\frac{\partial T(r,t)}{\partial t} = \frac{1}{\tau} \frac{R^2}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial T(r,t)}{\partial r} \right) + T_b - T(r,t) + \frac{3P}{4\pi R k}
\]

Whose solution subject to the same initial and boundary conditions becomes

In the weak perfusion limit, \( \zeta \) is small and the initial temperature remains equal to \( T_b \). Most authors in the literature generally assume that the initial temperature is simply the blood temperature \( T_b \) while here, we stress the point that this temperature deserves a particular attention and depends on important thermo-physical and biological parameters of both blood and tissues.

### 4.2. Time Temperature Fronts

Once the initial temperature is known, one may proceed to solve the kinetic problem for which the mathematics combine classical methods of Laplace transform and variation of constants. Resolution of Equation (14) yields

\[
\frac{\Delta T(r,t)}{\Delta T_{p2}} = \frac{1}{\tau} \left[ \int_0^t \left( n + \frac{r^2}{R^2} \right) \exp \left( -\frac{n - \frac{r^2}{R^2}}{4t/\tau} \right) \right] \cdot \left( 1 + \frac{1}{\sqrt{\pi t/\tau}} \right)
\]  

where the normalizing temperature \( \Delta T_{p2} = 3P/4\pi R k \) is slightly different from the one in Equations (10) and (13) for geometry considerations. The characteristic time of heat diffusion \( \tau = R^2/\beta \) is also different for the same reason. Unlike the previous situation (see Equation (13)), space and time variables are separated and no universality is found here in spite of the fact that blood perfusion is not taken into account. Including metabolism and blood perfusion, one would have to solve the following bio-heat equation

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\frac{\partial T(r,t)}{\partial t} = \frac{1}{\tau} \frac{R^2}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial T(r,t)}{\partial r} \right) + T_b - T(r,t) + \frac{3P}{4\pi R k}
\]

Whose solution subject to the same initial and boundary conditions becomes
5. Applications

Table 1 gives typical values for the parameters appearing in the bio-heat equation for a variety of biological tissues. No distinction is made between healthy and tumor tissues consistent with the model calculations. The density $\rho$ is roughly 1 g cm$^{-3}$ but higher by about 20% for epidermis and 50% for fat and bones. The heat conductivity $k$ is essentially 0.5 W m$^{-1}$ K$^{-1}$ except epidermis and fat for which it is 20% lower and bones for which it is 20% higher. The heat capacity $c$ is near 3.7 J g$^{-1}$ K$^{-1}$ except fat and bones that show a much lower heat capacity. The blood perfusion parameter $\omega_b$ exhibits a large dispersion of values going from $0.07 \times 10^{-2}$ s$^{-1}$ for fat to $6.25 \times 10^{-2}$ s$^{-1}$ for kidney. Epidermis is characterized by a blood perfusion rate several orders of magnitude smaller. In the literature, this parameter is often expressed by the product $\rho_b \omega_b$ in units of kg m$^{-3}$ s$^{-1}$.

Table 2 gives selected data of heat transfer properties for the same biological tissues. The heat diffusivity $\beta$ for most tissues is about $0.15 \text{ mm}^2 \text{s}^{-1}$ but it is lower by

$$
\frac{\Delta T(r,t)}{\Delta T_{p2}} = -\frac{1}{2(r/l)_b \zeta} \sum_{\text{odd}} \left\{ e^{-\left(\frac{n + r}{R}\right)/\tau} \text{erfc} \left( \frac{n + r}{2\sqrt{\beta t/\tau}} \right) - \text{erfc} \left( \frac{n - r}{2\sqrt{\beta t/\tau}} \right) \right\}
$$

This result is quite different from that of equation 16 which is recovered only when $\zeta \to 0$. Formally, those results are different from those obtained in the case of the discrete distribution shown in Figure 1(b) but numerically, the temperature profiles are quite close in terms of space and time variations. Figure 4(a) confirms the very weak space dependence of temperature. Space modulations do not exceed few percents while kinetics shows a fast rise of temperature with time (see Figure 4(b)). Blood perfusion reduces both the amplitude and rate of heating. A slight enhancement of the space dependence is observed at high blood perfusion rates. The initial slope is not horizontal as in the previous case meaning that no delays in heating should exist in early stages (see Figure 4(c)). The other qualitative trends are similar to those of Figure 3.
and size of the tumor. The present work is a contribution along the line of facing this challenge based on simple analytical models supplemented with simple arguments starting from the resolution of the bio-heat equation with a distinction between direct laser heating and indirect heating via GPNs. The results obtained under a variety of conditions showed a systematic consistency with regard to a weak sensitivity of temperature to the position within the tumor but a strong dependence over time. Heat metabolism contributes to increasing the blood temperature by a couple of degrees while blood perfusion significantly damps the heating process. In the early stages of laser treatment, the temperature rises fast but quickly reaches an upper limit which is systematically lower as the blood flow rate is higher. New characteristic lengths are introduced and evaluated together with typical time scales characterizing the heat diffusion mechanism in tumor tissues.

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